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**Pipelines Research Needs Symposium**  
**Saturday, June 22, 2013**

*In Conjunction with ASCE 2013 Pipeline Conference*

**Fort Worth, TX**

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## Foreword

These proceedings present results of the ASCE Pipelines Research Needs Symposium held on Saturday, June 22, 2013, in Fort Worth, Texas in conjunction with the ASCE Pipelines Conference 2013. The Symposium was sponsored by HDR Engineering, Inc., Water Research Foundation and Freeze and Nichols. The Symposium provided a forum for presenting and discussing pipeline technologies, processes and techniques that are in use or under development. The presented technologies came from research institutions, universities, consulting/engineering companies, government agencies and associations.

The Symposium included presentations by seventeen speakers on pipeline technologies. Five separate breakout sessions were subsequently held to develop strategies to assist emerging technologies become accepted in the marketplace. Each session addressed two technologies and included facilitators, a report leader, and a recorder to capture information during each breakout session.

The presentations include the following topics:

- Underground Container Freight Transport System
- Pipeline Asset Management
- Pipeline Design, Operations and Maintenance
- Interactive Pipe Broadband Electro-Magnetics (BEM)
- Pipe Condition and Earthquake Damage
- Staged Construction Modeling of a Large Diameter Steel Pipe
- Future Conveyance System and Asset Management Research Needs
- Water Conveyance Infrastructure Research Needs
- Energy Pipeline Challenges & Related Research
- Pipeline Corrosion Prevention
- Trenchless Technologies

Attendees included a strong cross section of practitioners, suppliers, researchers, academia and students. Each of these groups has an important role to play in the advancement of pipeline technologies.

Our industry needs a continuing friendly and objective forum where people from within and outside of the industry can showcase new technologies. It is our hope that that this type of forum can continue to serve this role and support the advancement of the pipeline profession.

We are very appreciative of the many individuals who graciously contributed their time to make this Symposium a success.

James Thomson  
Symposium Co-Chair

Mohammad Najafi, P.E.  
Chair, ASCE Pipeline Research Committee  
and Symposium Chairperson

## Agenda

### ASCE Pipelines Research Needs Symposium

The Worthington Renaissance Hotel, Fort Worth, TX, Saturday, June 22, 2013

Table 1. Agenda

	Time	Topic	Speaker	Organization
	8:00 A.M.	Welcome and Introduction	Dr. Mohammad Najafi	Conference Chair
	8:10 A.M.	Goals and Objectives	James Thomson	Symposium Co - Chair
Research/Government Organizations	8:30 A.M.	Water Research Foundation Pipeline Research: on-going work, future directions	Frank Blaha	Water Research Foundation (WRF)
	8:45 A.M.	Werf - Future Conveyance System and Asset Management Research Needs through The Lift Program - Overview	James Thomson	Symposium Co - Chair
		Water Conveyance Infrastructure URE Research: an EPA/ORD Perspective	Mike Royer	U.S. Environmental Protection Agency (USEPA)

	<b>Time</b>	<b>Topic</b>	<b>Speaker</b>	<b>Organization</b>
<b>Research\Government Organizations</b>	<b>9:15 A.M.</b>	<b>A Summary of Common Energy Pipeline Challenges and Related Research</b>	<b>Robert Smith</b>	<b>Pipeline and Hazardous Materials Safety Administration (PHMSA)</b>
	<b>9:30 A.M.</b>	<b>Pipeline Corrosion Prevention: What is Needed?</b>	<b>James A. Hart</b>	<b>NACE International – The Corrosion Society</b>
<b>Pipeline Owners Perspective</b>	<b>9:45 A.M.</b>	<b>Shanghai Municipal Underground Container Freight Transport System of China National Convention and Exhibition Center</b>	<b>Dr. Kesi You</b>	<b>Shanghai Municipal Engineering Design Institute Co., Ltd.</b>
	<b>10:00 A.M.</b>	<b>Pipeline Asset Management Specific Oil and Gas Pipeline: Issues and Needs</b>	<b>Jonathan Faughtenberry</b>	<b>Oasis Petroleum</b>
	<b>10:15 A.M.</b>	<b>Improving Transmission Pipeline Design, Operations and Maintenance</b>	<b>David Marshall</b>	<b>Tarrant Regional Water District (TRWD)</b>
<b>10:30 A.M.</b>		<b>BREAK WITH REFRESHMENTS</b>		



	<b>Time</b>	<b>Topic</b>	<b>Speaker</b>	<b>Organization</b>
<b>Condition Investigation and Assessment</b>	<b>10:45 A.M.</b>	<b>New Developments in Pipeline Condition Assessment Technologies</b>	<b>Xiangjie Kong</b>	<b>Pure Technologies</b>
	<b>11:00 A.M.</b>	<b>The Interactive Pipe: Broadband Electro-Magnetics (BEM)</b>	<b>Martin Roubal</b>	<b>Rock Solid</b>
	<b>11:15 A.M.</b>	<b>Advancement of Acoustic Condition Assessment Methods</b>	<b>Marc Bracken</b>	<b>Echologics</b>
	<b>11:30 A.M.</b>	<b>Pipe Condition and Earthquake Damage and The Information That is Currently Not Recorded for Research Needs</b>	<b>John Black</b>	<b>Opus International Consultants</b>
<b>Lunch with Keynote Speaker</b>	<b>12:00 P.M.</b>	<b>Pipeline Crisis: Why Research Matters</b>	<b>Dr. Neil S. Grigg</b>	<b>Colorado State University</b>

	<b>Time</b>	<b>Topic</b>	<b>Speaker</b>	<b>Organization</b>
<b>Pipe Consultants and Designers</b>	<b>1:00 P.M.</b>	<b>Integrity Management for Piping Infrastructure</b>	<b>Ernest Lever</b>	<b>Gas Technology Institute</b>
	<b>1:15 P.M.</b>	<b>Research Needs of Material Properties and Operational Surge in Pipeline Failures</b>	<b>Dr. Graham Bell</b>	<b>HDR Engineering, Inc.</b>
	<b>1:30 P.M.</b>	<b>Pipeline Research Needs for Future Practice improvements – A Designer’s Perspective</b>	<b>Dr. Sri Rajah</b>	<b>HDR Engineering, Inc.</b>
<b>Academics</b>	<b>1:45 P.M.</b>	<b>Development of Asset Management Certification and A Living Lab</b>	<b>Dr. Tom Iseley</b>	<b>IUPUI</b>
	<b>2:05 P.M.</b>	<b>Water and Wastewater Research Needs Identified at 14<sup>TH</sup> ITT Research Colloquium</b>	<b>Dr. Mark Knight</b>	<b>University of Waterloo</b>
	<b>2:25 P.M.</b>	<b>Staged Construction Modeling of A Large Diameter Steel Pipe Using 3-D Nonlinear Finite Element Analysis</b>	<b>Dr. Ali Abolmaali</b>	<b>The University of Texas at Arlington</b>
	<b>2:45 P.M.</b>	<b>BREAK WITH REFRESHMENTS</b>		

	<b>Time</b>	<b>Topic</b>	<b>Speaker</b>	<b>Organization</b>
<b>Breakout Sessions</b>	<b>2:55 P.M.</b>	<b>Breakout Session Introduction and Objectives</b>	<b>James Thomson</b>	<b>Symposium Chair</b>
	<b>3:00 P.M.</b>	<ol style="list-style-type: none"> <li><b>1. Pipeline Failures</b></li> <li><b>2. Pipeline Inspection and Monitoring</b></li> <li><b>3. Pipeline Materials, Corrosion and Biofilm</b></li> <li><b>4. Pipeline Asset Management and Sustainability</b></li> <li><b>5. Trenchless Technologies</b></li> </ol>		
	<b>4:00 P.M.</b>	<b>Presentation by Small Groups</b>		
	<b>4:40 P.M.</b>	<b>Conclusions &amp; Recommendations</b>		
	<b>4:50 P.M.</b>	<b>Closing Remarks</b>		
	<b>5:00 P.M.</b>	<b>Conclusion of Symposium</b>		

## **Paper No. 1**

### **Water Research Foundation Pipeline Research: Ongoing Work, Future Directions**

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Refer to Appendix A, Page A-1, for a copy of presentation

# 1. Water Research Foundation Pipeline Research: Ongoing Work, Future Directions

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## Background

The Water Research Foundation (WaterRF) was started in 1966 as a division of the American Water Works Association (AWWA). The WaterRF became independent of AWWA in 1984, when the organization established an independent funding mechanism and a separate Board of Trustees. While the name of the organization and our logo have changed a few times through the decades, our focus has not – advancing the science of water through a centralized research program. The WaterRF has worked with a variety of professional partners to identify, prioritize, fund, manage and communicate scientifically-valid research across the globe. The WaterRF is a 501(c) 3 non-profit organization that carefully invests and leverages research dollars to tackle an array of issues related to the treatment and delivery of clean drinking water. Since 1966 the organization has managed over 1,000 research studies valued at more than \$500 million. The primary source of funding for the WaterRF has been, and remains, voluntary contributions from over 950 water utilities in the United States, Canada, Europe, Australia, and North Asia. The utilities that support our program are considered “subscribers” and benefit from our research through early access to the research reports and findings, as well as, frequently, involvement in projects of particular interest to those utilities. In addition, the WaterRF also has a number of consulting and manufacturing companies that also support our program and are subscribers.

## Research Programs

The WaterRF subscribers guide our work in almost every way, and play a substantial role in setting the research agenda for the WaterRF. At this time we have three primary research programs:

1. **Focus Area Program** – addresses broadly relevant subscriber issues to be solved with a strategically targeted, multi-year research response. This area of work currently consists of ten focus areas, and 60% of our annual research funding.
2. **Emerging Opportunities Program** – tackles subscriber challenges and opportunities as they develop throughout the year, and are typically smaller in monetary value (\$50,000 or less) and one year or less in length. This area of research currently represents 20% of our annual research funding.
3. **Tailored Collaboration Program** – allows for partnerships with and among utility subscribers on research that maybe be more targeted or regional in impact and which involves cost-sharing between the utilities and the WaterRF (WaterRF matches utility dollars committed to the project). This area of work also currently represents 20% of our annual research funding.

A fourth research program also exists, termed the “Facilitated Research Program” but while a few projects are active under this program, the program is being re-defined and re-focused. No research moneys are committed to the Facilitated Research Program.

While the exact details vary, there are some commonalities amongst these research programs in how the worthy and valuable research projects are identified. The greatest commonality is that

volunteer committees approve a given project for funding, and these committees are dominated by subscribers to the WaterRF. The WaterRF staff can participate in these discussions and deliberations, but staff has no vote in the decisions. The decisions are ultimately made by the volunteers. Some of the volunteer committees are considering wide-ranging research ideas from many different categories, this being the case for the Focus Area Program or the Tailored Collaboration Program overall. Other committees are simply considering which proposal received in response to a solicitation is the superior proposal, since the selected research teams are typically identified through a competitive proposal process. Many projects are reviewed and impacted by multiple volunteer committees before the project starts.

For instance, in the case of a Focus Area, each Focus Area has a committee of volunteers (Technical Advisory Committee) that helps identify a broad range of projects for funding consideration every year. These projects are guided by the overall goals of the Focus Area, but projects vary year by year depending on other active WaterRF projects and results from research conducted outside the WaterRF. The suggestions of the Technical Advisory Committee for each Focus Area are brought to the Focus Area Council (FAC) which oversees the Focus Area Program. The FAC decides which of the suggested projects from across all the Focus Areas will be funded. While it is an unlikely outcome, it is possible that the FAC could be so compelled by the identified needs and projects in one Focus Area to put all the Focus Area funding in a given year into that one area (approximately \$3 million in total Focus Area funding for 2013 projects).

Once a (Focus Area) project has been selected for funding, a Project Advisory Committee (PAC) is formed of, typically, 3 to 4 volunteers that will review and modify the Request for Proposals prior to release, select the winning research team, and follow the project as peer reviewers throughout the project period. Most WaterRF projects have an in-kind match requirement, typically at 25% of overall project funding, but this does vary. The idea of the in-kind match requirement is largely to encourage utility involvement and support for the project. By having utilities involved in the research it is believed that the projects stay more focused on issues of relevance to water utilities, thus helping to provide more value to the utilities, and that the utilities tend to stay more active in the WaterRF programs.

### **The Infrastructure Funding Gap**

Managing the physical infrastructure (the assets) of a water utility is critically important, since water utilities are among the most capital intensive of all utilities (Olstein, et al, 2009). A major part of this capital is invested in the buried utility infrastructure, especially the transmission and distribution system, which is also among the most long-lived of all utility infrastructure. Nonetheless, these systems begin deteriorating as soon as they are installed, yet utilities have not typically banked money for replacement of this infrastructure on an ongoing basis. Inevitably the assets reach the limit of their lifespan, and there is concern that a large number of assets across the country will be reaching their effective life in the near future, placing a huge burden on the water utilities in terms of funding the renewal of those deteriorated assets.

In a recent EPA study of drinking water infrastructure replacement needs, out of total water system replacement needs of \$334.8 billion over the next 20 years, \$200.8 billion of need (60%) is in the transmission and distribution area, with much of this need being associated with pipe

renewal (USEPA 2009). The EPA has termed this need the “Infrastructure Funding Gap” since the funding necessary to address these needs is not immediately available.

### **Infrastructure and Pipes Research at the WaterRF**

Most of the pipes research has been done as part of a slightly broader area of infrastructure research. Through the years the infrastructure area of research has received approximately 25% of overall funding, which has resulted in nearly 100 completed projects in this area of work. This work has included a broad range of subjects and topics, but much of it has been focused on pipe deterioration mechanisms, pipe failure prediction, and pipe longevity predictions. We have worked on programs to help utilities better plan possible future replacement needs.

For instance, the KANEW program was one of the earlier ones (which continues in existence as an expanded and more comprehensive program than that described in the WaterRF study) published in 1998 and completed by Roy F. Weston, Inc., the University of Karlsruhe, and the Philadelphia Water Department. This project modified and applied to North American water systems an existing computer model that was initially developed by the University of Karlsruhe. This modified model forecasted pipe rehabilitation needs and rates as a function of pipe material, pipe 4 technology, environmental stresses, based on an expert learning system from previous experience with similar pipe.

In creating the Focus Areas in 2011 and 2012, the volunteers felt that infrastructure work under the Focus Area should emphasize condition assessment and risk management techniques, since these ideas, when thoughtfully applied, seem likely to significantly decrease the gap between projected buried infrastructure renewal needs at water utilities and committed funding. Thus, the “Infrastructure Focus Area” is defined as:

Water Utility Infrastructure: Applying Risk Management Principles and Innovative Technologies to Effectively Manage Deteriorating Infrastructure: By 2017, provide utilities with tools and strategies to optimize the use of condition assessment and risk management in making infrastructure renewal decisions and the use of innovative renewal techniques.

#### **Objectives:**

1. Increase the use and understanding of risk assessment approaches for evaluating the need for renewal of deteriorating assets, particularly pipe assets.
2. Increase the use and understanding of condition assessment approaches for evaluating the need for asset renewal, especially pipe assets.
3. Provide research on improved condition assessment technologies for evaluating the condition and possible need for renewal of deteriorating assets.
4. Increase the use and understanding of the full range of renewal technologies and provide research on improved renewal technologies for more cost-effective asset renewal.
5. Increase the understanding of deterioration mechanisms of different assets with an eye towards extending the life of these assets and improved condition assessment and renewal technologies.
6. Aid the field testing and case study documentation of condition assessment and renewal techniques to better establish the value of these techniques.

For 2013 the funded projects under this Focus Area are:

1. Project 4490: Practical & Visual Guide to Common Pipe Failures: Understanding and Classification of Pipe Failures (What to Look for and Why it is Important). This project will try to aid utilities in capturing valuable information on pipe failures based on pipe and environmental conditions that can be noted when the pipe is being repaired. These data will be put into perspective so that they can be effectively used in developing a long-term pipe renewal program. Proposals are due July 10, 2013, funding level is \$100,000.
2. Project 4498: Potable Water Pipeline Defect Condition Rating System. This project will try to aid utilities by developing suggested standard defects and scores for those defects to allow improved assessment of the condition of a given pipeline, and to make more 5 consistent evaluations between various pipelines. Proposals are due August 7, 2013, funding level is \$300,000.

Of course, other projects relevant to buried infrastructure can be identified in our other research programs, but the focus and nature of the projects is not predictable since the project ideas are brought to us by other parties. In 2012, for instance, in addition to two buried infrastructure-relevant focus area projects we also funded the following buried infrastructure-relevant projects under other programs:

Three projects funded under the Aging Water Infrastructure program with the EPA:

1. Project 4465: Environmental Impact of Asbestos Cement Pipe Renewal Technologies
2. Project 4473: The Assess-and-Fix Approach: Using Non-Destructive Evaluations to Help Select Pipe Renewal Methods
3. Project 4485, Durability and Reliability of Large Diameter HDPE Pipe for Water Main Applications

Two Tailored Collaboration projects:

1. Project 4471: Leveraging Data from Non-Destructive Examinations to Help Select Ferrous Water Mains for Renewal
2. Project 4480: Development of an Effective Asbestos Cement Distribution Pipe Management Strategy for Utilities

The totality of infrastructure-related projects at the WaterRF (seven projects funded in 2012) is clearly a more valuable and compelling set of projects than the two Focus Area projects alone. The relevant infrastructure projects identified through other funding schemes is indicative of utility interest in this topic of managing buried infrastructure.

### **The Future for Infrastructure and Pipes Research at the WaterRF**

As in the stock market, past performance is not a guarantee of future returns, but it seems clear the WaterRF will stay substantially involved in infrastructure research. The Infrastructure



Focus Area continues to 2017, where that would be the last year in which funding of projects under the Focus Area would be considered. Projects funded in 2017 might not be completed until 2019 or 2020, depending on the scope and nature of these projects. Also, the infrastructure area of work also continues to be an important area of concern for water utilities as expressed by utilities responding to a recent WaterRF survey to ascertain their needs. Clearly, work will continue on trying to understand and predict the failure of pipes, as well as renewal methods, including innovative approaches, that can help address the infrastructure funding gap.

However, it would appear that as we go forward there will be more buried infrastructure projects oriented around a common goal and/or activity, which will put this work into a more comprehensive context. This will partly be driven by risk concerns associated with our buried 6 infrastructure such as expressed in the recent National Academy of Sciences (NAS) study *Drinking Water Distribution Systems: Assessing and Reducing Risks* (2006). In this report it was suggested that three “integrities” are necessary for distribution systems to pose minimal risk of waterborne disease outbreaks, namely, physical integrity, hydraulic integrity, and water quality integrity. While we have emphasized in this paper the infrastructure work to date that has focused on physical integrity of the distribution system, considerable resources have also going into work related to the other two integrities, but much of this work has been done on a project-by-project basis, with little unification of long-term vision and goals. We believe that this will change as we go forward. A prime unifying concept will be risk management. Risk management concepts will be used to help avoid huge and catastrophic failures of buried infrastructure, but risk management will also be used more comprehensively to help manage hydraulic and water quality integrity of the distribution systems.

As the Revised Total Coliform Rule (RTCR) and the Distribution System Rule were discussed by the Federal Advisory Committee in 2007 and 2008, the need for further distribution system research, in areas related to the three integrities was highlighted. These research needs were expressed in a continuing research partnership between the EPA and the WaterRF, the Research and Information Collection Partnership (RICP), formally entered into in January, 2009 through a Memorandum of Understanding (MOU) between the two groups, and as expressed in a research plan completed in April 2010. This partnership is continuing at this time, and while personal expectations were low of a partnership that had no committed funding and no special priority placed on the needed work, it seems that the partnership has been reasonably successful in encouraging reasonable and important work to be completed, and providing a means to discuss how the results of that work might be applied and made practical.

Partly because of the RICP, work continues on issues related to pressure management of distribution systems, and the relationship of pressure management to waterborne disease outbreaks (ongoing work related to the cost and effectiveness of boil water orders) but also the relationship of pressure management to main breaks. Empirical evidence from various research projects indicates that lowering higher pressures, and attenuating pressure fluctuations, results in both less lost water as well as fewer main breaks. Work in this area continues, especially considering what might be considered the best pressure monitoring approaches for the distribution system. There is interest in improving our understanding and applications of pressure and pressure transient knowledge to better manage our distribution systems.

The Federal Advisory Committee of 2007 and 2008 also expressed that “excellence” programs associated with the distribution system should be promoted. One such program is the Partnership for Safe Water (PSW) which recently expanded a near 20-year program focused only on encouraging excellence in filtration plant operations into a two-pronged program that also includes encouraging excellence in distribution system operations. The distribution system 7 program only became active this year, but it is based upon the results of a WaterRF study that was cofounded by PSW that reviewed and suggested optimization criteria for distribution systems. The WaterRF study suggested that there should be three optimization variable that can be measured, and for which goals were established, with these variables being breaks and leaks as a measure of the physical integrity of a distribution system, pressure monitoring and management as a measure of hydraulic integrity of a distribution system, and disinfectant residual as a measure of the water quality integrity of a distribution system (Friedman, et al, 2010).

### **References**

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- Olstein, M.A., et al, 2009, Improving Water Utility Capital Efficiency, Water Research Foundation, Report 91257.
- USEPA, 2009, Drinking Water Infrastructure Needs Survey and Assessment, Fourth Report to Congress, Office of Ground Water and Drinking Water, EPA 816-R-09-001.

**Paper No. 2**

**A Study on Underground Container Freight Transport System of China  
National Convention and Exhibition Center**

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Refer to Appendix A, Page A-16, for a copy of presentation

## 2. A Study on Underground Container Freight Transport System of China National Convention and Exhibition Center

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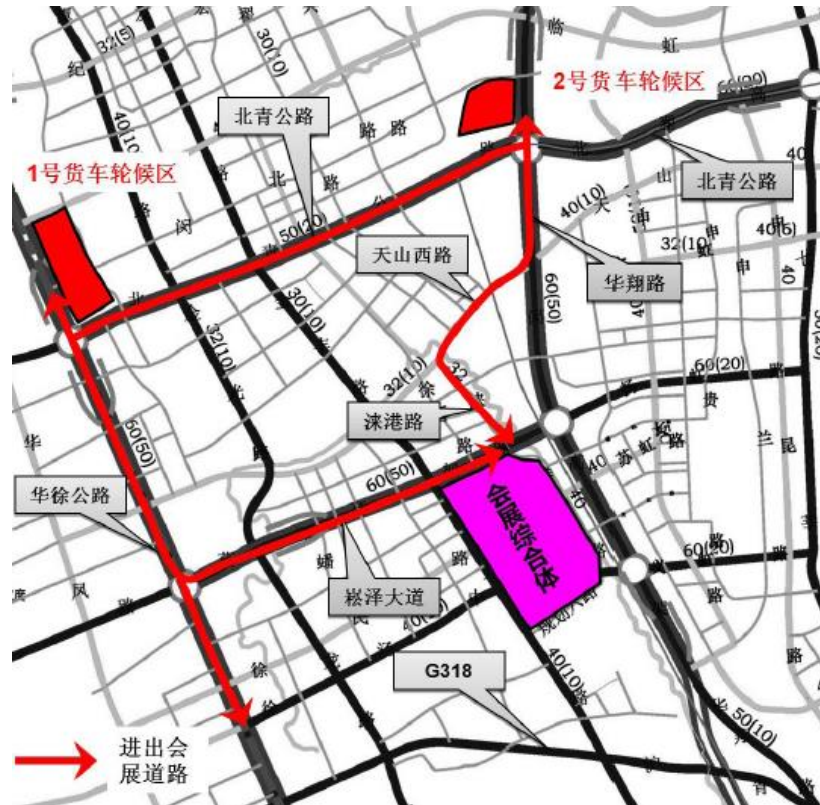
### Abstract

With respect to adverse effect of freight transport of China National Convention and Exhibition Center on transport and environment of Shanghai Hongqiao CBD, three different technical schemes for logistic system of the Center are presented. Through comprehensive analysis, comparison and selection in light of construction investment, project risk and environmental impact, underground container system is chosen as the mode of transport of freights on show, and conceptual designs of underground container system for the convention and exhibition center are further expanded. The results show that traditional logistic modes fail to meet freight transport requirement of China National Convention and Exhibition Center, which calls for innovative ideas and the development of a new mode of freight transport. The underground container freight transport system is more advantage in such aspects as guaranteed effective transportation of convention & exhibition goods, reduced impact on road network, and environmental protection.

**Keywords:** convention and exhibition logistics; underground container freight transport system; comprehensive benefit analysis; motor driven container railway transportation equipment; transportation tunnel

### Introduction

China National Convention and Exhibition Center is located to the east of Zhuguang Road and to the south of Songze Elevated Road within Hongqiao CBD and has an area of some 1500 mu, as shown in Figure 1. It comprises exhibition venue, comprehensive supporting facilities and logistic supporting facilities. The floorage of exhibition venue, which will be the world's largest exhibition venue by exhibition area, is approximately 500,000 m<sup>2</sup>; the floorage of comprehensive supporting facilities is about 300,000 m<sup>2</sup>, and the floorage of logistic supporting facilities is about 200,000 m<sup>2</sup>. It is a national key project involving cooperation between the Ministry of Commerce PRC and Shanghai Municipal People's Government and is included in the list of Shanghai major projects. After completion, China National Convention and Exhibition Center will be used for large specialized exhibitions scheduled by governments, e.g., biannual "China (Shanghai) Exposition" based on East China Fair and China International Industry Fair, and may also undertake international leading trade fairs like Bauma and CeBIT in Germany and establish exposition brands.



**Figure 2.1. Relationship between China National Convention and Exhibition Center and Neighboring Road Network**

Due to its immense exhibition area, China National Convention and Exhibition Center has to endure the transportation of 200,000 persons and 3,000 trucks per day in the worst-case scenario. According to traffic analysis of China National Convention and Exhibition Center under current planning, neighboring Zhuguang Road, Laigang Road, Middle Xujing Road and Songze Avenue provide a total of 22 lanes in two directions and total capacity reaches 15,000pcu/h. Peak flow of passenger cars (including taxi) to and from China National Convention and Exhibition Center will be up to 15,000pcu/h. With the addition of peak flow of passenger cars from supporting zone and neighboring vehicles in transit, regional roads will be fully saturated and unable to withstand traffic load of the convention and exhibition. In addition, large quantities of freight transport will inevitably generate a great deal of exhaust gas and noise and increase carbon emissions, thus affecting the construction of Hongqiao CBD as a national low-carbon demonstration area. Therefore, it is especially important to conduct systematic research on logistic schemes of China National Convention and Exhibition Center, guarantee efficient transport of exhibition logistics and reduce environmental impact on neighboring road network.

Three technical schemes are presented to cope with adverse impact of convention and exhibition logistics on transport and environment. The underground container system is determined as the best convention & exhibition cargo transport scheme based on comprehensive benefit analysis, and further expand the conceptual designs of underground container freight transport system.

## **Freight Transport Requirement Analysis of China National Convention and Exhibition Center**

With reference to transport requirement features of Shanghai World Expo and other domestic and international large-scale conventions and exhibitions, freight transport requirement of China National Convention and Exhibition Center during exhibition move-out is predicted below:

### **(1) Traffic flow prediction**

Calculated according to 50 trucks/10,000 m<sup>2</sup> of exhibition area during move-out of a typical large-scale convention and exhibition, China National Convention and Exhibition Center will attract 2,500 trucks of various types per day. With reference to 100 trucks/10,000 m<sup>2</sup> of Canton Fair on the date of move-out, China National Convention and Exhibition Center will attract 5,000 trucks on the move-out day.

### **(2) Parking berth prediction of truck waiting zone**

To avoid unauthorized parking of freight vehicles in large numbers during exhibition move-out, a truck waiting zone with sufficient area must be set up. It is forecast that truck waiting zone for China National Convention and Exhibition Center will need 5000 berths or total parking area being around 500,000 m<sup>2</sup> (100 m<sup>2</sup>/berth). In order to reduce traffic impact and noise from trucks in large numbers, the truck waiting zone shall be built where there is low environmental and traffic sensitivity.

### **(3) Transportation requirement prediction in the worst scenario**

China National Convention and Exhibition Center with an exhibition area of 500,000m<sup>2</sup> can simultaneously hold 2~3 large-scale exhibitions with at least 150,000 m<sup>2</sup> each, and the move-out and overlapping of different exhibitions will most likely result in the conflict and superposition of passenger and vehicle flow in large quantities.

In the worst scenario, the period of large-scale consumption exhibitions will overlap that of large-scale specialized exhibitions and China National Convention and Exhibition Center will receive the transportation of 200,000 persons and 3,000 trucks each day. Traffic organization of exhibition visitors and goods is rather difficult.

## **Logistic Schemes for China National Convention and Exhibition Center**

According to location and surrounding environment of China National Convention and Exhibition Center, the following schemes are put forward through research: Scheme 1, 2 truck marshaling yards and truck-only lanes will be built on existing Minbei Road and Laigang Road, and trucks will first gather and queue in marshaling yard before entering the convention and exhibition zone via Minbei ground road and Laigang special ground road; Scheme 2, based on Scheme 1, an underground truck-only road will be built beneath Minbei Road with transport route unchanged; Scheme 3, an underground logistic system and a logistic park will be constructed to process goods before transporting them into convention and exhibition zone via freight logistic lane. The detailed three schemes are as shown in Table 2.1.

**Table 2.1. Comparative Analysis of the Three Schemes**

Comparison items	Scheme 1: ground freight-only road	Scheme 2: underground freight-only road	Scheme 3: underground container freight transport system
<b>Facilities</b>	Truck marshalling yard + Minbei truck-only road + truck waiting zone + Laigang special road 1.ground road: Minbei truck-only road (2 lanes); Laigang truck-only road (4 lanes) 2.Truck marshalling yard: 5000 berths 3.Truck waiting zone: 1000 berths	Truck marshalling yard + Minbei underground truck-only road + truck waiting zone + Laigang special road 1.ground road: Laigang truck-only road 2.underground road: Minbei underground truck-only road 3.Truck marshalling yard: 5000 berths 4.Truck waiting zone: 1000 berths	Logistic park + underground logistic system + facilities in convention and exhibition zone + parking zone + Laigang special road 1.ground road: Laigang truck-only road (4 lanes) 2.Minbei and Laigang underground logistic lane (7 km) 3.Logistic park: 18 gantry cranes and corresponding means of horizontal transport 4.Convention and exhibition zone: 14 vertical shafts, 14 cranes
<b>Occupation of land</b>	Total area: 728,000 m <sup>2</sup> 1.Ground road: 128,000 m <sup>2</sup> 2.Truck marshalling yard: 500,000 m <sup>2</sup> 3.Truck waiting zone: 100,000 m <sup>2</sup>	Total area: 704,000 m <sup>2</sup> 1.ground road: 104,000 m <sup>2</sup> 2.Truck marshalling yard: 500,000 m <sup>2</sup> 3.Truck waiting zone: 100,000 m <sup>2</sup>	Total area: 204,000 m <sup>2</sup> 1.ground road: 104,000 m <sup>2</sup> 2.Truck marshalling yard: 100,000 m <sup>2</sup> 3.Truck waiting zone: 100,000 m <sup>2</sup>

<b>Environmental impact</b>	<p>Big environmental impact</p> <p>1.Exhaust gas, vibration and noise from trucks will impact greatly on CBD;</p> <p>2. Annual carbon emissions of 89000t will impact on the goal of building a national low-carbon CBD.</p>	<p>median environmental impact</p> <p>1.No impact on CBD</p> <p>2. Annual carbon emissions of 89000t will impact on the goal of building a national low-carbon CBD.</p>	<p>Certain impact in the short run and negligible impact in the long run</p> <p>1.No impact on CBD</p> <p>2. The near-term annual carbon emissions of 58000t will adversely impact on the realization of the goal of building a national low-carbon CBD but such impact will be negligible given high cargo containerization in the long run.</p>
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<b>Comparison items</b>	<b>Scheme 1: ground freight-only road</b>	<b>Scheme 2: underground freight-only road</b>	<b>Scheme 3: underground container freight transport system</b>
<b>Risk</b>	<p>High operating risk, low technical, organizational management risk</p> <p>Given particularities of convention and exhibition logistics including heavy traffic load and limited time during dismantling and arranging an exhibition, it is most likely that trucks will queue outside the convention and exhibition venue before entry. Additionally, exhaust gas, vibration and noise from convention and exhibition trucks will exert big environmental.</p>	<p>High operating risk, low technical, organizational management risk</p> <p>At the section of Minbei road, the adoption of underground road will reduce environmental impact. Other same as Scheme 1</p>	<p>High technical, organizational management risk, low operating risk</p> <p>Convention and exhibition underground logistic system will be the first of its kind in China and elsewhere and require innovative containerization integrated technology and model of organization management involving convention and exhibition third-party logistics.</p>



Table 2.1 shows that three schemes are feasible in terms of construction. Compared with Scheme 1 and Scheme 2, Scheme 3 featuring the adoption of underground container freight transport system has the following 3 unique advantages: (1) ensuring efficient and safe container collection and distribution, (2) alleviating regional traffic pressure, cutting energy consumption and reducing environmental damage, (3) improving convention and exhibition center's collection and distribution system through close combination with large-scale convention and exhibition center. However, Scheme 3 calls for high investment. Scientific and objective evaluation of logistic schemes shall focus on comprehensive system analysis, which looks at the change in distribution of interests of the whole incurred by change in logistic link from the angle of the entire value chain. Despite certain increase in underground logistic investment and operating costs, such logistics might not result in considerable increase of the exhibitors' total cost and will instead bring about external effects and added value. The above mentioned increase in investment and operating costs may be offset by exhibition organizers' exhibition incomes. Through comprehensive analysis, Scheme 3 has the optimal effect.

## **Conceptual Design of Underground Container Freight Transport System for China National Convention and Exhibition Center**

### **State-of-the-art on Underground Container Freight Transport System**

Most of earlier researches on underground logistic transport system are restricted to the application of small-diameter pipeline logistics. For instance, Royal Mail Ltd used to build a 37 km-long track dedicated to transport of correspondences and parcels, which processes more than 4 million pieces of postal mail and parcel from 9 counties during daily peak period. A research team of the University of Bochum in Germany constructed an underground transport pipeline (maximum diameter: 1.6m) between downtown area and industrial park in Ruhr District. In the pipeline a pill shaped transport box is used to carry cargo and the box is driven by a traditional 3-phase motor to run in a driverless condition along the pipeline route and under supervision by a radar monitoring system.

Thanks to further development of related technologies in recent years, especially the research and application of underground tunneling machine, the research of underground logistic transport system has evolved toward the development of large-diameter underground tunnels and the importance of underground container freight transport system is increasingly recognized. There are relevant research projects underway in other countries including Port of New York and Port of New Jersey in the US, Port of Antwerp in Belgium, Port of Tokyo in Japan and Ruhr Industrial District in Germany, and there is conceptual design of technical feasibility and economic feasibility. With critical underground horizontal transport technology, such schemes as Pneumatic Capsule Pipeline (PCP), Conveyors Belt, Cargo Cap, Save Freight Shuttle and Automated Guided Vehicle (AGV) are presented.

In 2006~2008, a research team of Shanghai Municipal Engineering Design Institute (Group) Co., Ltd., based on analysis of driving forces for the development of underground container freight transport system, studied the possibility and technical & economic feasibility of developing underground container freight transport system in Shanghai and put forward possible routes like Yangshan Port ~ Luchaogang logistic park and Yangshan Port ~ Waigaoqiao Port. In

2008~2010, with respect to the transport of Shanghai municipal domestic waste, the conception of using underground container freight transport system for garbage transfer was proposed.

## Conceptual Design of Convention and Exhibition Center’s Underground Container Freight Transport System

### General layout of Underground Container Freight Transport System

The underground container freight transport system means a logistic system where containerized cargo shuttles automatically in a large-diameter underground passage to realize container transportation between ports, between inland cities, and between a port and an inland city, which can be effectively connected to ground terminals and bring about such benefits as high efficiency, low cost and environmental friendliness.

In logistic system of China National Convention and Exhibition Center, the underground container freight transport (UCFT) system comprises three subsystems including logistic park, transport tunnel and exhibition venue, as shown in Figure 2. First of all, convention and exhibition freight vehicles gather at logistic park, where containerized cargo is unloaded before being transferred via underground tunnel to convention and exhibition venue for hoisting and devanning. General layout of underground container logistic route in China National Convention and Exhibition Center’s convention and exhibition venue is shown in Figure 2.2.

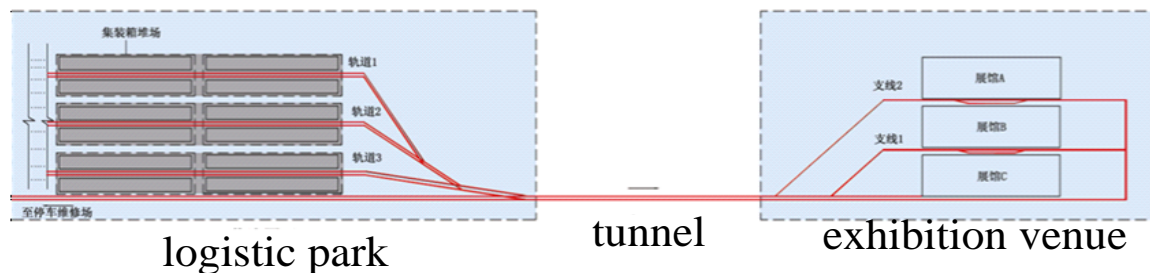


Figure 2.2. Underground Container Freight Transport (UCFT) System

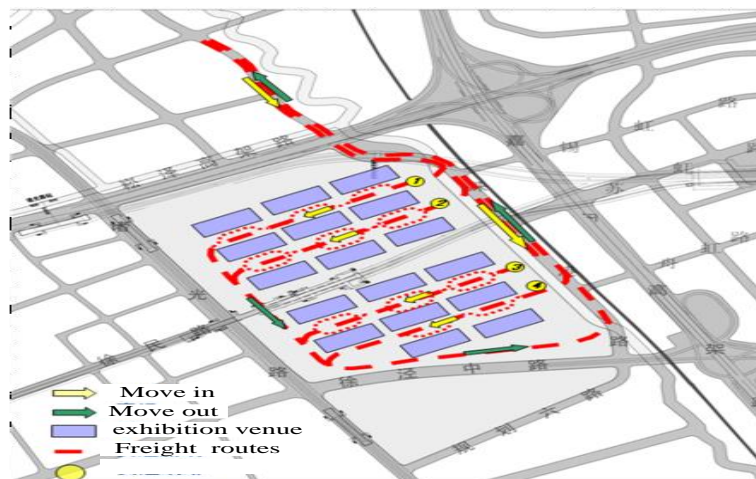


Figure 2.3. Horizontal Routes of Underground Container Freight Transport

### Delivery Means of UCFT

Container horizontal transport equipment for underground logistic system of China National Convention and Exhibition Center may adopt tractor + semitrailer, motor tractor + container flat car, battery driven AGV, motor driven container railway transportation equipment, etc. Through comprehensive comparison, linear motor driven container railway transportation equipment is adopted due to its benefits like excellent climbing capacity, small curve radius, flexible route and low pollution. The system adopts 40t standard containers and 1~3 vehicles per group for cargo transport.

### Logistic Park

Logistic Park comprises freight yard, transit zone, comprehensive supporting service zone, parking lot and vehicle repair zone. Floor arrangement of UCFT logistic park is shown in Figure 2.4.

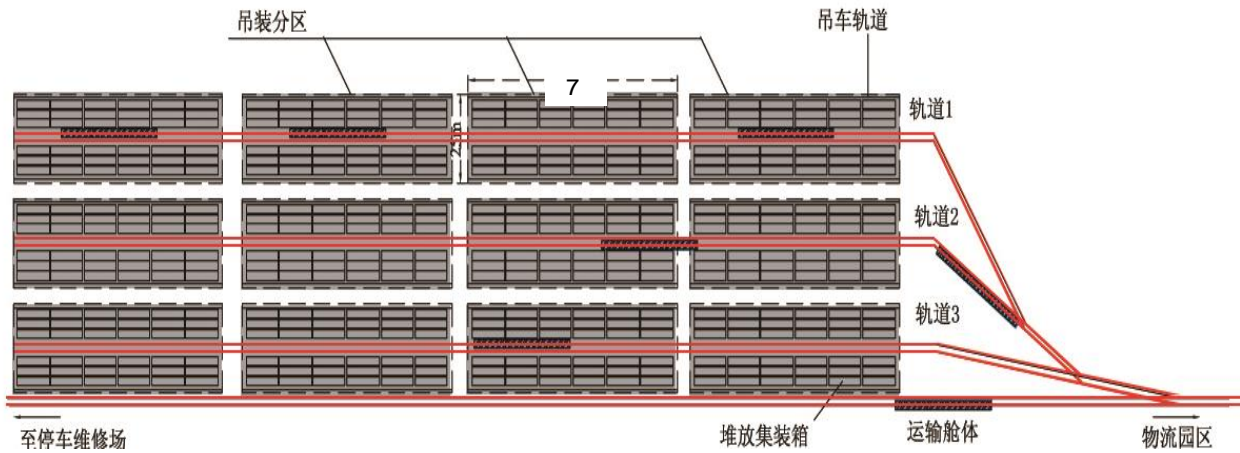
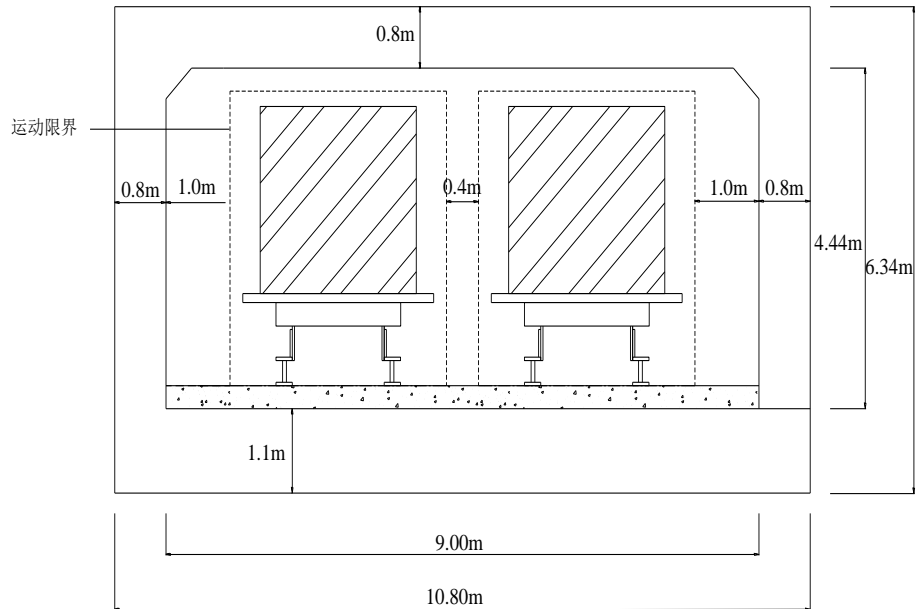


Figure 2.4. UCFT Logistic Parks

Vanning time of each train is 18 minutes. Considering 1.0-minute departure interval, 18 gantry cranes are needed; each site of gantry crane will have 140 containers, which will be placed on both sides of transportation track and cover 70m×25m; the area of freight yard and transit zone combined is approximately 50,000 m<sup>2</sup> (including roads in freight yard).

### Transport Tunnel

According to the requirement of container transport vehicle, the cross-section of transport tunnel is shown in Figure 2.5.

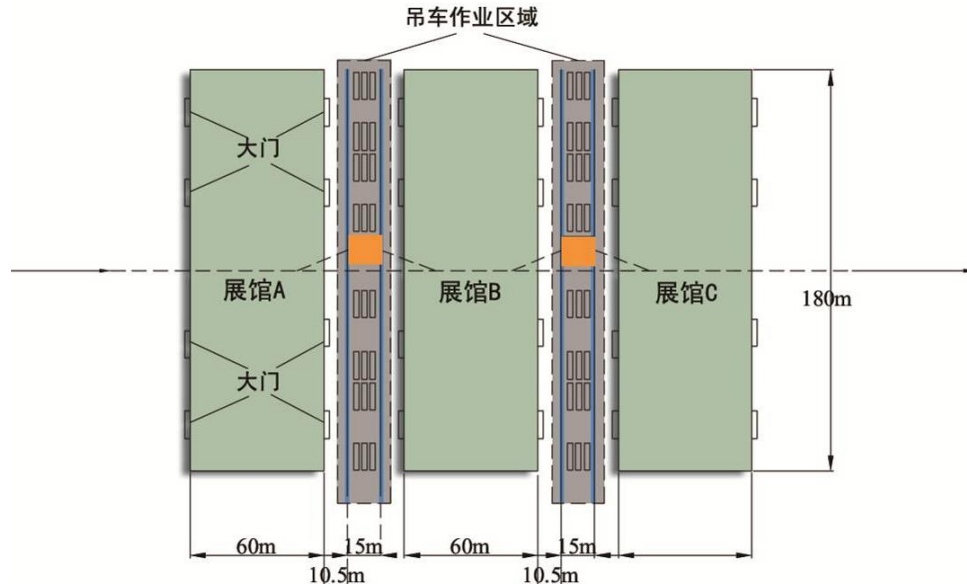


**Figure 2.5. Cross-section of UCFT Tunnel**

According to the working conditions of dismantling and arranging an exhibition, 2500 containers have to be transported within 16 hours and the departure interval of trains operating in opposite directions on the same route is 1.15 minutes, which exceeds 1.0 minute. Therefore, the system meets the requirement. In addition, according to research scheme of underground container freight transport system in Belgian ANTWARP Port, a total of 2776 containers can be handled per day in opposite directions, with vehicle running speed of 7km/h, departure interval of 33s and train operating interval of 66s. The cut and cover method is proposed for construction.

### **Convention and Exhibition Zone**

At convention and exhibition zone, exhibition halls and container stacking zones are alternatively arranged and transport tunnels pass through exhibition halls and container stacking zones in order; vertical transport shafts are erected in the center of container stacking zone to allow the crane to lift containers to the ground for devanning; containers are unpacked at the stacking zone and goods are sent via ground handling equipment to corresponding exhibition booths. Floor arrangement of UCFT convention and exhibition zone is shown in Figure 2.6.



**Figure 2.6. UCFT Convention and Exhibition Zone**

Equipment of convention and exhibition zone falls into basic configuration and gross configuration, detailed as below:

**Basic Configuration:**

- Considering 6.0-minute loading/unloading cycle by gantry crane, 30,000 m<sup>2</sup> service area and the hoisting of 270 containers within 16 hours, hoisting speed of gantry crane shall be 3.5 minutes. Therefore, one vertical shaft shall be equipped with two gantry cranes.
- If the area of exhibition hall exceeds 30,000 m<sup>2</sup>, the number of vertical shafts shall be increased.
- Given 90-minute devanning time limitation, 24 containers may be arranged at ground temporary freight yard beside shaft and on both sides of the doorway of exhibition hall.
- Two cranes may be deployed on both sides of the shaft. For double-layer arrangement, the cranes may be deployed in the upper and lower layers.

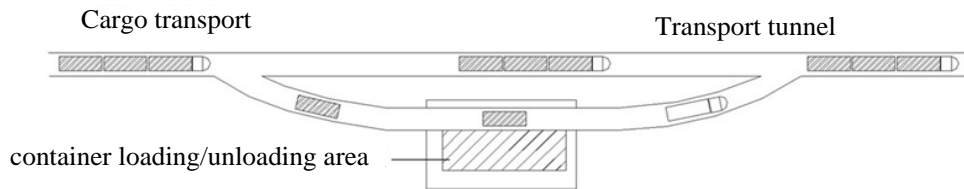
**Gross configuration:**

- Each vertical shaft is equipped with 1 crane and the number of containers that can be hoisted is:  $(16 \times 60 / 6) = 160$
- The number of vertical shafts required for the hoisting of 2500 containers at exhibition venue is:  $(2500 / 160) = 16$ , and 16 cranes need to be deployed
- Devanning time is 1.5h, and the number of devanning cycles that can be done at devanning site beside shaft is:  $(16h / 1.5h) = 11$
- The number of containers being devanned simultaneously at exhibition venue:  $(2500 / 11) \approx 230$
- Supposing the footprint of each container is 50 m<sup>2</sup>, the total area of devanning site is:  $(230 \times 50) = 11500$  m<sup>2</sup>

Gross configuration is based on ideal conditions, but different exhibition halls have different area. Therefore, the configuration of facilities varies for various exhibition halls.

### **Relationship between Mainline Tunnel and Branch Lines of Convention and Exhibition Zone**

The relationship between mainline tunnel and branch lines of UCFT convention and exhibition zone is shown in Figure 2.7.



**Figure 2.7. Mainline Tunnel and Branch Lines of UCFT**

Mainline transport tunnel has branch lines; branch lines are connected to vertical transport shaft and intended for temporary emplacement of containers so that the crane can lift the containers to the ground for devanning; containers are unpacked and goods are unloaded at the stacking zone before being transferred via ground handling equipment to corresponding exhibition booths.

### **Conclusions**

Based on freight transport requirement analysis of China National Convention and Exhibition Center and with due consideration of influencing factors like surrounding environment and road network, three logistic system technical schemes are put forward. Through scheme comparison and selection, the scheme featuring the underground container freight transport system is chosen due to its optimal effect. This shows traditional logistic schemes fail to meet the freight transport requirement of China National Convention and Exhibition Center and that the development of underground logistic system, a new mode of freight transport, has considerable comprehensive benefits: for Hongqiao CBD as a whole, the adoption of underground logistic mode to solve traffic problem of the exhibition center will help relieve the huge pressure of exhibitions on regional road transport; and this scheme will also greatly reduce carbon emissions, save energy and remarkably improve regional neighboring environment. For the convention and exhibition complex, orderly devanning organization will increase the efficiency of dismantling and arranging an exhibition; the setting of logistic park will not only deal with the storage of early cargo but also regulate peak value of freight transport requirement; due to the saving of supporting zone dedicated for unloading and forwarding, the entire scheme will considerably increase intensive land use.

The following points shall be paid attention to in future research of underground logistic scheme for convention and exhibition center:

(1) Convention and exhibition is not a sheer market or commodity economy but an experience economy. Its purpose is to secure exhibitors' participation in future exhibitions. Therefore, the analysis of customer value involves not just money cost and value but also time, spiritual, physical and psychological values.

(2) The advantages of the scheme on social environmental and other public benefits shall be highlighted.

(3) Scientific and objective evaluation of logistic schemes shall focus on comprehensive system analysis, which looks at the change in distribution of interests of the whole incurred by change in logistic link from the angle of the entire value chain.

(4) Underground convention and exhibition logistic system will be will be the first of its kind in China and elsewhere and require innovative containerization integrated technology and model of organization management involving convention and exhibition third-party logistics.

### Postscript

This paper was finished in April 2011. After the completion of solicitation of schemes from home and abroad, the scheme is changed as follows: convention and exhibition complex is placed in the center of project site in a radial “four-leaf clover” pattern, which divides the site into 4 parts, namely eastern, western, southern and northern squares according to the relationship between building access and environment, as shown in Figure 2.8. Such change deviates from the foregoing design scheme but basic principles remain unchanged.

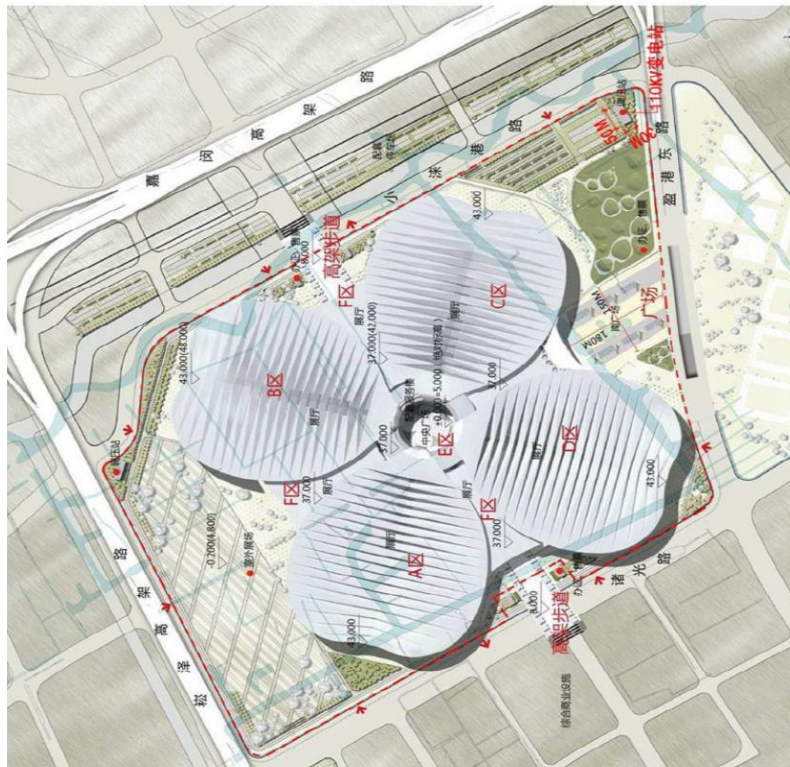


Figure 2.8. China National Convention and Exhibition Center

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## **Paper No. 3**

### **Pipeline Asset Management Specific to Gas Pipelines: Issues and Needs**

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Refer to Appendix A, Page A-25, for a copy of presentation

### 3. Pipeline Asset Management Specific to Gas Pipelines: Issues and Needs

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#### **Abstract**

In the United States today there are over 210 natural gas pipeline systems which encompass over 305,000 miles of interstate and intrastate transmission pipelines. With this many miles of gas pipelines, there are over 11,000 delivery points, 5,000 receipt points, 1,400 interconnection points, 24 hubs or market centers, and 49 locations where natural gas can be imported/exported via pipelines throughout the U.S. If all the natural gas pipelines in the U.S. were connected to each other they would stretch to and from the moon almost three times. With this many miles of natural gas pipeline assets in the ground and flowing, there comes the requirements and challenges of trying to properly maintain these infrastructure assets. There are requirements for properly maintaining these assets as defined by the Department of Transportation (DOT) CODE 192, but any good pipeline operator will maintain these assets to insure a safe and suitable design pipeline system. The challenges of pipeline asset management present themselves in several different forms, but can be broken down in to 4 main threats which are external corrosion, internal corrosion, 3<sup>rd</sup> party damage, and construction defects. This presentation paper will discuss those threats, how and why they occur, what the current methods are for detecting and preventing these threats, and the current research needs for improved asset management of natural gas pipelines.

#### **Introduction**

Proper asset management of natural gas pipelines begins with following the DOT 192 and 195 minimum requirements for asset management of gas pipelines. The Pipeline and Hazardous Materials Safety Administration (PHMSA) is a branch of the federal government that is responsible for regulating and ensuring the safe and secure movement of hazardous materials to industry and consumers by all modes of transportation, including pipelines.

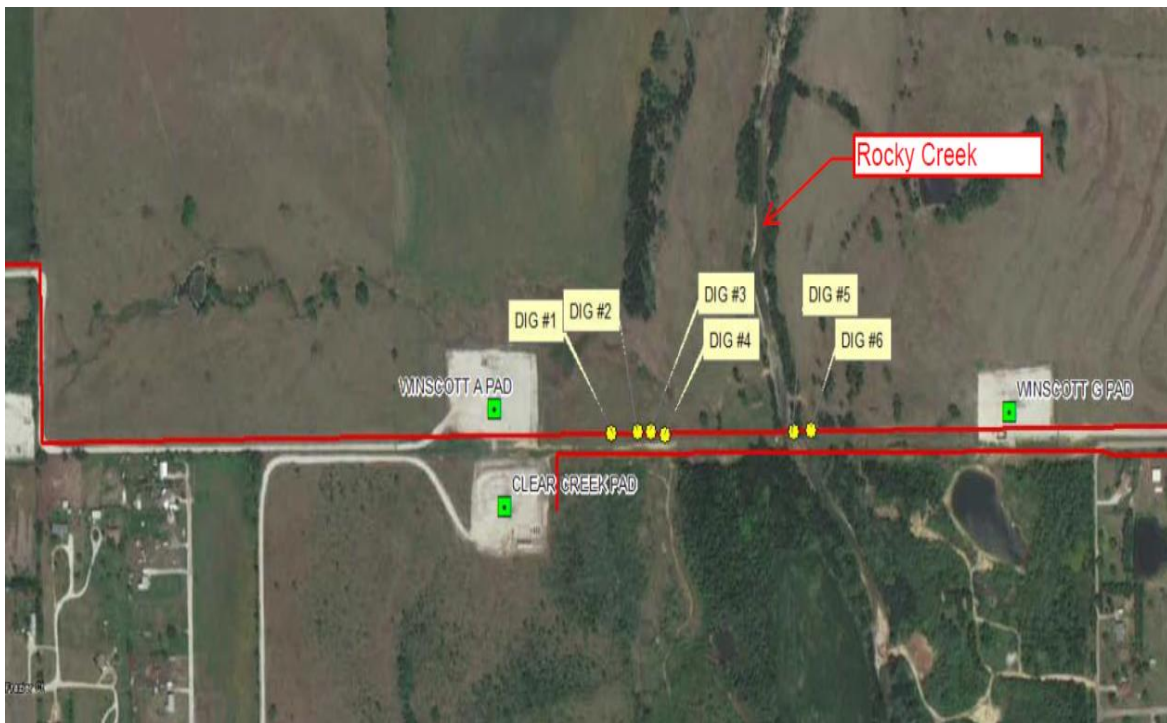
PHMSA is the author of the DOT 192 and 195 pipeline codes. DOT 195 is the code requirement for transportation of hazardous and/or combustible liquids by way of pipeline, and DOT 192 is the code requirement for the transportation of combustible and/or hazardous gases by way of pipeline. These codes are what set the minimum standards for proper asset management of gas pipelines and have set the standard for certain operational practices to be implemented in order for sufficient asset management of pipelines.

However, along with knowing the minimum DOT code requirements for asset management of gas pipelines, it is also very important to know the threats that endangers a gas pipeline system, how and why the threats occur, what the current methods are for asset management of a gas pipeline systems, and current research needs for asset management of a gas pipeline system. The top four threats to a gas gathering pipeline are internal corrosion, external corrosion, third party damage, and construction defects.

## General Discussion & Case Studies

Internal corrosion is one of the main threats to a gas pipeline due to the compounds that are found mixed in with the natural gas. These compounds mainly consist of bacteria from the well flowback, saltwater from the ground formation as a result of the wellbore drilling, hydrocarbons, and oxygen. These four components can attack the inner walls of a pipeline if proper asset management techniques are not implemented. Case study A which is a 24" natural gas pipeline called the Winscott to Grace Lateral, is an example of what can happen to the inner walls of a gas pipeline if the proper facilities such as pigging are not in place to prevent bacteria and water build up in the pipeline.

After running an inline inspection tool (ILI) on the 24" Winscott to Grace pipeline, the results of the tool indicated 62% wall loss in over a hundred different locations throughout a one mile length of pipe (figure 1 & Figure 2). The cause of this wall loss has not been confirmed, but the initial investigation by the ILI tool indicates that the pipeline was installed without any pigging capabilities for 24 months. Since the pipeline was not pigged for over 24 months, the pipe set idle/dead leg and allowed bacteria scaling and water to build up in the pipe and corrode the inner walls of the pipe. This form of pipe design and installation is known as a dead leg because it does not have any pigging facilities to flush out water, and inject chemicals to kill bacteria. The solution to repair this issue was to replace a mile of 24" pipe.



**Figure 3.1. Results of the Tool Indicating 62% Wall Loss in Over a Hundred Different**



**Figure 3.2. Results of the Tool Indicating 62% Wall Loss in Over a Hundred Different**

Case study B, is an example of internal corrosion that occurs when the proper asset management of facilities are in place, but not utilized. Case study B is four 12" pipelines, which were discovered to have 6 leaks after a pipeline patrol indicated a gas leak along the pipe right of way. Once the leak was detected during pipeline patrol, an ILI tool was run through the pipe and confirmed that the pipe had 6 leaks with defects up to 1" in width (figure 3 and figure 4). Since the pipeline had sufficient pigging facilities in place, it was determined that proper operation and maintenance activities were not being practiced for this stretch of pipe by verification of a lack of operation and maintenance records. The combination of low flows, non-pigging operation, and lack of chemical injection allowed the buildup of water and bacteria which ultimately corroded the pipe. The solution to repair this issue was to replace over a mile of 12" pipes.

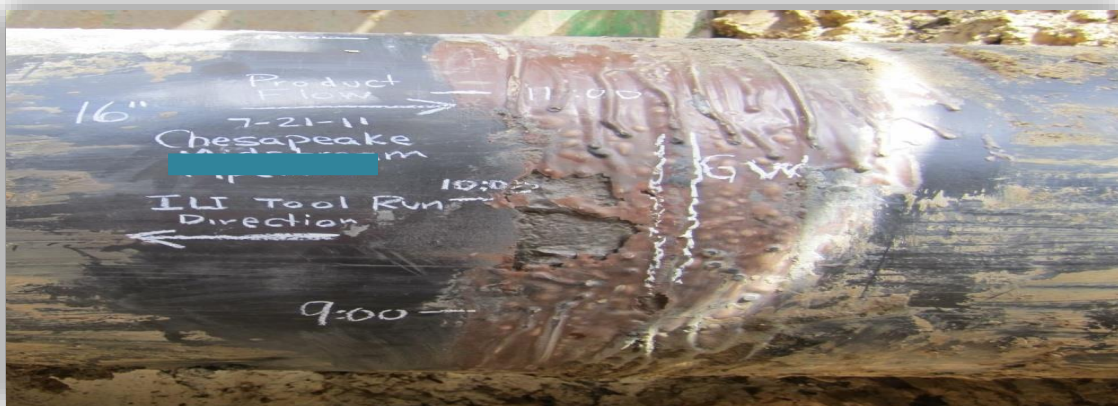


**Figure 3.3. Confirmation of the Pipe having 6 Leaks with Defects up to 1" in Width**



**Figure 3.4. Confirmation of the Pipe having 6 Leaks with Defects up to 1” in Width**

External corrosion is another top threat to natural gas pipelines. The case study presented herein for external corrosion is an example of a 12” pipeline that was determined to have 50% wall loss after running an ILI tool through the pipe. After exposing the pipe at the location of the wall loss it was determined that the wall loss was due to improper application of joint weld coating. As shown in figure 5, the joint coating was not properly and adequately applied to the joint and/or the joints were coated to quickly causing hydrogen gas to build up during the drying of the paint not allowing a sufficient joint coating. Therefore, this lack of joint coating application exposed the iron pipe allowing a direct corrosion point. The proper asset management technique that could have avoided this situation is to have good field inspection and acceptance of field joint coating applications, and proper training of how to apply field joint coatings. The repair method for this corroded joint is a clock spring composite wrap (figure 3.6).

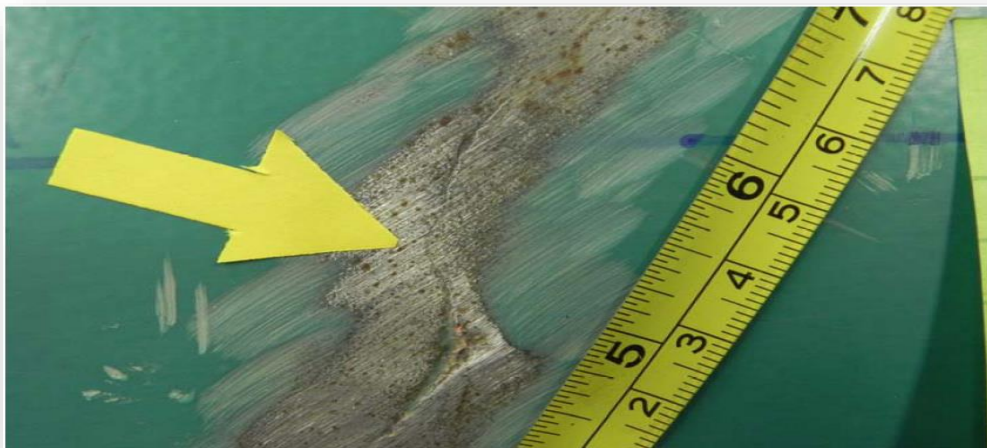


**Figure 3.5. Joint Coating not Properly and Adequately Applied to the Joint**



**Figure 3.6. Repair Method for Corroded Joint**

The third major threat to a natural gas pipeline is material defects. These material defects are due mainly to poor mill quality/production and/or poor handling once received at the construction site. Material defects such as cracked pipe or insufficient pipe coating can cause major problems to the pipe such as internal and external corrosion. Figure 3.7 and Figure 8 shows an example of poor pipeline coating during jeep testing that led to the discovery of a cracked pipe. It was concluded that there was insufficient mill quality oversight and testing due to a lack of inspection at the mill. The mitigation method for this insufficient pipe was to quarantine the pipe and send it back to the mill.



**Figure 3.7. Example of Poor Pipeline Coating during Jeep Testing**



**Figure 3.8. Example of Poor Pipeline Coating during Jeep Testing**

The fourth main threat to a natural gas pipeline system (if proper asset management operations are not in place for pre-construction activities) are construction defects. Figure 9 shows a pipeline that has been damaged due to a paralleling bore during the reaming stage. It was determined that a reamer caused the mechanical damaged during the reaming stage of a paralleling horizontal directional drilling installation of a 12" pipe. This could have been prevented by the use of proper field line locates and as-built records. The repair method for this damage was to replace 47.5 feet of 12" pipe. In addition, several other threats can be avoided which pose a problem to proper asset management of pipelines such as avoiding wyes which hinder sufficient pigging operations, fittings designed with radiuses less than  $1.5 \times D$  that hinder pigging operations, and random heavy wall pipes that hinder pigging operations. These type of defects can be avoided by proper design, oversight during purchasing, and good field inspection.



**Figure 3.9. Pipeline Damaged due to a Paralleling Bore during the Reaming Stage**

Another threat to natural gas pipelines is the formation of hydrates when hydrocarbon gases occur in the gas composition usually greater than 1180 British Thermal Units or BTU. When hydrocarbon gases greater than 1180 BTU occur in the gas composition hydrates can form when pressures increases, temperature decreases, and low flows occur. Under these circumstances, hydrates can form causing ice type crystals to form in the pipeline (figure 3.10). These hydrates clog the pipe, and cause for an insufficient pipeline system. Most hydrates are discovered when there is a pressure drop in the pipeline, low flows occur, or an ILI or pig run discovers the hydrates. Proper asset management to prevent these type of issues is to maintain low system pressures by adding adequate compression where needed, methanol injection, proper pigging, and to maintain temperature and pressure under the dew point requirement for the gas.



**Figure 3.10. Formation of Hydrates Causing Ice Type Crystals to Form in the Pipeline**

### **Causes of Pipe Rehabilitation**

With each of the four threats presented, each case study required some type of pipe rehabilitation. To summarize what the causes of pipe rehabilitations are, they can be grouped into three main categories which are lack of field and procurement inspection, poor O&M processes, procedures, and documentation, and improper design of gas pipelines. Where most material defects occur are at the mill inspection level when there is a lack of mill inspection along with improper delivery documentation. If the proper delivery documentation is provided, then the checks and balances are in place to insure good quality assurance of mill inspections. In turn, materials then should not be delivered to the site with defects because proper mill inspection occurred.

If there is improper procurement inspection, and a defective material is delivered to the site, then a second quality assurance check and balances should occur and that is at the field level site inspection. These type of quality assurance checks and balances are in the form of an eye ball inspection, pipe jeeeping, and non-destructive testing such as X-Ray and Hydro testing. However, even if an acceptable pipe is delivered to the site a lack of construction oversight on handling of the pipe can occur causing the pipe to be damaged. Therefore, good asset



management of pipes is always having good inspections on the pipe assets at all times (procurement and field handling).

Poor O&M process, procedures, and documentation can endanger the quality of a gas pipeline. Good asset management of a gas pipeline system always involves a good O&M process, procedure, and documentation, and is a requirement by DOT 192 Code. The most common reason for poor O&M procedures is usually not having a regular pigging schedule or no pigging of the pipeline at all which allows for water, hydration, and bacteria build up, dead legs in the pipe system, poor system operation management, and no chemical treatment of the pipeline system to prevent bacteria or hydration formation build up.

Improper pipeline designs can lead to pipe rehabilitation. The more common improper pipe designs are fittings designed to a bend that is less than a 1.5 x the diameter of the pipe. A pipe bend that is less than 1.5 x the diameter of the pipe will not allow a pig to negotiate the turn and will get stuck at the bend. Wyes that have angles less than 30 degrees can make running a pig through the intersection very difficult if not impossible, this in turn creates an unpiggable section of pipe. Dead legs as discussed previously, are bad design standards that create major internal corrosion issues. The design of the pipe wall thickness should also incorporate some type of corrosion allowance which helps maintain the life of the pipeline. Also, there should be a consideration for temperature, pressure, and flows in the design of the pipeline to help with pipe flushing and hydrate formation. Good design practices are a critical piece to proper gas pipeline asset management.

### **Current Pipeline Maintenance & Inspection Methods**

Good pipeline asset management involves knowing the latest technologies for maintenance and inspection. Current methods for gas pipeline maintenance are the following:

- **Pigging Procedures**
  - Pigging is a method where a brush/foam/heavy duty plastic tool is pushed down the pipe by using backpressure from the flowing gas.
- **Cathodic Protection**
  - The two main methods for gas pipeline cathodic protection are impressed current and sacrificial anodes.
- **Good O&M process, procedures, and documentation**
  - Best way to insure proper asset management of a pipeline system
- **Chemical Treatment**
  - Two main methods for gas pipeline chemical injection are methanol injection which prevents hydration build up, and anti-bacteria agents for preventing bacteria build up in the pipe.

Current methods for pipeline inspection are the following:

- **Caliper Pig**
  - Pigging tool that determines wall thickness and pipe ovality
- **Gyro Pig**
  - Determines pipe profile and bends through the use of a gyroscope. It helps to verify HDD bend radiuses, and determine exact profile of the pipe.

- **Gauge Plate**
  - Determines Pipe Ovality and Damage to Pipe Wall
- **Magnetic Flux Leakage**
  - Determines changes in wall thickness by inducing magnetic flux in in pipe to detect changes in amplitude due to wall thickness change, and it is an inferred measurement.
- **Ultrasonic**
  - Determines wall thickness by use of a transducer to send and receive sound waves. The difference in time of flight determines wall thickness.

### **Research Needs**

Although there are several very sound methods for insuring good gas pipeline asset management, there is always room for improvement. There are current research needs in the following areas:

- More Research in Pigging Technologies
  - Develop pig designs that allows chemical batch treating for biocide and inhibitors that helps coat the entire internal circumference of the pipe.
  - More improved low flow/pressure pigs
- More research in developing better remote monitoring of rectifiers to assure consistent CP. Tie into SCADA.
- More research on improving design of system to alleviate Hydrate and Liquid build up.
- More advancement in remote pipe monitoring
- Ways to protect existing pipe from 3<sup>rd</sup> party damage
- Improvement in predicting bacteria build up in pipeline systems
- Research in best ways to optimize O&M on pipeline systems

### **Conclusions**

In summary, the most current methods for proper asset management of gas pipelines are proper pigging procedures, proactive approach to O&M, good procurement and field inspection, acceptable ILI tools and operations, good design practices to allow for superior O&M procedures. Proper asset management of gas pipelines begins with knowing the DOT 192 code requirements, knowing the threats to a gas pipeline system, knowing how to prevent the threats to a gas pipeline, knowing the current methods and technologies for proper gas pipeline asset management, and knowing the future needs for developing new gas pipeline asset manage technologies.

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## **Paper No. 4**

### **Improving Transmission Pipeline Design, Operations and Maintenance**

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Refer to Appendix A, Page A-38, for a copy of presentation

## 4. Improving Transmission Pipeline Design, Operations and Maintenance

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The Tarrant Regional Water District is a raw water wholesale supplier in north central Texas, supplying 70 cities with a total population base of 1.8 million people. The District owns four reservoirs, uses three reservoirs owned by others and operates 187 miles of large diameter transmission pipelines. Almost 90% of the water delivered is to Tarrant County, encompassing Fort Worth and the surrounding metropolitan areas. This year we will deliver about 370,000 acre feet of water. About 70% of that water is delivered from two reservoirs 75 miles southeast of the county. The elevation increases by 440 feet through the pipeline route, requiring high horsepower pumping. The pipeline system has to be near 100% reliability to ensure there is no disruption in service.

The system has been built over the last 40 years, and the development and failures shown below.

**Table 4.1. Developments and Failures**

Pipeline	Year Finished	Diameter - length	Max Pressure, psi/ material	Number of failures
Cedar Creek	1972	72" – 68 miles 84" - 6 miles	225 psi/E301	9
Richland Chambers	1988	90" - 72 miles 108: - 6 miles	225 psi/E301	14
Benbrook	1998	90" – 11 miles	100 psi/E301	0
Eagle Mountain	2008	96" – 10.5 miles 84" – 10.5 miles	225 psi/Steel	0

Failures of the two lines were investigated, root causes found, and mitigated to the extent possible. Problems included high transient pressures, embrittlement of the prestressing wire, corrosion of the prestressing wire, and thrust restraint design. There are many damaged pipe in the system, and TRWD prioritizes pipe replacement based on damage, remaining strength and risk. Engineers from Simpson, Gumpertz and Heger developed models for TRWD to use to evaluate remaining strength and risk. To date, over 160 damaged segments have been replaced. There are over 800 damaged segments remaining, many which can provide service for some time to come.

Working through these problems, it became apparent that the design methodology developed in the AWWA standards and manual of practices in use at the time of design did not accurately predict the behavior of the pipelines. Working with the standards committee, the design of thrust restraint in M-9 was changed to a method that worked for the very soft soils in north Texas. The limit states design method in the current standard C-304 has been shown to be very effective, as shown in a study sponsored by the Water Research Foundation. The revised standard for prestressing wire, ASTM 648, ensures that embrittlement is no longer an issue. Solving our problems for thrust and determining remaining strength was done using finite element analyses using observed pipe condition and actual soil strengths.

Currently, TWRD is working on a joint transmission project with Dallas Water Utilities that consists of 84” to 108” diameter pipe over 149 miles. This project is through 20 different geologic outcrops along the alignment. The design is being developed for steel pipe and prestressed concrete cylinder pipe. We are working with the University of Texas at Arlington to explore using modified native backfills and controlled low strength materials developed from native soils. We are also working with them using finite element analyses to evaluate pipe and trench systems.

Based on the experience of TRWD, I offer these ideas toward improving the robustness of transmission pipelines:

Design – The design needs to balance reliability and cost. Transmission mains need to be very reliable. Equations for design are generally empirical, with coefficients estimated based on experience. The same equation is used for all diameters. Consideration should be given to develop standards for using three dimension finite element analyses for pie and trench systems. The software tools are available and there have been a great deal of studies published. Life cycle cost and reliability may be improved through enhanced structural design.

The applicability and performance of equations used to determine pipe wall thickness for static and dynamic loading needs to be explored to verify they produce the same degree of reliability for all pipe diameters and trench widths. Some questions to consider are:

Does ring theory apply to large diameter pipes or should they be treated as thin shells?  
Will the deflection be elliptical or can there be local deformations?

Resistance to collapse from vacuum is calculated by ring deflection. If a pipe does not deflect as assumed through ring deflection, could collapse occur at a much lower vacuum?

Should the stiffness of the mortar lining be used? In 40 years will that mortar lining still have the same characteristics or will carbonation decrease the strength? Trench backfill system analysis needs to be site specific based on measured parameters. Trench wall material and support for large diameter pipe can vary widely both vertically and longitudinally. Work done by UTA on CLSM has shown that stopping the clsm at 70% of the pipe height creates localized stress points that may lead to snap buckling. Full scale testing should be developed to explore vertical changes in trench wall strength to help guide trench width. Some questions to consider are:

1. Will vertical trench wall material changes result in non-uniform soil reaction, especially for rock/soil interfaces in the trench wall and create high localized stresses on the pipe wall?
2. Can backfill be developed using differing materials in different vertical zones to improve performance and reduce costs?
3. Trench width generally has a minimum based on constructability. For softer soils, a wider trench is required. There should be a way to optimize sidewall strength while minimizing width.
4. Coatings

Coating systems determine the life of a pipe. As coatings age and become permeable, corrosion or corrosion mitigation requirements increase. Designing for a century of life of a transmission main requires the coating system to perform flawlessly as the system ages. Questions to consider are:

- a) What measurement should be used for flexible coating acceptance? Pull offs measure adhesion but not permeability.
- b) What tests can be used for estimating the coating life. Glass transition temperatures may bias results for many polymer coatings.
- c) Will micro-cracking of mortar coating always heal, or will carbonation eventually make pathways of high permeability?
- d) How does the grout perform in non-welded joints over decades? Would a shrink wrap system provide for a longer life?

## 5. Installation

Conservatism in design may be consumed by the installation. Uniformity in the trench bottom is assumed. Small variations will add to the stress in the pipe through beam action. Compaction is also assumed to be uniform. Haunch support is critical and is the most difficult to achieve with traditional backfill methods. Exploration of installation variation could benefit designers and owners to understand the degree of conservatism that should be placed in design and what alternative methods for installation could be cost effective. UTA will be piloting a system for high accuracy measurement of pipe deflection. If successful, this should be used to explore large diameter flexible pipe installations to document deflection as it is found in the field. This information then could be used in design to develop proper factors of safety for non-elliptical deflection.

## 6. Operations

Operational upsets lead to extreme conditions for pipelines. Overpressure from leaking isolation valves and operator errors can lead to damage to long lengths of pipe and failure. Power failures and equipment failures can lead to transient events. Designs must include some provisions to help mitigate overpressure. Surge valves or altitude valves at strategic locations would help insure low volume extreme pressure events could be mitigated. Performance of these systems needs to be documented to ensure recommendations work. Transient analyses provide some guidance for design. Transient models do not reflect the full event, just the first low pressure wave and returning high pressure wave. There is still room for improved transient wave analyses.

Right of ways do not remain static. During design factors such as right of way use and future land use need to be considered. Long term differential settling resulting in shear is a concern. Pipes enclosed in tunnels under roads naturally have a point exiting the tunnel where differential support over decades may create stress. When roadways are widened, the force points will change. In our clay soils, this settlement may take decades to stop. The San Jacinto Monument is a great case to observe settlement over time. Settlement was rapid over the first two years, and then proceeded linearly for the next 4 decades until finally slowing to a total displacement of about a foot. Studying installations would help define what needs to be accounted for in design.

Operating conditions change over time. Most pipelines see increasing demands resulting in higher pressures and flows increase over time. Internal surfaces become rougher, resulting in high friction. Designs need to account for the life of the line and planners need to understand the eventual reduced capacity and pressures that the line can withstand as it ages. Guidance based on actual systems need to be documented for improved design and planning.

#### 7. Maintenance

Owners must take responsibility for an active maintenance program to make the system last. Funding is always an issue. Australia and New Zealand require asset management as part of their full cost of service. There are software programs available for guidance and numerous publications. There are still opportunities to refine best management practices looking solely at transmission mains and develop their cost to help support agencies to fully fund their systems.

Understanding what needs to be done needs to come from successful utilities. Support for this work needs to be developed in the industry. Data collection is always a hurdle. Defining practices and collecting the costs associated with them needs to be deliberate. Having staff time available to accumulate the information is always hard. A great deal of work needs to be done to define proper maintenance and justify the costs associated with it.

Transmission system reliability needs to be first and foremost in design, operation and maintenance. The failure of a system impacts a large population. The capitol costs for transmission is very high but failing to provide the proper factor of safety can plague a utility with interruptions. Transmission mains need to be considered as long term assets and designed sustainably, taking into account conductions that could occur decades from now. There is still a great deal to explore in large diameter pipe design that will optimize the resources required for a successful project.

## **Paper No. 5**

### **Broadband Electro-Magnetics (BEM)**

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Refer to Appendix A, Page A-45, for a copy of presentation



## 5. Broadband Electro-Magnetics (BEM)

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### Abstract

How many different pipe condition technologies and corrosion experts do you have at your company? Ultrasonics, Magnetic Flux Leakage, Radiography, etc....and all the different people and companies that are required to drive these service. I bet it's quite a list. But what if there was a technology and device which you could provide to a pipeline that would allow it to develop a virtual brain. Something that would allow the pipe to tell you how stressed it feels and more importantly warn you that it is coming to the end of its life and needs a revamp or a replacement? This presentation describes the integration of BROADBAND ELECTROMAGNETICS (BEM) into a pipeline network and the benefits of empowering pipelines for self-assessment. Create "THE INTERACTIVE PIPELINE".

**Keywords:** Non-Destructive Testing (NDT), Pipes, Bridges, Ferrous, Non-ferrous, Surface, Underground, Hardware, Software, Manager, Owner, Remote

### Introduction

Pipelines are the lifeblood of industry supplying necessary products and materials as well as removing waste. These networks ensure efficient and environmentally sustainable means of transportation to and from destinations, some of which may even occur in treacherous and inhospitable environments, in relative safety.

As long as these arteries provide a dependable service, ensuring management, employees, customers, suppliers, and partners have access to products and materials wherever and whenever required, the business runs smoothly and efficiently.

However, allow one of these pipelines to fail unexpectedly and the whole operation will at best be disadvantaged, at worst will be brought to a standstill. Such an event can not only paralyze the operation but can also extend beyond the operation itself and cause untold harm if the failed system is not rapidly brought under control. Since pipes often lie in treacherous, inhospitable and difficult-to-get-to environments, taking action to contain or repair failures can be difficult. Proactive awareness is the best solution leaving little to chance.

### Asset Management

Asset management or condition inspections are routinely undertaken within clear limits developed partly with the service provision and partly by the need to continue operation unabated. External and internal inspections and testing is classically undertaken with human intervention, thus commonly limited to locations which can be accessed by technicians, in-pipe inspectors or divers. In some cases humans have been replaced with remotely operated vehicles (ROV) or pipeline inspection gauges (PIG). These tools provide a means of delivering the inspection technology to specific locations. Where they are fitted with video cameras actual CCTV footage can be obtained for visual assessment at any location filmed. However, getting inspection teams, ROV's or PIG's to desired locations can be difficult, time consuming and costly.

The result of these inspections, especially where they are in locations, not easily to get or not accessible, means that little or no information is collected and asset managers have to make do with what they get. It is also quite impractical to undertake the inspections from inside the network because that slows down or stops transport of the conveyed product for a set period of time resulting in a drop in revenue or loss of service. Left unchecked the pipe owner is potentially left with predictably damaging results.

### **BEM Technology Background**

BEM technology falls under the umbrella group of devices commonly referred to as ‘pulse EM’ systems. This technique is derivative of geophysical equipment which has been used in the Australian exploration industry for more than 90 years and is therefore based on well-established physics principles. RSG’s background knowledge of this technology and experience in its use in the exploration industry has allowed it to modify the technology for NDT inspections, suitable for acquiring detailed information about the current condition of surface or sub-surface pipelines as well as other infrastructure such as tanks and bridges.

It can be said that the fields of geophysics and non-destructive testing has merge. The point of commonality is the field of physics. At one end are geophysical techniques such as seismology, magnetics and electromagnetics while at the other end are non-destructive testing techniques such as ultrasonics, magnetic flux leakage and eddy currents. From a physics view point these techniques are based on the same principles.

Although it may seem at first glance that material testing and mineral exploration are worlds apart, the fact is that identical physics is used for exploration geophysics as for non-destructive testing (NDT).

- Seismology = Ultrasonics
- Magnetics = Magnetic Flux Leakage
- Electromagnetics = Eddy Current

Having used and evaluated many of the commercially available devices, which make use of the physics principles described above (UT, MFL, Eddy Currents), and identifying the short comings of each technique, RSG embarked on a process of developing its own technology some 25 years ago.

The development of this BEM was not the result of wanting to develop technology for the sake of it. It costs many millions of dollars to bring technology to the market so it is not something considered lightly. BEM was developed because existing and available techniques and devices could not give the level of detail and data confidence required for assessments of assets without misrepresentation or unacceptable commercial risk.

Many of the devices used as NDT are actually destructive because they have some level of impact on the pipeline. Hence to call these techniques NDT is really a misrepresentation. To not remove coatings or linings or to not ‘polish’ surfaces for good sensor contact means yielding low confidence data. Furthermore, acquiring data using frequency dependent devices in regions know to be ‘infested’ with stray fields, potentially altering recorded frequencies unexpectedly, give rise to recording of inaccurate results. These limitations added up to unacceptable commercial risk for RSG.

## **External Inspections**

External pipe wall condition assessments are typically carried out on all types of ferrous pipelines to explore the integrity of the ferrous pipe wall. Tunnel wall inspections have also been undertaken with this technology. Pipe scanning is undertaken using HSK (Hand Scanning Kit) non-destructive testing (NDT) technique. Individual readings are taken along the surface of a pipe. With the aid of a temporary paper grid wrapped around the outside of the pipe allowing for accurate positioning of each reading taken. Following post survey data processing this allows a presentation of results

### **Advantages**

- Scanning is not limited by the diameter of the pipe or shape of the pipe component (e.g. elbow).
- The equipment has the ability to survey through thick coatings (25mm+/1”+) of materials such as paint, tar or concrete commonly found on many buried and exposed pipelines.
- The line does not have to be taken off-line, as readings are taken from the outside of the pipe. The technique scans through the full wall of the pipe registering corrosion or flaws within the full wall thickness.
- Negligible effect of outside stray current fields potentially contaminating resulting data.
- Where stray fields are identified – these can be clearly seen in captured data – variations in
- Data capture parameters are possible since the device is non-frequency dependent.

## **In-Line Inspections**

Internal pipe wall condition assessments have been carried out on any diameter of pipe 2” upwards. Continuous data can be recorded along extensive lengths of pipeline. During in-pipe data acquisition the NDT probes are either winched, rodded or manually pushed (where pipe diameter allows manned entry) through the pipe. Due to the large volumes of data recorded as part of any scan, distances surveyed along smaller diameter pipes are typically 1,000’s feet per day while in large diameters only 100’s feet per day can be scanned. Data acquired is generally represented graphically or as color contour plots. The graph below is actual data collected along a series of cast iron pipe sections.

### **Advantages**

- Ideal for extensive pipe surveys where the probe can be inserted into pipe hatches or cuts eliminating the need for extensive excavations or physical pipe sampling.
- Typically the pipe needs to be dewatered, cleaned and off-line for surveys.
- In special circumstances the PIG can be operated in full or partially filled non-pressurized pipelines eliminating the need for total dewatering of the pipeline.
- Can survey through all known internal linings including thick layers of cement.
- Can survey through thick and uneven tuberculation in water pipes.
- Probes can be customized to fit a variety of pipe diameters starting as small as 2”.

## **The Interactive Pipe**

The scale of operations, length of pipeline networks and breadth of environments the networks are expected to operate in, make traditional inspection costly and often haphazard.

Equipping pipes with a means of being able to interact directly with their asset manager has the potential of reducing the need for arbitrary inspections or inspections in hostile environments while at the same time allowing inspections in places previously inaccessible. Smart computing will allow asset managers to be virtually everywhere along the network, virtually all the time.

### **Benefits of an Interactive Pipe**

To anyone who's gone out to assess a pipeline, or tried to locate that elusive corrosion location with a 10mm probe head and miles of pipe to inspect or has attempted to organize the inspection locations into a week's program, the benefits of pipes that continuously report their state will be apparent.

### **Sensor Installation**

Antennae consist of sensors which emit or receive signal responses. These sensors are little more than coils of wire housed in a plastic casing. The size of the sensor can be altered depending on the size of the target or the pipe under inspection but essentially the antennae wrap around the outside of the pipe and can be permanently bonded to the pipe. There is no need to remove or damage the pipe coating and the antennae can be fitted to new pipes or existing pipes can be retrofitted.

### **Pipe Access**

Unlike routine inspections, access to the pipe at any location is required only once at the time of initial installation. The pipe can then be submerged, buried, insulated, elevated high overhead, basically located anywhere without the need for further access. Installation can occur prior to pipe placement or laying where the pipe is new or a new section of pipe is being considered. If retrofitting is considered then one-off access to the desired location is required by manned access, divers, via excavations or when the pipe cladding is removed. At no time does the pipe need to be off-line so installation has little if any impact on service.

### **Full Circumferential Scanning**

By strapping or attaching the antennae about the full circumference of the pipe information can be obtained for the entire circumference at each scan period. The positioning of the antenna ensures 100% surface coverage of the pipe section with BEM sensors. Data is available for the entire pipe for the section scanned.

### **Scanning Through Coatings**

Since BEM is a truly non-destructive and non-invasive technique and does not require the metallic surface to be prepared or exposed in any way to allow for scanning, the technique is ideal for scanning through protective coatings. Even where the pipe is being retrofitted and surface corrosion products exist there is no need to remove these to allow for inspection by BEM signal. In many cases the removal of surface corrosion products can actually be detrimental to the pipe health because its removal exposes new fresh metallic surfaces to attack. Simply pick your location, undertake a superficial surface clean and the pipe is ready to start reporting on its state of health.

### **Frequency of Scanning**

The frequency of scanning at any one location is driven by the asset manager who programs the activation software as desired. Corrosion, abrasion or alteration of pipe walls is a

relatively slow process compared to the potential scanning frequency so it is reasonable to expect many hundreds or even thousands of scans to occur before pipe changes are recorded. However, because the scan is activated by the scanning software, emission and reception of the data is done by the permanently installed antennae, data is recorded automatically to a central PC by recording software, analysis software undertakes the basic analysis of the data and the system can issue regular plots and reports, the scanning frequency can be virtually continuous with the pipe reporting its condition somewhere along the pipe length all the time.

### **BEM Signal Emission & Reception**

When it is time for the pipe to report its condition, the driving software MetCon©, which resides on the central PC, will issue a command to the electronics to send a pulse to the transmitter in a specific antenna. The transmitter emits the signal which ‘energizes’ the pipe wall under inspection (underneath the transmitter foot print). In a number of milliseconds the receiver, also housed in the specific antenna, responds to the emitted signal and sends the received signal back to the electronics where the data is digitized and send over 10’s, 100’s or even 1,000’s of feet of cable to the central PC to be recorded and stored. This is all run and managed with the aid of MetCon©.

### **Data Processing & Analysis**

When MetCon© receives and stores the recorded data on the central PC it proceeds to make a ‘handshake’ with MetProc©, the processing software. During this ‘handshake’ the recorded data is passed over for processing. MetProc© processes and analyses the captured data against imbedded databases of known and recorded samples of the pipe material.

### **Data Plotting, Reporting & Management**

The processed and analysed data is now ready to be reported on. This is achieved by a ‘handshake’ between MetProc© and the plotting and reporting software MetPlot©. When the processed and analyzed data is received, MetProc© can provide the data in a number of graphical plots or models. These can either be made available to the asset manager or archived and MetPlot© can be programmed to sound the alarm when the recoded, processed, analyzed and modelled BEM response approaches or reaches a predetermined level or value.

### **Accessible 24/7 from Anywhere**

The Pipe Awareness System is fully integrated and automated allowing the use of BEM technology to scan pipeline infrastructure anywhere at any time without the need for human intervention. The associated MetCon©, MetProc© and MetPlot© software activate the scanning process, record and process the captured data or analyze and report the results. All of this is done remote of the location where the scanning is taking place. The central PC can communicate the results via a cloud-based application that’s accessible at any time, from any Web browser. You can instantly view the results and share them with colleagues, customers, suppliers, and partners. You can monitor pipe condition in real time on your smartphone or tablet, even if you’re halfway around the world from the inspection site.

### **Scalable**

Whether you are looking to monitor a 6” or 60” ferrous pipe, the empirical components of the system can be scaled to suit. No matter how many pipes of varying diameters you have, the

BEM system can be scaled up or down to meet your requirements. There's no limit to the number of antennae you can connect together at any location.

### **Conclusion & Summary**

In order to meet today's demands for non-destructive, accurate, cost-effective methods of evaluation, a clear understanding of a broad range of NDT methodologies is essential to allow one to take advantage of what the technologies offer. Using existing, modified and new techniques, such as BEM, an appropriate and cost-effective assessment program can be designed to suit a range of under and above-ground pipes, conduits, tunnels, and other structures. BEM is now commonly applied to studying and assessing ferrous water main supply pipes, sewers and gas lines. It can be used in both surface, and in-pipe systems. One of the main benefits provided by this technique is its ability to survey *through* ferrous pipe external coatings or internal linings. To date, successful surveys have been conducted through coatings in excess of 4" thick.

Recent enhancements to the BEM technologies have also increased their sensitivity. New probe configurations for medium-large diameter pipes are becoming available as the inventory of probe increases allowing for detailed in-pipe inspections and the possibility to construct a project specific probe is there. A need to understand the condition of extensive pipeline assets at any given moment can be met by a BEM system having the attributes to allow for this. With years of global application in pipeline inspections this tested technique is now supported with software allowing for the scanning and data collection, processing, analysis and reporting of any pipe anywhere at any time.

It is now possible to equip a critical network with an inspection capability for pipelines to virtually report their bill of health, regardless of whether the asset owner or manager is on site or on the opposite side of the world. The time for 'THE INTERACTIVE PIPE' is here.

**Paper No. 6**

**Pipe Condition and Earthquake Damage The Information  
That Is Not Currently Recorded**

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Refer to Appendix A, Page A-54, for a copy of presentation

## **6. Pipe Condition and Earthquake Damage The Information That Is Not Currently Recorded**

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### **Introduction**

In the aftermath of any major earthquake event, there is immense pressure on utilities and their operators to make repairs and to get systems working again. At these times, pipe failure repairs are undertaken by multitudes of contractors, many totally unfamiliar with the normal procedures and record keeping. In the haste to make essential repairs and restore service, some unique opportunities to investigate pipe condition, cause of failure and failure modes can be lost. Also, little (if any) information is recorded, especially during the initial response phase.

The damaged pipes are loaded directly on trucks and consigned to landfill or they are stockpiled in random heaps for disposal. By then, it is usually too late to identify where they came from and impossible to tell what is earthquake damage and what is damage caused by excavation and repair works.

There is much valuable information on failure modes and cause of failure that can be gained from even a brief, informed examination of the failure as it is exposed and repairs are made. Photographic records of failures is generally poor and many photographs that are taken are out-of-focus and under-exposed.

This writer's opinion is that that with only minimal additional cost, a significant amount of valuable information could be recorded that could lead to improved designs and better selection of pipe materials and jointing systems. How this information can best be recorded appropriately is a subject for further research.

### **Detailed Examination of Failed Pipes & Fittings**

Only a few Christchurch earthquake damaged pipes and fittings failures were examined and investigated in reasonable detail by the writer and no others carried out any of this work. The examinations that were undertaken showed that there were other significant contributing factors that resulted in failure, aside from the direct earthquake effects. These examinations also highlighted that many of the pipe failures that occurred could have been easily prevented and the amount of earthquake damage minimised.

These other factors include:

- The pipes were of poor quality and probably should never have escaped the manufacturer's quality assurance checking,
- The pipes have been poorly installed,
- The design did not have sufficient flexibility and allowance for differential movement.



## **Poor Installation**

### **Pipeline Inventory Records**

When checking the details of failed pipelines in the GIS system (e.g. year installed, pipe material and recorded diameter), a number of anomalies were found which indicate a need to check and verify the GIS data. Even well managed networks can have significant numbers of anomalies in their asset records.

Earthquake response and recovery repair works provide a unique opportunity to gather information on large tracts of the piped networks over a relatively short period of time. Provided the repair data is complete, reliable and consistently recorded, the information can be used to confirm and/or revise the records.

All utilities have their own standard systems and forms, be they paper copy or in electronic format. The prime goal is to keep them simple and to minimize the amount of unnecessary data generated. Sometimes essential data is not recognized on the paper forms or when using electronic data capture, there may be insufficient fields for the range of information needed for out-of-the-ordinary issues.

It is not uncommon to find in pipeline inventory records that similar pipe materials are identified in many different ways. As an example, polyethylene pipes in New Zealand can be called up in GIS systems as any of the following; PE, HDPE, Alkathene, polythene, poly pipe, PE 80, PE 100. Sometimes these descriptors are “correct” (e.g. Alkathene [LDPE], PE 80 and PE 100) but more often than not, they are just different generic descriptors that have been used for PE pipe. Similar issues also exist for most of the other common pipe materials.

Therefore, an essential first step in rationalizing record keeping is to standardize on materials identification and making sure that staff have the training necessary to reliably record the information.

Pipe material identification can also be a source of problems. It is frequently assumed that it is easy to distinguish between the different pipe materials. Nothing could be further from the truth for many pipe types. Without appropriate training and experience it is easy to get-it-wrong and even experienced maintenance operators do not know the difference between some of the trickier pipe materials.

### **Some General Observations and Comments**

Based on over 40 years of experience with piped network design, operation, maintenance, condition assessment and cause of failure investigations, it is the writer’s opinion that:

- Field record forms are usually inadequate for dealing with anything that is out-of-the-ordinary and earthquake response and recovery work certainly falls into this category.
- When it comes to recording pipe failures (be they routine maintenance or emergency repairs) there is rarely a process in place to adequately record what happened or guidelines for retaining samples for future examination.
- Photographs of sufficient quality of the failed pipe or fitting are seldom taken.

- It is usually assumed that maintenance personnel fully understand all of the pipe materials in the networks they are maintaining and that they will make the right decisions without fail.
- Maintenance operators are usually under-valued and under-trained.
- There is an industry need (world-wide) to train key maintenance personnel in these areas:
  - Ways to reliably identify pipe materials,
  - How to record information gained from repair works,
  - Basic condition assessment principles, ,
  - How to decide when to take samples for expert examination,
  - How to package such samples for safe transit (if they need to be forwarded for assessment).

### **Research Needs**

Improvements to processes for recording pipe failures can usually be made in even the “best” utility systems. A research program, carried out jointly with utilities asset management and maintenance personnel, would help to improve data capture as well as improving preparedness for the next earthquake emergency. The training needs for maintenance personnel (including the need for “refresher” courses to keep up to date with new pipe materials and equipment) should also be considered.

The outcomes of this research would also benefit day-to-day operations and could be used to provide information for reliably updating and correcting asset inventory records as well as providing valuable resource material for future research.

Some of the aspects that the research could address include:

- Checking of asset inventories for reliability and consistency (by interrogating and challenging existing records).
- Rationalization of pipe descriptions in the inventory (eliminate multiple names for the same material).
- Confirmation of pipeline install dates (sometimes a default date is used in data entry and is rarely corrected).
- What information should be recorded by maintenance personnel? (Remember to keep it simple, so don't record unnecessary data).
- How should it be recorded? (Paper copy or electronic format).
- How much checking is necessary before changes to asset inventories should be made?
- How to set up appropriate systems to change incorrect records? (Consider who owns the data and who can make changes).
- Determine if maintenance personnel need additional training.
- Find out if suitable training courses are available and if not, it may be necessary to start your own using suitably experienced and qualified experts who understand all of the pipe materials.
- Identify who should be trained (e.g. leading hand, foreman, or overseer?)

### **Conclusions**

By implementing the outcomes from such a research and improvement program, utilities should be able to keep better records of the next emergency. The knowledge available from better record keeping will also be useful for future earthquake damage research. Serious consideration should also be given to identifying appropriately experienced pipe experts (pipe whisperers) that can be used during the response and recovery phases to assist with the assessment of pipe condition and cause of failure.

## **Paper No. 7**

### **Pipeline crisis: Why Research Matters**

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Refer to Appendix A, Page A-67, for a copy of presentation

## 7. Pipeline crisis: Why Research Matters

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### **A crisis in pipelines?**

If a crisis is a crucial situation or a turning point, then the slow-changing condition of pipelines may not qualify as one, but the term “creeping crisis” might apply because, if nothing is done to improve the overall condition of pipelines, the consequences will be significant. What would these consequences be? Increasing water main breaks, sewer failures, gas main explosions, and bursts in oil pipelines are a few examples. If you add up the potential consequences of inaction, the condition of pipelines is seen as needing attention to avoid undue risk to the public and environment and a buildup of financial commitments for future generations.

Whose job is it to fix this problem? Should it be by federal action, both by appropriations and regulations? Should it be local responsibility? What role should industry play? As in other infrastructure issues, it is really “all of the above” because the pipeline industry has many parts and scenarios.

### **Will research be useful to resolve the problem?**

It will take a lot of money to make progress on this issue, but can research help as well? Some may argue that pipeline issues involve mainly practical problems and don’t require research as much as they do good and skillful work. Others will say that pipelines are increasingly high tech and high risk, and that research is needed to push the envelope. Both answers will be correct, and therein is the riddle of pipeline research.

Pipeline research is like that in other fields which require complex equipment to meet multiple needs and requires attention to technology, management, materials, equipment, scenarios, rules and procedures, and risks. A few examples of these fields include medical research to develop tools and methods, product development and marketing, transportation systems to improve mobility, and social research to strengthen families. In all cases, the key issue is *integration* of discoveries and practice. It is really just an extension of the maxim that it is easy to develop ideas, but the hard part is putting them into practice and making a profit at it.

This also raises the question of whether pipeline research is basic or applied, comprises research *and* development, and is adequate along the spectrum of research-to-practice? To make research management adequate, what is the best model? Should it be government research? After all, the technology for the Internet was developed this way? Should it be academic research? Should it be industry cooperative research, as for example the WaterRF, WERF, or EPRI?

It is difficult to answer that question because pipeline research involves multiple players, including federal agencies, water and gas and oil associations, vendors who develop products and services, and consultants who seek improved tools to apply to client work, among others.

### **Trends in the organization of industry cooperative research**

People have always studied problems to find solutions and better methods. The experiments of Benjamin Franklin and Thomas Edison with electricity should be known to every school child. However, as increasingly-complex technological problems emerged, it became clear that new approaches to the organization of research were required. One of these was the creation of the National Science Foundation, which followed World War II development of advanced weapons systems. Vannevar Bush's book *Science, the Endless Frontier* tells this story.

As a result of WWII research, the nation started to seek organized research approaches to other problems, such as in the Water Resources Research Act of 1964, which created a new intergovernmental research program. In that same decade, AWWA initiated its research foundation, now named the WaterRF, and the electric power industry was soon to launch EPRI, in 1973. EPA was created from existing programs in 1970, and its research program grew to embrace multiple media and regulatory missions. WERF came in 1989 to address water quality and related issues. The Gas Technology Institute was created by merger of the GRI, created in 1976, and the Institute of Gas Technology, which had been created in 1941. PHMSA was created in 2004. Clearly, these cooperative research programs, ventures and partnerships are continuing to emerge. What will be next? What kind of payoffs come from this approach to research?

It is difficult to pinpoint major advances, but areas of work familiar to me are asset management, causes of pipe breaks, corrosion mechanisms, surveys and inquiries about pipeline issues, tools for condition assessment, accounting methods for water losses, management frameworks, like "knowledge management," and BMPs, such as distribution systems optimization. While most of these involve synthesis of work, rather than fundamental new advances, they create knowledge and make it available to the pipeline community.

Is the knowledge useful? Some allege that researchers don't care about usefulness, and are unconcerned with messy details. The researchers might complain that practitioners ignore research and refuse to implement reforms, but the practitioners might counter by deriding the research as ivy tower. Is it a fundamental problem, or simply a misunderstanding? Given the divergent incentives of researchers and practitioners, the conclusion seems to be that it is fundamental and that research-practice gaps will be difficult, but important, to overcome. Of course, there are always technology agents, who see both the need for research and for its application.

Another important issue in cooperative industry research is competition. How do you juggle the tension between shared knowledge to advance the public interest and proprietary knowledge to advance the profit motive?

### **What to do?**

If pipeline research can make a difference in mitigating problems and avoiding consequences, what should be done? Obviously, it depends on which industry and which role to play. In the case of the water industry, we see slow change but high stakes in public health and deferred maintenance. In oil and gas, we see aging infrastructure, environmental conflicts, and increasing networks of pipelines that track today's energy boom.

Depending on who you are, you must first determine the boundary conditions of the problems you address. As a researcher, mine are defined by areas where I might succeed in research. If you are a research organization, then the boundary conditions are formed by your industry and its major issues. In any case, we must identify problems clearly to find solutions.

Should we try to make it happen or let it happen? Whose job is it to figure that out? In any case, we must make a business case for our research programs by identifying the important problems, recruiting industry advocates, getting good researchers, and planning research-to-practice transitions.

Rather than a cost-benefit calculation, it will be success stories that carry the day. Champions are needed, like Harry Hopkins, who headed up FDR's WPA program. It is the job of research organizations to bridge the gaps and organize the advocacy.

At the end of the day, research matters because society depends on technology advancement. Pipeline work is a shared inter-industry activity for both profit and public good. It deals with many subjects, synthesis and basic work. It should be innovative but practical. To succeed we should foster cooperation and work through the challenges. It is important work and worth doing.

## **Paper No. 8**

### **Integrity Management in Piping Infrastructure Systems**

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Refer to Appendix A, Page A-81, for a copy of presentation



## 8. Integrity Management in Piping Infrastructure Systems

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GTI is the leading research, development and training organization addressing energy and environmental challenges to enable a secure, abundant, and affordable energy future. For more than 70 years, GTI has been providing added value to the natural gas industry and energy (nuclear water pipes) markets by developing technology-based solutions.

### General

- GTI and its predecessor research institutes have been serving the gas market since 1941
- GTI commercialized over 500 products, provided over 750 licenses and produced over 1,200 patents

### GTI Structure

- GTI research program is aligned with the industry's value chain from exploration to delivery infrastructure
- GTI has trained and certified over 60,000 energy professionals in gas distribution and transmission and in ASME nuclear water piping systems and others
- GTI has about 250 employees with 60% scientist and engineers and 44% with advanced degrees

### GTI Focus on Piping Infrastructure and Integrity Management

- GTI customers include local distribution companies and the government agencies that regulate the activities of gas and nuclear water utilities.
- GTI has developed a full understanding of the performance characteristics of steels and plastics in:
  - o Oil and gas gathering lines
  - o Oil and gas transmission lines
  - o Processed water lines
  - o Gas distribution lines
  - o Water lines in conventional and nuclear power plants.
- GTI continues to develop integrity management and risk assessment systems for all the above applications.

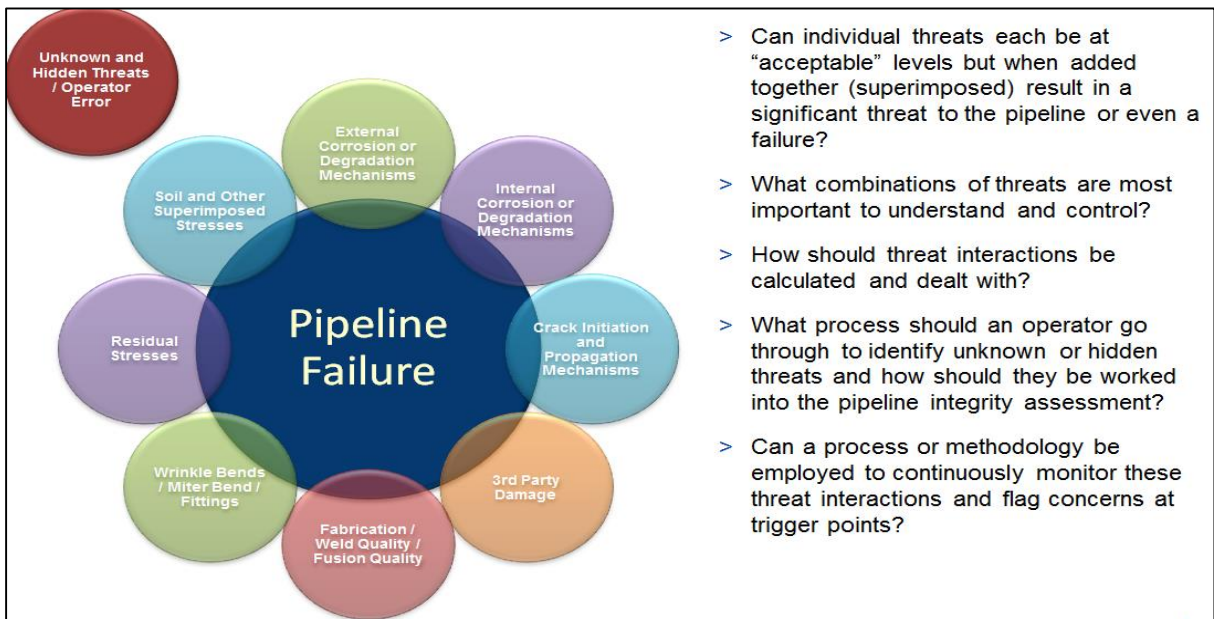
### GTI Expertise in Polyethylene

- As early as 1952, GTI performed research on plastic pipe and on metal pipe for utility use.
- GTI published 237 reports and software packages on plastic pipe use in gas distribution systems (1997-2005).
- GTI was among the early drivers of advancement in polyethylene science along with Osaka Gas, Tokyo Gas, British Gas and Gaz de France.
- The gas industry focus on public safety and in-field failure modes of polyethylene lead to millions of dollars being channeled to research projects carried out by the above listed organizations.

- GTI research programs led to the full understanding of the behavior of Polyethylene materials and led to the continuous improvement and understanding of its material properties.
- Key to this understanding was the understanding of the Slow Crack Growth (SCG) failure mechanism and the development of the PENT test to quantify a polyethylene material's SCG resistance, all carried out under GTI research projects.
- All of the polyethylene knowledge developed by the gas industry has made its way into potable water applications through ASTM, ASME, ISO and AWWA standards.

**Current State-of-the-art in Gas Piping Integrity Management**

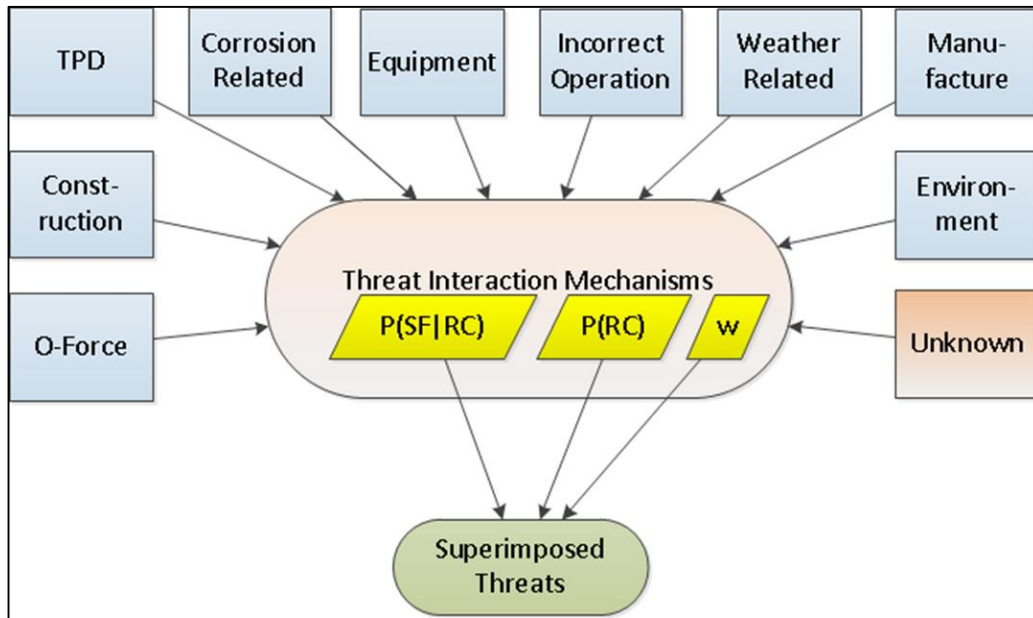
- Focus research programs on developing detailed understanding of the causal mechanisms in system failure
  - o Basic scientific research to understand the physics and chemistry
  - o Intelligent use of existing data
  - o Develop probabilistic models for each failure mechanism
- Define the correct datasets needed to properly determine the likelihood of failure in the piping system



**Figure 8.1. Datasets Needed to Properly Determine the Likelihood of Failure in the Piping System**

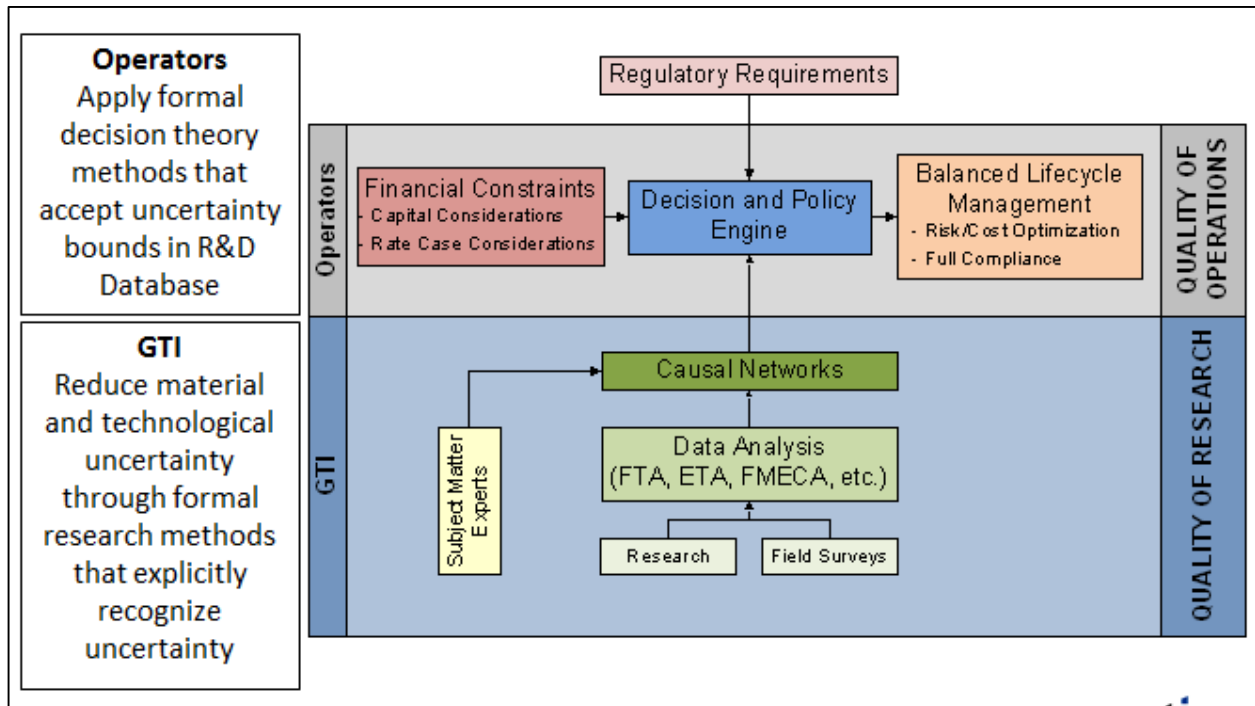
- Rigorously address threat interactions
  - o Develop proper calculus to properly combine multiple threat mechanisms acting simultaneously

- Combine consequences of failure with likelihood of failure to determine the risk associated with each section of the system



**Figure 8.2. Threat Interaction Mechanisms**

- Run scenario analysis to determine the most effective risk management strategy



**Figure 8.3. Scenario Analysis to Determine the Most Effective Risk Management Strategy**

## **Conclusions**

- Balanced lifecycle management demands a full understanding of risk inherent in the system.
- Uncertainty needs to be explicitly addressed.
- Proper data needs to be collected.
- Intelligent probabilistic models are needed to support decision making processes in complex piping systems subject to multiple threats, constraints and sometimes conflicting objectives.

**Paper No. 9**

**Pipeline Research Needs: Material Properties and Operational Surge  
in Pipeline Failures**

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Refer to Appendix A, Page A-91, for a copy of presentation

## 9. Pipeline Research Needs: Material Properties and Operational Surge in Pipeline Failures

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### Abstract

The forensics and investigations of pipe breaks focuses on strength of materials. Pipe breaks or failures have more to do with fracture mechanics and loading than is generally recognized in the industry. Pipeline design focuses on strength of materials and forensics assumes that material strength is a good predictor of material failure. This paper highlights the need to research and understand pipe materials, fracture mechanics and surge loading in order to understand and ultimately prevent pipeline breaks and failures.

### Pipe Failures/Breaks and Material Properties

Pipelines leak, blowout, or catastrophically fail when the mechanical/structural demands of the system exceed the mechanical/structural capacity of the pipeline at the time when the leak or blowout occurs. When a pipeline is new, catastrophic failures are not common because design practices use known design conditions along with engineering safety factors to insure that capacity exceeds demand when the pipeline is fabricated and installed. By definition, prior to the failure, the capacity always exceeded demand. Over time, both demand and capacity change. Damage to the pipeline during installation, operation, and interaction with the internal and external environments accumulates over time and reduces pipe capacity. Damage accumulation as a function of time reduces capacity of the system.

Demand generally increases with community development and time. We demand more of our aging pipes and infrastructure as the age. Capacity decreases as material properties and condition deteriorate due to environmental and operational degradation mechanisms. For example, from the soil environment, corrosion removes sound metal and reduces the mechanical/structural capacity of the pipeline where the corrosion occurs. From the operation standpoint (depending on the pipe material), pressure transients, spikes, or surges can result in damage accumulation and reduction of mechanical/structural capacity.

Many engineers make the assumption that material strength relates to pipeline failure or breaks. Strength of materials is useful for design purposes, but not necessarily to predict failure. In design, the “material strength” of a material is reduced by the factor safety to give the design allowable stress. The assumption is that the factor of safety contains sufficient margin to prevent failure of the system during its lifetime. However, the time dependent failure of pipes is due to the non-uniform accumulation of damage which reduces the local capacity of the pipe. Whether loss of local capacity results in eventual failure is determined by the fracture mechanics and the properties of the pipe material.

Fracture mechanics is the field of mechanics concerned with the study of the propagation of cracks in materials. It uses methods of analytical solid mechanics to calculate the driving force

on a crack and those of experimental solid mechanics to characterize the material's resistance to fracture and failure.

Fracture mechanics was developed during World War I to explain the failure of brittle materials. Experiments on glass fibers suggested that the fracture stress increases as the fiber diameter decreases. Hence the uniaxial tensile strength, which had been used extensively to predict material failure, could not be a specimen-independent material property. A.A. Griffith suggested that the low fracture strength observed in experiments, as well as the size-dependence of strength, was due to the presence of microscopic flaws in the bulk material.

Cast iron (CI) is a brittle material. CI is a more brittle material compared to steel or ductile-iron pipe materials. Brittle materials are not necessarily “weak” or lacking in strength. That is to say that when uniaxial tension is applied in a uniform fashion, the stress required to failure the material is not necessarily small by comparison to other materials. However, the amount of energy (force times displacement) required is much less than other more ductile/less brittle materials. There are two primary types of breaks that occur on CI pipe, so called beam or “circular” breaks and longitudinal splits or breaks. The type and morphology of each type of break is consistent with the loading and brittle failure characteristics of the material. Fundamentally, cracks propagate perpendicular to the applied stress. For a circular break, the applied stress is vertical (beam loading) and the crack will propagate circumferentially around the pipe creating a circular profile.

For steel pipe, the material properties are such that beam breaks are much less likely, since the thin and flexible steel pipe wall tends to locally buckle (rather than cause a circumferential crack to form and propagate). When steel pipe loses vertical support, the pipe defects from a circle to an oval and then eventually locally buckles and may “pull away” from rivets or push on type joints. In general, steel pipes do not suffer from circular beam breaks.

Longitudinal splits or breaks are the result of internal radial pressure resulting in longitudinal crack growth from an initiating flaw location. For cast iron, as for any brittle material, a critical flaw or initiation site is needed along with corresponding stress intensity. Internal pressure generates radial stresses, which once above the critical stress intensity cause longitudinal crack growth. Flaws are initially due to casting imperfections which do not change over time. Corrosion is not uniform and external corrosion flaw sizes increase over time and eventually reach critical flaw size and limit pipe life.

The same general morphology of longitudinal splits applies to steel pipe breaks. In general, thinning of the steel usually due to external or internal corrosion creates an initial flaw. Internal pressure provides radial stress that propagates along the longitudinal crack. However, steel is usually not a brittle material so the crack propagates a short distance and then runs out of energy. The process is repeated over time until stresses build up locally or, due to operations or surges, allow further propagation and eventual failure.

Although some research has been done on fracture mechanics and tolerance of pipe line materials (Ivanova, 1978; Habibian, 1994; Atkinson et al., 2002), more is need to relate the

microscopic material conditions to the macroscopic behavior observed as individual leaks and patterns of breaks in systems (Agbenowosi, 2000; Andreou, 1986; Bardet, et al., 2010).

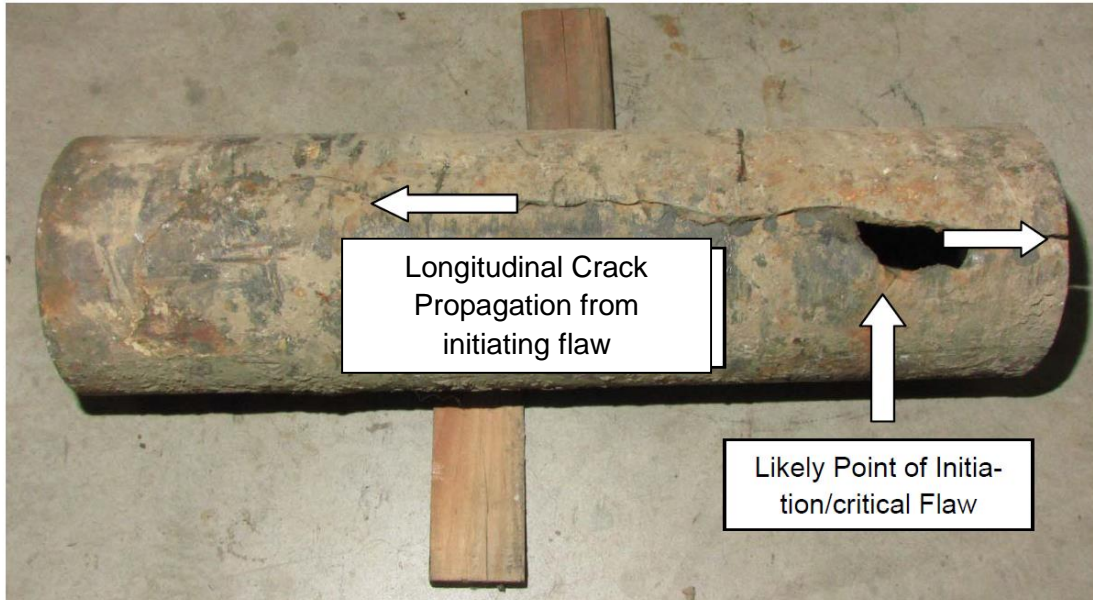


**Figure 9.1. Vertical Loading and Loss of Pipe Support Leads to Circular or Circumferential Break in Cast Iron Pipe**

### **Surge and Pipe Failures**

Similar to the assumption that material strength is sufficient to understand failures there is a general belief that pressures and loads inside and outside of pipes are constant and that surge is an aberration. Typical water industry instrumentation has insufficient temporal response to accurately record surge events. Within the last 10 years, rapid response transient pressure monitoring systems have been developed to give pipeline operators detailed information about transient pressures within a pipeline. Allowing operational impacts to be noted and could be used in conjunction with other pipeline monitoring systems to prevent accumulation of pipeline damage (Stroeble et al., 2010). Research, development and implementation of these monitoring technologies may be our best program for understanding and ultimately mitigating pipeline breaks and failures.

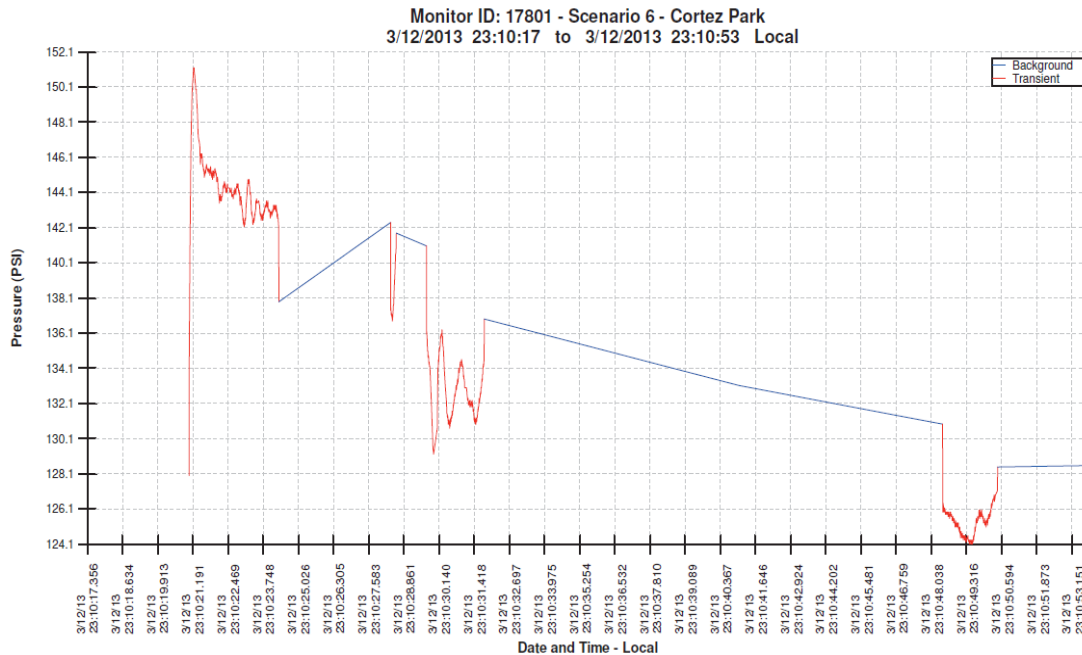




**Figure 9.2. Internal Pressure Increase and/or Surge Leads to Longitudinal Crack Propagation from Corrosion Flaw in Cast Iron Pipe**



**Figure 9.3. Longitudinal Split of Rivet Steel Pipe**



**Figure 9.4. Surge Events due to Valve Closing on Prestressed Concrete Cylinder Pipe**

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**Paper No. 10**

**Staged Construction Modeling of a Large Diameter Steel Pipe Using 3-D Nonlinear Finite Element Analysis**

Ali Abolmaali, Mojtaba Salehi Dezfooli, Mohammad Razavi

Author did not submit the paper

Refer to Appendix A, Page A-100, for a copy of presentation

## 10. Staged Construction Modeling of a Large Diameter Steel Pipe Using 3-D Nonlinear Finite Element Analysis

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Studying the pipe behavior during backfilling is one of the priorities before designing and installing pipelines. Design limitations are introduced by American Water Works Association (AWWA) for flexible and rigid pipes. These limitations are for both service and ultimate designs. Several backfill and embedment material are used in different conditions. Estimating pipe behavior in different backfill conditions during installation is an essential task to accomplish.

This study, in general, targets prediction of the performance of a steel pipe during backfilling and after the installation, using developed Finite Element Analysis model. Thus far, the results of developed FEM model for different trench condition show that the FEM model can predict the behavior of the steel pipe in field test.

Several steel pipes were instrumented and installed in soil box (rigid trench) to evaluate the pipe behavior. Then the FEM model was developed using the data obtained from soil box tests. The FEM analysis algorithm considered material, geometric, and contact nonlinearities. The material non-linearity consisted of elasto-plastic constitutive law for steel. The geometric non-linearity included the large deformation analysis for soil and steel pipe materials. Finally, the contact nonlinearity included the contact elements used at the interface between the pipe and soil and different soil layers during sequential layered construction. The analysis algorithm accommodated the time dependent response of soil-pipe model. This was done by using scaled mass dynamic analysis through total Lagrangian formulation.

Based on the results, three field tests (flexible trench) were designed with different trench width and backfill material. The developed FEM model successfully predicted the field test results. Ultimately, the essential design parameters selected for sensitivity study and the developed FEM model will be used to generate different trench and backfilling condition to evaluate pipes performance. The results of sensitivity study will be used to develop design equations and nomographs for different conditions.

**Paper No. 11**

**Future Conveyance System and Asset Management Research Needs  
through the LIFT Program**

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**Paper No. 12**

**Water Conveyance Infrastructure Research Needs: An  
EPA/ORD Perspective**

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Refer to Appendix A, Page A-160, for a copy of presentation

**Paper No. 13**

**Energy Pipeline Challenges & Related Research**

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Refer to Appendix A, Page A-171, for a copy of presentation



## **Paper No. 14**

### **Pipeline Corrosion Prevention What is Needed?**

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Refer to Appendix A, Page A-184, for a copy of presentation

**Paper No. 15**

**Pipeline Research Needs for Future Practice  
Improvement-A Designer's Perspective**

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Refer to Appendix A, Page A-187, for a copy of presentation

## **Paper No. 16**

### **Development of Asset Management Certification and a Living Lab**

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Refer to Appendix A, Page A-195, for a copy of presentation

**Paper No. 17**

**14<sup>th</sup> International Trenchless Technology Research Colloquium Lab**

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Author did not submit the paper

Refer to Appendix A, Page A-202 for a copy of presentation

## **Appendices**

**Appendix A**  
**Presentations**



# Water Research Foundation Pipeline Research: Ongoing Work, Future Directions

Frank J. Blaha, P.E., and Jian Zhang, Ph.D., P.E.,  
Water Research Foundation

June 22, 2013

*Pipelines and Trenchless Construction & Renewals: A Global Perspective* June 22, 2013, Ft Worth, TX



## WaterRF

### **Mission: Advance the science of water to improve the quality of life**

- **Centralized research program for drinking water utilities**
  - Sponsor research
  - Develop knowledge
  - Promote collaboration
- **Agenda is planned and guided by drinking water utilities**
- **Broad research agenda**
  - ~160 active projects
  - Much of our work is water quality oriented

*Pipelines and Trenchless Construction & Renewals: A Global Perspective* June 22, 2013, Ft Worth, TX



## The Water Research Foundation (WaterRF)

... is a member-supported, international, nonprofit organization that sponsors research to enable water utilities, public health agencies, and other professionals to provide safe and affordable drinking water to consumers.

Our members, “subscribers,” are largely North American municipal water utilities.



## “...that sponsors research...”

- Research project identification is driven by the expressed concerns of utilities, typically through a volunteer group that we work with
- We coordinate and leverage research resources (especially money) - with other interested parties:
  - Utilities
  - Other research groups
  - AWWA
  - Environmental Protection Agency
  - Bureau of Reclamation
  - NOAA







## Background History

- Located in Denver
- Work/Fund internationally (typically)
- Co-located AWWA
  - Former AWWA division
  - Independent since the mid-1980s
  - Separate funding mechanism
  - Similar “clients”



## Foundation Long-Term Track Record on Infrastructure

- ~25% of overall funding into infrastructure work
- 1986 for first infrastructure project report – Report still has relevance
- Synthesis of knowledge from these projects is under-emphasized
- Greater unity of ideas and approaches would increase the value of our work



## General WaterRF Infrastructure Research

### These Pipes:

1. Cast Iron
2. Ductile Iron
3. Steel
4. PCCP
5. Asbestos Cement
6. PVC
7. PE

### This Knowledge:

1. Break Rates/Longevity
2. Deterioration Mechanisms/Failure Modes
3. Assessment Capabilities
4. Renewal Methods



## Infrastructure Research Puzzle Considerations



Some pipe materials widely used:

- Cast iron
- Ductile iron

Others more unique:

- PCCP
- Bare (no CML) cast iron

Many can work on the puzzle!



## Primary Funding Programs

- Focus Area Projects (solicited) – 60% of funding
- Tailored Collaboration – 20% of funding
  - Funding expended for 2013
  - Two to one matching in 2014
  - Utility lead
- Emerging Opportunities Program – 20%
  - Smaller, shorter projects
  - Typically solicited RFP advertised



Water Utility Infrastructure Focus Area:  
Applying Risk Management Principles and  
Innovative Technologies to Effectively Manage  
Deteriorating Infrastructure

**By 2017, provide utilities with tools and strategies to optimize the use of condition assessment and risk management in making infrastructure renewal decisions and the use of innovative renewal techniques.**



## Focus Area Objectives

1. Increase the use and understanding of risk assessment approaches
2. Increase the use and understanding of condition assessment approaches
3. Provide research on improved condition assessment technologies
4. Increase the use and understanding of the full range of renewal technologies
5. Increase the understanding of deterioration mechanisms of different assets
6. Aid the field testing and case study documentation



## Focus Area Dynamic Balances

- Multiple Focus Areas (ours is one of ten!)
- Limited funding – project or two started per year under focus areas
- We have “learned”
  - Can be difficult to justify CA to decision-makers
  - Capitalizing CA work is valuable
  - Can negotiate with accounting departments
  - Improvements to the technologies also desirable



## Condition Assessment/Risk Management Context

- Condition Assessment (CA) can be costly and difficult....and pipe is the same at the end
- Condition Assessment: risk management exercise
- Risk = Probability (likelihood) x Consequences
- CA can inform *Likelihood* of failure
- *Consequences* are why CA is of interest - Vehicles work better out of the water



## Consequences and “Large” vs. “Small” Diameter Pipe

- Real issue is “criticality” of the pipe
  - “Small” diameter pipe is often not critical
    - These failures are generally manageable
    - These failures are routinely managed
    - One large utility: ~735 failures (leaks & breaks per yr.)
  - “Large” diameter pipe is typically critical
    - These failures are of high priority and frequently a crisis
    - Same large utility: ~5 large diameter (16-inch and larger) failures per year
- Typical small breaks: ~\$5,000 direct cost, ~\$5,000 societal



## “Critical” or “Large” Pipe Management and Failures

- Failures can be spectacular
- “Consequences” can be substantial (losing cars in the hole!)
- Failures are far less frequent
- Statistics not useful for management



## Large Diameter Failures

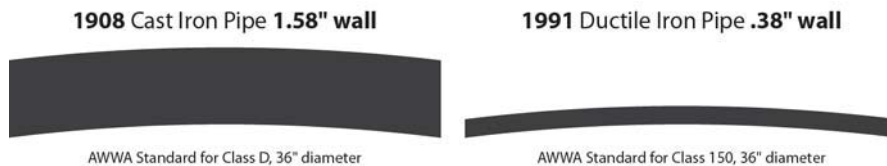
- Re-constructed total costs from 30 examples (20-inch diameter and larger)
  - Range of \$6,000 to \$8.5 million
  - Average cost of \$1,700,000 per failure
  - Geometric mean of \$500,000 per failure
  - ~Half of total costs paid by the utility – direct costs



## Age is Often Not the Prime Factor



## Material: Iron Pipe Wall Thickness

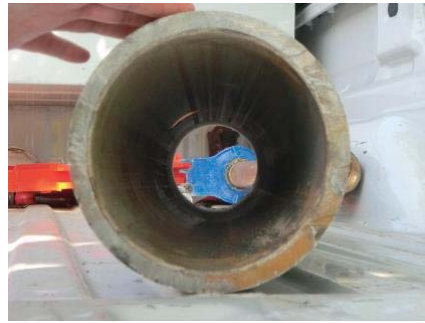


Pipe wall thickness based on withstanding internal and external pressures.



## An Example North American Utility

- ~3200 miles of pipe
  - 400 miles 16-inch and larger diameter – critical pipe (12.5%)
  - 2800 miles of smaller than 16-inch diameter – small pipe (87.5%)
  - Estimated life: 100-120 yrs.
  - Average age: 68 years



## Another Example

- **Miami-Dade**
  - 120 miles PCCP became worrisome
  - Hundreds of millions of dollars to replace
  - CA inspection of 70 miles at \$15M with rehab
    - Less than 1% of segments severely deteriorated to be replaced
    - Mostly carbon fiber rehab of severely deteriorated sections
  - Estimate \$25M total to CA inspect and rehab 120 miles PCCP





## A Third Example

- **WSSC**
  - 145 miles of 36-inch diameter or larger PCCP
  - Based on inspection of 65 miles of 48" and larger PCCP
    - 1.5% of pipes requiring repair
    - 4.8% of pipes with some distress and not repaired
    - 93.7% of pipe without any distress
    - Acoustic fiber optics deployed
    - Only one failures on inspected pipes – in the last month
  - Effective program at 6% of replacement value



## Large Diameter Failure & Consequence Avoidance - Example

- **Miami-Dade**
  - PCCP Failure – Hyaleah Street
  - Utility direct cost \$2.5M
  - \$100,000 - estimated cost of renewal prior to failure
    - Not all failure will have a 1 to 25 factor of replacement costs to failure costs, but some central tendency may be found in Project 4451



## If not Age, What?

- Pipe material, types of failure
- Soil and moisture conditions
- Bedding of the pipe
- Traffic loading
- Pipe/utility specific factors dominate
- Condition Assessment

**EACH AN AREA OF RESEARCH!**



## Risk Management, Condition Assessment: Continuing Work

### Risk Management

- Consequences: helps differentiate similar pipes
- Limited information on consequences
- “Understand” events that have not occurred

### Cond. Assessment

- Likelihood: Informs this term
- Improved technologies desired
- Limited application and use
- Case studies important



## Impediments to Use of CA

- Some attempts to understand utility perspective on CA
  - Perceived high cost and cost uncertainty
  - Limited budgets for CA
  - Difficulties in gaining access to pressurized lines
  - Concern about equivocal data
  - Some wish to wait for improved technologies
  - No single technology universally useful in potable water systems
  - Limited use and case studies



## Some Ideas for Further Development

Much of the pipe being replaced is suitable for further service

- Deterioration is not uniform – ID deteriorated areas and fix those
- Improve understanding of “avoided consequences”

Renewal is not necessarily like for like



## Distribution Systems and Risk

- National Academy of Sciences Report on Distribution System Risk
  - Factor in Revised Total Coliform Rule (RTCR)
  - Factor in development of Research and Information Collection Partnership (RICP)
- Partnership for Safe Water
  - Distribution system excellence expansion - based on a WaterRF study
  - Voluntarily utility participation
  - Evolution of program expected



## Distribution System Excellence

- Requires consistent terminology
- Requires consistent definitions - to make comparisons on similar factors
- Encourages collaboration in research
- Synthesizes existing knowledge
- EPA encourages, utilities adopting
- Buried infrastructure renewal a key factor

**CHARGING TOWARDS THESE MESSAGES:**

Deterioration is not uniform

Prevention is better than failure for critical pipes

Condition Assessment can be valuable/economic

Distribution system excellence programs can provide a context for more practical application of our knowledge



# Thank You!

## Questions?

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# SHANGHAI MUNICIPAL UNDERGROUND CONTAINER FREIGHT TRANSPORT SYSTEM OF CHINA NATIONAL CONVENTION AND EXHIBITION CENTER

by  
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06/22/2013

*Pipelines and Trenchless Construction & Renewals: A Global Perspective* June 22, 2013, Ft Worth, TX



## Contents

- Introduction
- Logistic Proposal for China National Convention and Exhibition Center
- Conceptual Design of Underground Container Freight Transport System for China National Convention and Exhibition Center
- Conclusion

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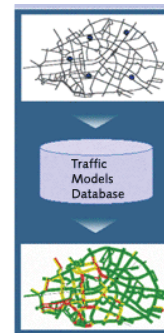
### 1 Introduction

#### China National Convention and Exhibition Center (CNCEC)

- Located in Hongqiao CBD, Shanghai
- Consisting of exhibition venue, comprehensive supporting facilities and logistic support facilities
- **The world's largest exhibition** (An area of 500,000 m<sup>2</sup>)



- Traffic volume prediction
  - In the worst scenario, China National Convention and Exhibition Center will receive the transportation of 200,000 persons and 3,000 trucks each day
- **Traffic organization of exhibition visitors and goods is rather difficult.**





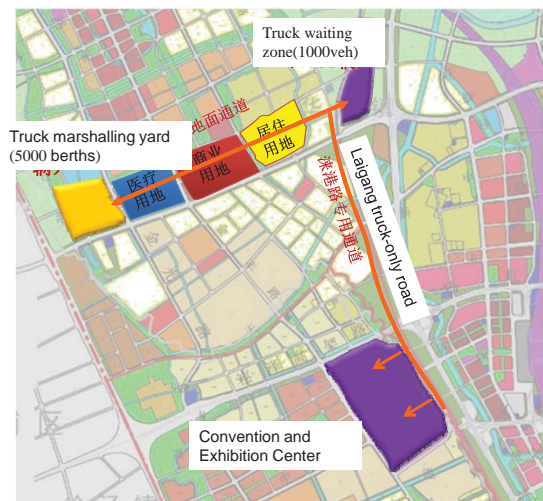
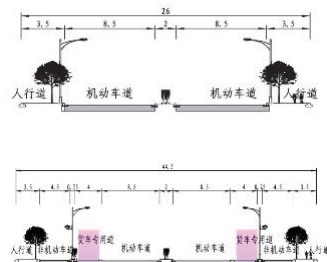
- Large quantities of freight transport will inevitably
  - generate a great deal of exhaust gas and noise
  - increase carbon emission
  - increase traffic pressure on road network
- It is especially important to conduct research to guarantee efficient transport of exhibition logistics and reduce environmental impact.



## 2 Logistic Proposals for China National Convention and Exhibition Center

### Proposal 1: Truck-only ground road

Truck marshalling yard + Minbei truck-only road + truck waiting zone + Laigang special road

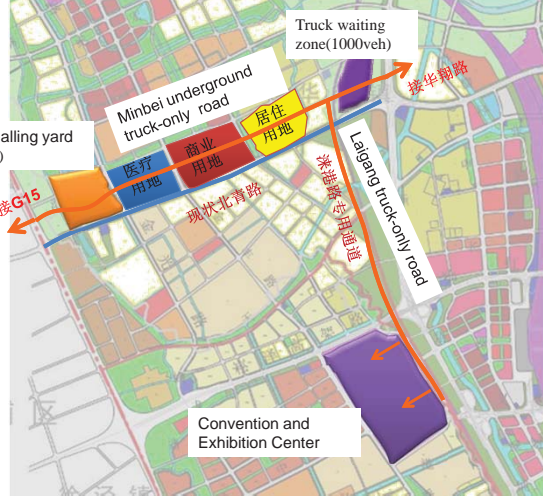
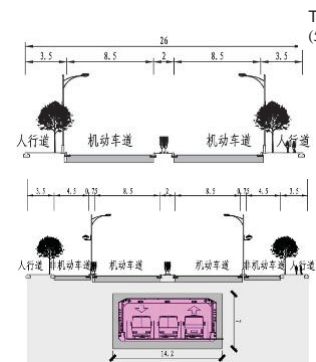






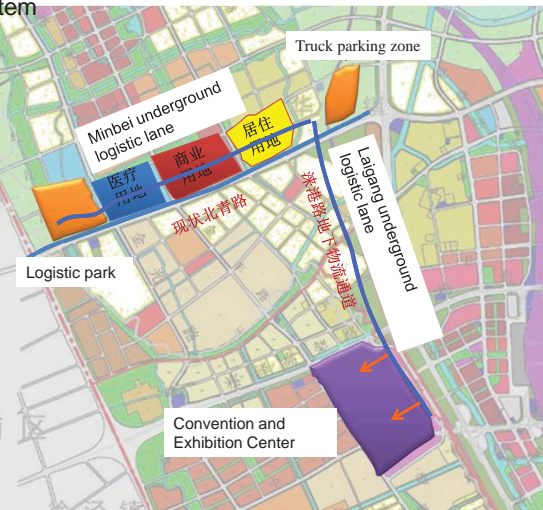
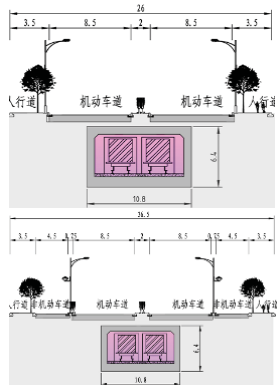
**Proposal 2: Underground truck-only road**

Truck marshalling yard + Minbei underground truck-only road + truck waiting zone + Laigang special road



**Proposal 3: Underground logistic system**

Logistic park + underground logistic system + facilities in convention and exhibition zone + parking zone + Laigang special road



**ASCE PIPELINE RESEARCH NEEDS SYMPOSIUM**

**Comparative analysis of the three proposals** *Proposal 3 has the optimal effect!*

Occupation of land	Proposal 1 truck-only ground road	Proposal 2 underground truck-only road	Proposal 3 underground logistic system
Occupation of land	Total area: 728,000 m <sup>2</sup> ① ground road: 128,000 m <sup>2</sup> ② Truck marshalling yard: 500,000 m <sup>2</sup> ③ Truck waiting zone: 100,000 m <sup>2</sup>	Total area: 704,000 m <sup>2</sup> ① ground road: 104,000 m <sup>2</sup> ② Truck marshalling yard: 500,000 m <sup>2</sup> ③ Truck waiting zone: 100,000 m <sup>2</sup>	Total area: 304,000 m <sup>2</sup> ① ground road: 104,000 m <sup>2</sup> ② Truck marshalling yard: 100,000 m <sup>2</sup> ③ Truck waiting zone: 100,000 m <sup>2</sup>
Environmental impact	Big environmental impact ① Exhaust gas, vibration and noise from trucks will impact greatly on CBD ② Annual carbon emissions of 89000t ③ impact on the goal of building a national low-carbon CBD	median environmental impact ① lower impact on CBD ② Annual carbon emissions of 89000t ③ impact on the goal of building a national low-carbon CBD	Lowest environmental impact ① No impact on CBD ② The near-term annual carbon emissions of 58000t ③ impact will be negligible given high cargo containerization in the long run.
Efficiency	Low	Low	High
Investment (unit: hundred million yuan)	0.82	6.69	11.91
Risk	High operating risk, low technical, organizational management risk	High operating risk, low technical, organizational management risk	High technical, organizational management risk, low operating risk

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**ASCE PIPELINE RESEARCH NEEDS SYMPOSIUM**

### 3 Conceptual Design of Convention and Exhibition Center's Underground Container Freight Transport System

**Previous research on Underground Container Freight Transport System**

- Most of earlier researches on underground logistic transport system are restricted to the application of small-diameter pipeline logistics.
- Recent years, toward the development of large-diameter underground tunnels
  - conceptual design : Port of New York and Port of New Jersey in the US, Port of Antwerp in Belgium, Port of Tokyo in Japan and Ruhr Industrial District in Germany
- In China, Shanghai Municipal Engineering Design Institute
  - During 2006~2008, a flexibility study for developing underground container freight transport system for Shanghai Yangshan Port
  - During 2008~2010, a study was conducted for using underground container freight transport system for transporting garbage or trash in Shanghai.

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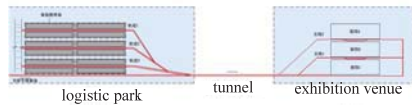
### 3 Conceptual Design of Convention and Exhibition Center's Underground Container Freight Transport System

#### General layout of Underground Container Freight Transport System

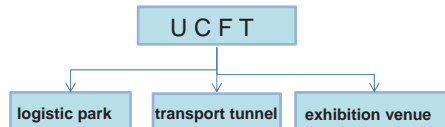
- The underground container freight transport (UCFT) system of China National Convention and Exhibition Center **comprises three subsystems including logistic park, transport tunnel and exhibition venue**
- Freight vehicles gather at logistic park--- Containerized cargo is unloaded in logistic park--- being transferred via UCFT to the Center for devanning.



Horizontal routes of underground container freight transport



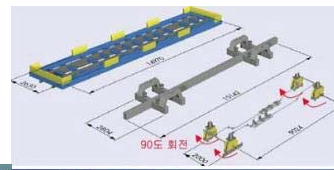
Underground container freight transport (UCFT) system



### 3 Conceptual Design of Convention and Exhibition Center's Underground Container Freight Transport System

#### Delivery Ways of UCFT

- Tractor + semitrailer
- Motor tractor + container flat car
- Battery driven AGV
- Motor driven container railway transportation equipment
- Linear motor driven container railway transportation equipment (**recommended**)
  - Excellent climbing capacity
  - Small curve radius
  - Flexible route
  - Low pollution

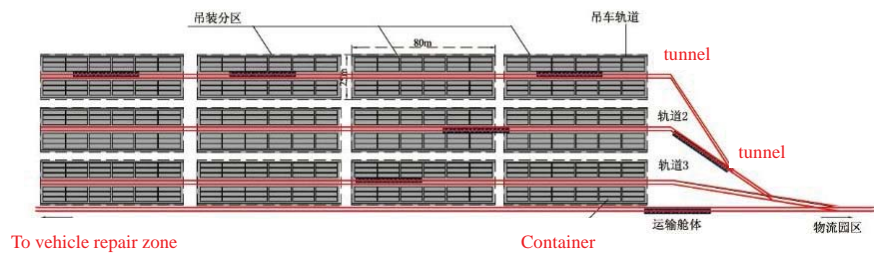




### 3 Conceptual Design of Convention and Exhibition Center's Underground Container Freight Transport System

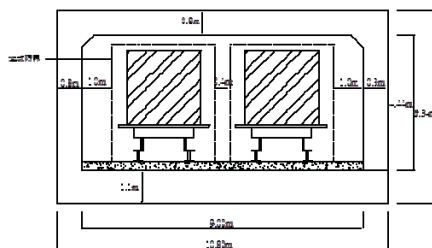
#### Logistic park

- Comprising freight yard, transit zone, comprehensive supporting service zone, parking lot and vehicle repair zone



#### Transport tunnel

- The cut and cover method is proposed for construction, two ways



Cross-section of UCFT tunnel

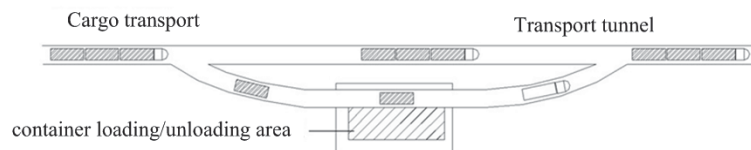


Existing Road



#### Mainline Tunnel and Branch Lines of Convention and Exhibition Zone

- Mainline transport tunnel has branch lines
- Branch lines are connected to vertical transport shaft and intended for temporary emplacement of containers
- The crane can lift the containers to the ground for devanning
- Containers are unpacked and goods are unloaded at the stacking



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#### 4 Conclusion

- Traditional logistic proposals fail to the requirement of huge convention center, especially like China National Convention and Exhibition Center, and may also bring adverse aspects.
- **Underground container freight transport system is a new mode of freight transport, and has considerable comprehensive benefits:**
  - relieve the huge traffic pressure
  - greatly reduce carbon emissions
  - save energy and land occupation
  - improve regional environment
- **Prospect**
  - in China, the land resource is limited, and people are paying more and more attention on the environment
  - Underground container freight transport system is very suitable for the condition of China.
  - For us, will continue the further research , and turn it into reality in the future.

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**Thank You!**



## Pipeline Asset Management Specific to Gas Pipelines: Issues and Needs



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## PIPELINE FACTS

1. More than 210 natural gas pipeline systems.
2. 305,000 miles of interstate and intrastate transmission pipelines
3. More than 11,000 delivery points, 5,000 receipt points, and 1,400 interconnection points that provide for the transfer of natural gas throughout the United States.
4. 24 hubs or market centers that provide additional interconnections
5. 49 locations where natural gas can be imported/exported via pipelines
6. If all the natural gas pipelines in the U.S. were connected to each other they would stretch to and from the moon almost three times.

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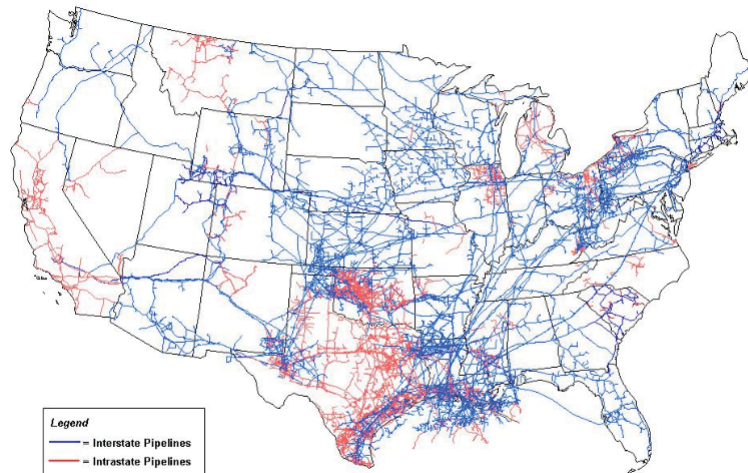
## PIPELINE FACTS

1. Pipelines are constructed using different material depending on their size, pressure requirements and use. Transmission pipes, the pipes used to transport gas from supply areas to distribution centers, are made of 0.25-inch to 0.5-inch thick steel and have a special coating to protect against corrosion. Prior to steel, cast iron was widely used for pipeline construction. For distribution pipelines, especially lines which operate at less than 100 pounds of pressure, plastic is used because of its resistance to corrosion, flexibility, and cost-effectiveness.
2. Transmission pipelines are protected by an electrical shield called cathodic protection.
3. 98 percent of the natural gas used in the U.S. comes from North America
4. When natural gas is first transported through transmission lines it can be passed through at pressures up to 1500 psi. By the time it reaches a household piping system, pressure has been reduced to under 0.25 psi – or less than the pressure created by a child blowing bubbles into milk through a straw.

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U.S. Natural Gas Pipeline Network, 2009



Source: Energy Information Administration, Office of Oil & Gas, Natural Gas Division, Gas Transportation Information System

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## REQUIRED REGULATIONS

- DOT 192 Transportation of Natural Gas Pipelines:
  - API RP 80 – Determines Gathering Line Regulation
  - Dry and Wet Gas
    - Wet Gas > 1180 BTU Content (Ethane/Hydrocarbon Gases)
    - Dry Gas < 1180 BTU Content
  - Class 1 Pipelines
    - 10 or less buildings intended for human occupancy or an offshore area.
    - Except State of Ohio



## REQUIRED REGULATIONS

- DOT 195 Transportation of Hazardous Liquids Pipelines
  - Transporting of any volatile liquid
    - API RP 80 – Determines Gathering Line Regulation
    - Corrosive or Flammable
    - Crude Oil

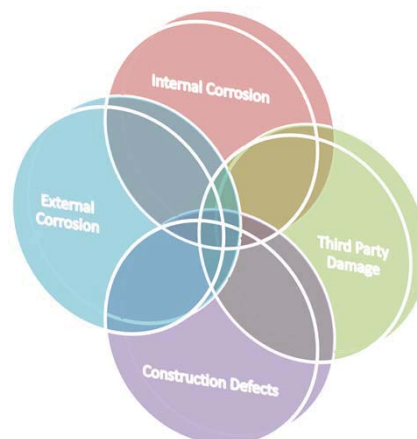


## TYPES OF GAS PIPELINES

- Gathering
  - From Wellhead to Compression or Sales Point
- Transmission
  - Compression to Sales Point
  - Higher Pressures
- Distribution
  - From Transmission to Sales Point
  - Usually Gas Pipeline to Your House







## TOP 4 THREATS TO A GAS GATHERING SYSTEM



...AND CASE STUDY EXAMPLES

**ASCE PIPELINE RESEARCH NEEDS SYMPOSIUM**

# INTERNAL CORROSION CASE A

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**ASCE PIPELINE RESEARCH NEEDS SYMPOSIUM**

# INTERNAL CORROSION CASE A

**Type of Defect**

- Internal Corrosion
- 62% wall loss detected by tool

**Discovery Method**


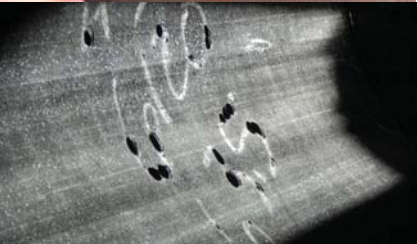
- In-Line Inspection tool (ILI)
- Hundreds of similar defects found in adjacent pipe

**Mitigation Method**

- Pipe replacement

**Likely Cause of Defect**

- Pipeline deadleg
- Possible anodic corrosion

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## INTERNAL CORROSION CASE A

### WHAT WENT WRONG:

- The cause of these defects is still being investigated
- Both of the suspected causes (bacteria, and scale causing an anodic condition) are related to the deadleg condition the pipe was in during construction
  - *Deadleg is a length of gas pipelines not able to be pigged.*

### Preventive Activities

1. Pipeline pigging and chemical injection
2. Reducing time that pipeline is placed in deadleg service
3. Treating pipelines prior to placing them in a deadleg state

### Mitigation Method

1. Pipe replacement



## INTERNAL CORROSION CASE B

### Type of Defect

- Internal Corrosion
- 6 leaks discovered on 4 pipelines
- Majority of pipeline had severe defects located at bottom of pipe, up to 1" wide

### Discovery Method

- Pipeline patrol, ILLI, hydrostatic test (defects were found in-service and during pipeline assessment)

### Likely Cause of Defect

- Lack of O&M activities (pigging and chemical treating)





## INTERNAL CORROSION CASE B

### WHAT WENT WRONG

- Low flow conditions and lack of pigging allow water to build up and bacteria to harbor
- Low flow conditions prevent chemicals intended to kill bacteria and inhibit corrosion from being spread throughout the pipeline

### Preventive Activities

- Follow a pigging program
- Follow a chemical injection program

### Mitigation Method

- Pipe replacement
- Reduced MAOP and hydrotest to confirm fitness for service



## EXTERNAL CORROSION

### Type of Defect

- Joint Weld External Corrosion
- 50% wall loss
- 40 mill/year growth rate

### Discovery Method

- In-Line Inspection Tool
- Called as 48% defect

### Likely Cause of Defect

- Improper application of joint weld coating





## EXTERNAL CORROSION

### WHAT WENT WRONG

- Most joint weld coatings fail due to issues with preparation of pipe, training of personnel, or lack of inspection
- Could be due to hydrogen gas building up because joints are coated too quickly

### Preventive Activities

- Training on coating application
- Inspection of applied coating

### Mitigation Method

- Clockspring composite wrap

See: *Joint Weld Coating Advisory Bulletin*



## CONSTRUCTION DEFECTS

- Located in Bore section of a paralleling 12" pipe
- Paralleling line's pilot drill or reamer drill may have been the cause of mechanical damage to line
- 47.5 ft of pipe was cut out and replaced



**ASCE PIPELINE RESEARCH NEEDS SYMPOSIUM**

## CONSTRUCTION DEFECTS

**Wyes**

- Prevents In-Line Inspection
- May not be fit for service if designed incorrectly
- Need to Design for Adequate Pigging and ILL inspection

**1.5 D Fittings**


- Can cause damage to inline inspection tools
- Need 2 – 3 D Fittings

**Random Heavy Wall**

- Can cause damage to inline inspection tools
- Need to use same wall thickness through out pipe.

**Preventive Activities**

- Better oversight during purchasing and construction
- Need Good Inspection



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**ASCE PIPELINE RESEARCH NEEDS SYMPOSIUM**

## MATERIAL DEFECTS

**Type of Defect**

- External Manufacturing defects

**Discovery Method**

- Pre-installation pipeline inspection (jeeping)

**Likely Cause of Defect**


- Poor inspection at mill
- Lack of control over material quality

**Preventive Activities**

- Provide oversight at the mill level

**Mitigation Method**

- Quarantine unused pipe if possible
- Spike test pipe post-construction to ensure defects are not injurious



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## OPERATIONAL ISSUES

### HYDRATE FORMATIONS

- Form at certain temperatures, pressure, and composition
- Formation occurs with a mixture of hydrocarbons, water, low temperatures, and high pressure.

### DISCOVERY METHOD

- Pressure Drops
- Low Flows
- ILI
- Pig Runs

### PREVENTATIVE ACTIONS

- Maintain Low System Pressures (Compression)
- Methanol Injection
- Maintain Temp & PSIG under dewpoint
- Proper Pigging



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## CAUSES OF PIPE REHABILITATION

### LACK OF FIELD AND PROCURMENT INSPECTION

- **PROCUREMENT INSPECTION**
  - Mill Inspection
  - Poor Delivery Documentation and Inspection
- **FIELD INSPECTION**
  - Jeeping
  - Site Inspection
  - Lack of Construction Installation Oversight
  - Poor Non Destructive Testing (NDT) Procedures and Oversight

### POOR O&M PROCESS, PROCEDURES, AND DOCUMENTATION

- No Pigging Procedures or Plan
- No ILI Procedures
- Poor System Operation Management
- No chemical treatment of Pipeline System

### IMPROPER DESIGN OF PIPELINE

- 1.5 D Fittings
- Unpiggable Wyes
- Deadlegs
- Pipe wall thickness does not incorporate a corrosion allowance
- No consideration for temperature, pressure, and flows in design of pipe for flushing and hydrate formation.

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**CURRENT METHODS FOR PIPELINE MAINTENANCE & INSPECTION**

• **MAINTENANCE**

- PIGGING
  - Brush/Foam pigs to clean the pipe
  - Heavy Duty Plastic Pigs to Sweep the pipe of Hydrates or Liquid build up in the pipes.
- CATHODIC PROTECTION
  - Help prevent external corrosion and monitor external wall corrosion
- GOOD O&M PROCESS, PROCEDURE, AND DOCUMENTATION
- CHEMICAL TREATMENT
  - Methanol
  - Anti Bacteria Agent



**CURRENT METHODS FOR PIPELINE MAINTENANCE & INSPECTION**

• **INLINE INSPECTION (ILI)**

- CALIPER
  - Determines wall thickness & Pipe Ovality by use of caliper tool to read pipe ovality and wall thickness changes.
- GYRO PIG
  - Determines pipe profile & bend through the use of a gyroscope. Verify fitting and HDD bend radiuses, and determine exact profile of pipe in ground.
- GAUGE PLATE
  - Pipe Ovality & Damage to Pipe Wall
- MAGNETIC FLUX LEAKAGE
  - Determines changes in wall thickness by inducing magnetic flux in pipe to detect changes in amplitude due to wall thickness change.
  - Inferred measurement
- ULTRASONIC
  - Determines wall thickness by use of a transducer to send and receive sound waves. The difference in time of flight determines wall thickness.



CALIPER  
PIG



GYRO  
PIG



GAUGE  
PLATE



MAGNETIC  
FLUX



## RESEARCH NEEDS

- **More Research in Pigging Technologies**
  1. Pig design that allows chemical batch treating for biocide and inhibitors that helps coat the entire internal circumference of the pipe. Currently in the works.
  2. Better Low Flow/Pressure Pigs
- **More research in developing better remote monitoring of rectifiers to assure consistent CP. Tie into SCADA.**
- **More research on improving design of system to alleviate Hydrate and Liquid build up.**
- **More advancement in remote pipe monitoring**
- **Ways to protect existing pipe from 3<sup>rd</sup> party damage**
- **Improvement in predicting bacteria build up in pipeline systems**
- **Research in best ways to optimize O&M on pipeline systems**



## CONCLUSIONS

### BEST INDUSTRY PRACTICES:

- *PROPER PIGGING OPERATIONS*
- *PROACTIVE APPROACH TO O&M/R&I*
- *GOOD FIELD AND PROCURMENT INSPECTION AND PROCESSES*
  - PIPE JEEPING
  - FIELD INSPECTION
  - PROCURMENT INSPECTION
  - NDT TESTING
- *GOOD ILI TOOLS AND OPERATIONS*
- *DESIGN PIPELINES FOR A SUPERIOR O&M SETUP*
  - NO HYDRATES
  - ADEQUATE PIGGING
  - FITTINGS
  - WYES





## QUESTIONS?

U.S. Dept. of Energy, "[About U.S. Natural Gas Pipelines \(2007/2008\)](#)", U.S. Energy Information Administration, Washington, DC

Interstate Natural Gas Association of America, "[Pipeline Facts 2013](#)", INGAA, Washington, DC





# IMPROVING TRANSMISSION PIPELINE DESIGN, OPERATIONS AND MAINTENANCE

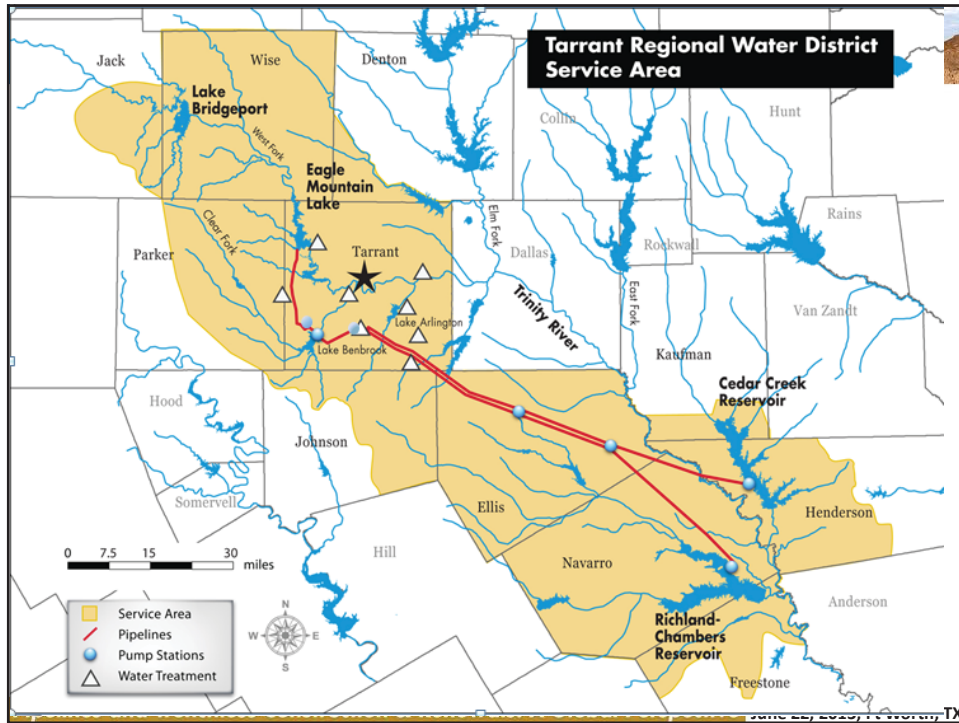
David Marshall

Tarrant Regional Water District



## TRWD System

- We operate a transmission system with 187 miles of large diameter pipe (72" to 108") driven by 9 pump stations
- About 70% of the water used in the Fort Worth area is supplied by the system
- Reliability is the most important element in the system – most delivery is real time



**ASCE PIPELINE RESEARCH NEEDS SYMPOSIUM**

## TRWD Issues

- Most of the system is PCCP
- Problems include:
  - Corrosion
  - Hydrogen embrittlement of the prestressing wires
  - Thrust restraint
  - Shear failure
  - Valve casting defects
- Resolution has been through a root cause failure analysis and mitigation

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## Solutions

- Hire experts and listen to them
- Transient mitigation/pressure management
- Rigid manufacturing and installation inspection
- Cathodic protection
- ASTM 648 change
- C301, C304 changes
- M9 changes



## Research for the Integrated Pipeline Project

- Texas soils are great for cotton but not for transmission pipelines
- Use FEA to verify design would work with these soft soils
- I also wanted to take advantage of recent advances in using modified native backfills and CLSM if they proved economical
- We are working with UTA on guidance



## Large Diameter Transmission Pipe



- Most equations were developed from tests for smaller diameter pipe
- Scale up for pipes two or three times the test diameter may not perform the same
- Don't trust any equation over 30



## Steel Pipe Transmission Design Improvements

- Trench sidewall and trench bottom support
  - Trench bottom, bedding, backfill and trench walls need to be designed as a system
  - Vertical changes in trench wall materials
  - Materials required for backfill
- Pipe Wall Thickness
  - Will large diameter pipe act according to ring theory?
  - Will local stress deform the pipe leading to a possible vacuum collapse?



## Steel Pipe Transmission Design Improvements

- Coatings
  - For polymer coatings, what should be the test for acceptance?
  - How should accelerated performance tests be conducted?
  - For microcracking of mortar, will the healed cracks perform over the life of the pipe or are they more susceptible to dissolution over time and in acid soils?
  - Long-term, would heat shrink sleeves help extend the life of grouted joints?



## Transmission System Installation

- A robust design can be changed to a marginally reliable system if installation is not well inspected.
- Periodic inspections could help improve design
  - Areas susceptible to changed loading may show increased deflection
  - Slip joints may show areas of movement
  - Cracking may show areas with insufficient support





## Transmission System Operations

- Operations experience needs to be folded into design philosophy
  - Transient and operations error pressure increases need to be mitigated
  - Changes in static and dynamic loading need to be considered, especially at highway crossings
  - Long term soil consolidation needs to be considered
  - Aging pipe needs to be considered in planning



## Maintenance

- Funding for transmission system maintenance is always an issue
  - Management needs to understand the critical nature of the assets
  - Full cost of service should be how the rate structure is developed
- Maintenance practices vary utility to utility
  - Best management practices need to be collected and documented
  - Costs associated with the BMPs need to be captured to help with rate development



## Transmission Systems

- Reliability and cost need to be balanced in design
- Modeling behavior and performance will help establish reliability
- Large diameter full scale testing and inspection of installed systems will help calibrate pipe behavior
- The critical nature of a transmission system needs to be considered in every aspect of its life.



# Broadband Electro-Magnetics BEM

The Interactive Pipe

The Interactive Pipe - June 2013



## The Technology

BEM Overview  
BEM Signal Penetration  
BEM Signal Characteristics  
BEM Results

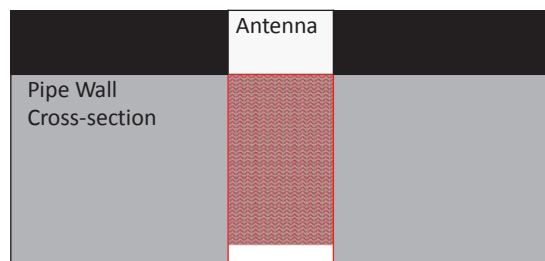
The Interactive Pipe - June 2013

## BEM Overview

- Derivative of the 'pulse eddy current' system
- Established geophysical exploration technology
- Modified for NDT inspections of metallic infrastructure
- Non-frequency dependent
- Ability to scan through thick coating / lining (1" +)
- Contact with metallic surface not required
- Patented Technology

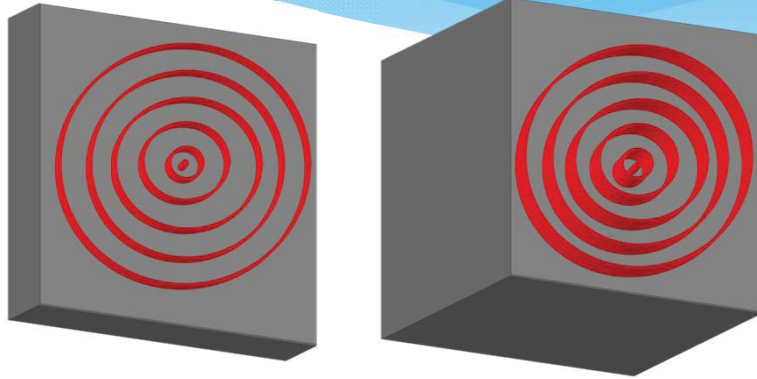
The Interactive Pipe - June 2013

## BEM Signal Penetration



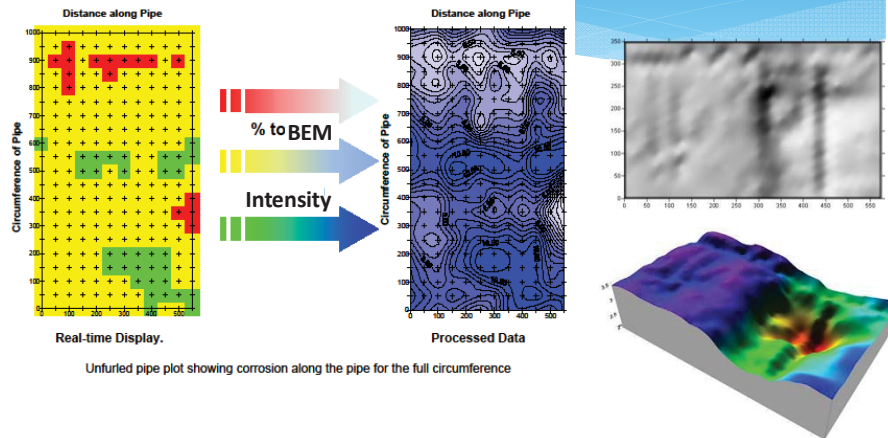
The Interactive Pipe - June 2013

# BEM – Signal Characteristics



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# BEM Results



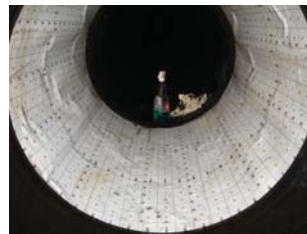
The Interactive Pipe - June 2013

## Current Modes of Application

Hand Scanning (HSK)  
Inline Inspections (PIG)  
Crown Assessment Probe (CAP)  
Keyhole Inspection System (KIS)

The Interactive Pipe - June 2013

## Hand Scanning Kit (HSK)



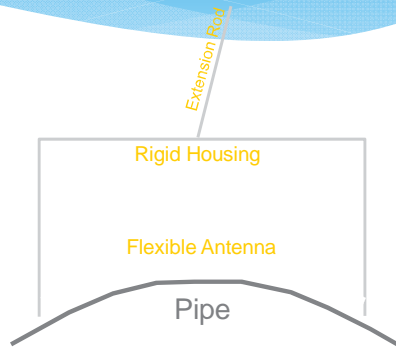
The Interactive Pipe - June 2013

# In-Line Inspections (PIG)



The Interactive Pipe - June 2013

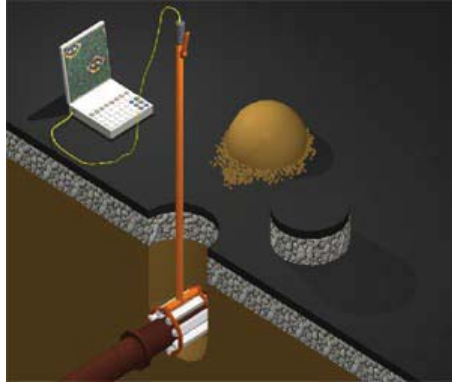
# Crown Assessment Probe (CAP)



The Interactive Pipe - June 2013

10

# Keyhole Inspection System (KIS)



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## The Concept of the Interactive Pipe

Technical Components  
System Installation  
Data Collection & Reporting  
System Management

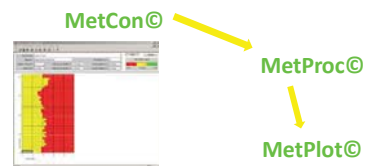
The Interactive Pipe - June 2013



# Technical Components

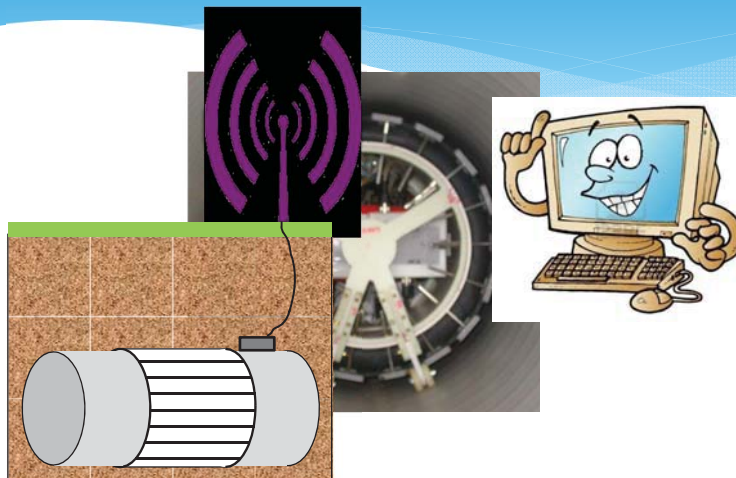


## SOFTWARE



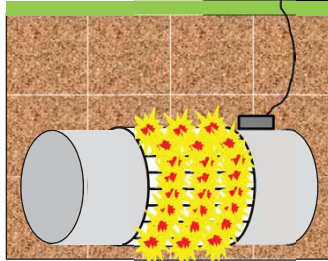
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# System Installation



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# Data Collection & Reporting



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# System Management



The Interactive Pipe - June 2013

# The Interactive Pipe



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## Contact Us

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Non-Destructive Testing

Geophysical

Geotechnical

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# PIPE CONDITION AND EARTHQUAKE DAMAGE

WHAT INFORMATION IS NOT  
CURRENTLY RECORDED?



By J Black – Chief Whispers to Pipes

*Pipelines and Trenchless Construction & Renewals: A Global Perspective* June 22, 2013, Ft Worth, TX



## The Christchurch Earthquakes Sept. 2010 to Dec. 2011

- After a major and damaging EQ, there is extreme urgency to restore services
- Exhumed pipes were stockpiled and then dumped

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**ASCE** PIPELINE RESEARCH  
NEEDS SYMPOSIUM

## Exhumed Pipes Consigned to Landfill

- AC pipes – what failed?



Pipeline orth, TX

**ASCE** PIPELINE RESEARCH  
NEEDS SYMPOSIUM

## Exhumed Pipes Consigned to Landfill

- PVC Pipes – low pressure water main  
exhumed, broken and dumped – what failed?





## The Christchurch Earthquakes

- Valuable asset information was being uncovered on an unprecedented scale (failure mode, condition, pipe size, type and with knowledge of pipes approx. year installed)
- Repair record forms are designed for day-to-day maintenance works (not major catastrophes)
- Information on what failed seldom recorded
- A data collection opportunity was lost
- Few useful photographs of failures were taken
- Most photos were of the repaired pipe in the ground (to ensure that contractors were paid).



## Pipe Condition and EQ Damage

I was not directly involved with the response and recovery work but since so much valuable information was being lost, I used every opportunity to look at failed pipe samples

Some general observations were:

- Brittle pipe materials are more vulnerable to EQ damage than ductile and semi-ductile pipes.
- Deteriorated (poor condition), brittle pipes are even more vulnerable



## Pipe Failure Examples Examined

- I could only examine a few pipe failures in any detail
- My examinations identified other factors that contributed to/caused the failures, aside from the earthquakes
- What was surprising was that many of the failures I inspected were preventable
- The earthquake was just the catalyst for failure

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## Other EQ Damage Factors


The other factors that were identified were:

- Pipe quality
- Installation quality
- Pipeline design and provision of flexibility
- Many of these factors can only be identified by suitably experienced and skilled people
- These can have a very strong influence on EQ damage

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**ASCE** PIPELINE RESEARCH  
NEEDS SYMPOSIUM

## Quality Issues – 1937 Cast Iron Pipe




Also, the minimum pipe wall thickness is only 85% of the Standard minimum

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**ASCE** PIPELINE RESEARCH  
NEEDS SYMPOSIUM

## Quality Issues – PVC Pipe

- Poorly processed (low toughness) PVC pressure pipes are susceptible to failure by longitudinal splitting (brittle fracture) – this pipe did not meet the standard requirement for ductile fracture

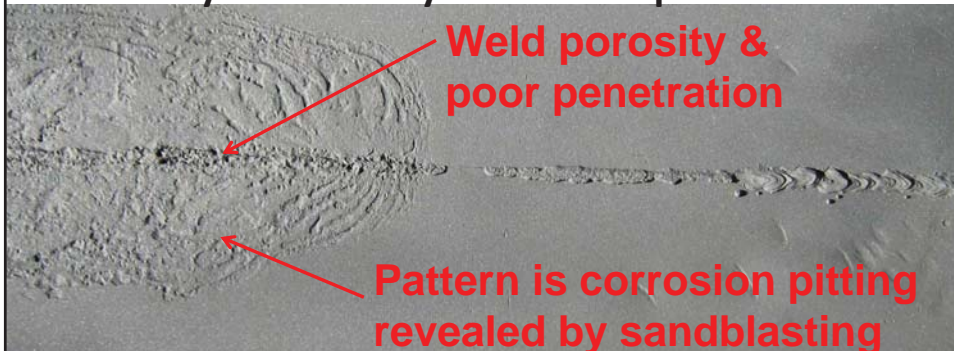






## Quality Issues – Steel Pipe

- Lack of weld penetration & porosity on hand weld of longitudinal seam (the concrete lining was thin and water was seeping through the wall)
- Can only be found by detailed inspection



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## Installation Issues – Cast Iron Pipe

- View of a broken 6" 1880's Cast Iron pipe that had been laid on a large rock
- Vertical acceleration was nearly 2 x g – the only failure in 650' of pipeline
- This information was not recorded – obtained directly from the repair gang foreman



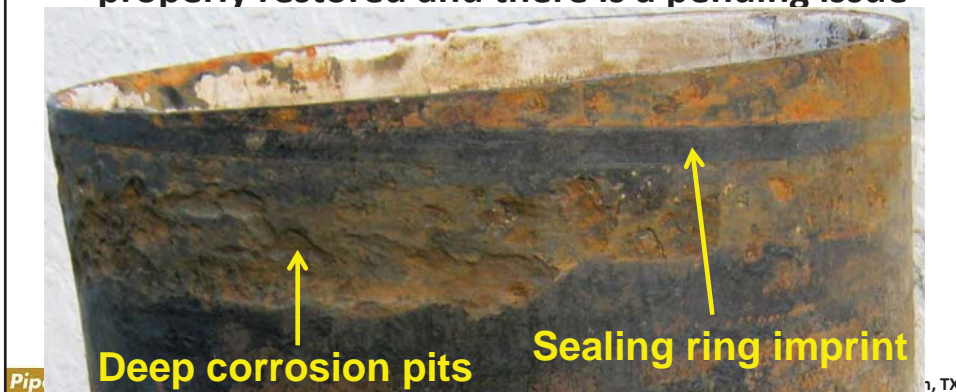
Pipelines

TX



## Installation Issues – Steel Pipes

- A 1969 24” steel pipe laid with a deflection of  $>5^\circ$ . Only  $\frac{1}{2}$ ” of movement caused leakage
- The corrosion protection system was not properly restored and there is a pending issue



## Pipeline Design Issues

- Designs often allow insufficient flexibility at anchorage points
- Traditionally, only allowance for minor settlement was made (maybe  $\pm\frac{1}{2}$  -1”)
- In an EQ event, the relative movement can be 5-10 times this (or more)
- The length of “rocker” pipes is usually far too short (if they exist at all)
- Record forms could provide for comment on lack of flexibility



## Inflexible Design 27" Cast Iron Pipe

- Some pump stations had no flexibility at all between pump station and valve chamber.



Pipelines a

Ft Worth, TX



## Asset Inventory Records (GIS)

- Many of the failed pipes examined were incorrectly or (at best) inconsistently recorded in the GIS system
- Most GIS systems contain errors and anomalies - even in well managed systems
- Earthquake response and recovery repair works provide a unique opportunity to gather information on a vast scale that can be used to verify/correct GIS records
- Complete, reliable and consistent records needed

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## Consistent identification of Pipes

- It's common to find inventory records with similar pipe materials identified in different ways
- Rationalisation of pipe descriptors is essential in improving record keeping
- Training of Key personnel is needed as reliably identifying pipe materials can be difficult
- Even a pipe whisperer can get it wrong
- GIS records frequently don't have sufficient data fields for important sub groups of pipe materials



## General Observations & Comments

- After an earthquake, the first consideration is recovery of survivors of damage
- Almost simultaneously, restoration of water supply and sewerage services begins
- The urgency to make repairs means existing resources are overloaded and assistance from outside is needed
- Contractors unfamiliar with systems are brought in to assist with the recovery



## General Observations & Comments

- Field record forms are usually inadequate for anything but routine work
- Photographs of sufficient quality of what failed are rarely available
- Unusual damage samples are not retained
- It's assumed that maintenance crews can reliably identify all pipe materials
- GIS records don't recognise some important sub-sets of materials



## Example of Incorrect Asset Detail

- A section of 1930 4" spiral riveted steel pipe with 5 separate repairs within 900mm
- The GIS showed 1987 PVC-U for this main
- This type of pipe not recognised in the GIS record



After sandblasting



## General Observations & Comments

- There is an industry need (world-wide) to train key maintenance personnel in:
  - Ways to reliably identify pipe materials, - sounds easy but it's not. Try distinguishing between the PE's, the PVC's and AC types
  - How to record information gained from repair works,
  - Basic condition assessment principles, and
  - To give guidance on how to recognise failures that could benefit from expert examination, and
  - How to package such samples

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## Research Needs

My point here is that record keeping is so apparently simple and basic that it is largely ignored

- Most current repair record forms do not allow for some important data capture
- Research focussed on data capture and reporting would have benefits for day-to-day operations as well as emergency preparedness
- Input is needed from all stakeholders, asset owners, maintenance personnel (who have to record the information, technical experts, etc

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## Research Needs

- Key aspects that the research should address (at least) include:
  - Asset inventories reliability & consistency
  - Rationalisation of pipe descriptions
  - Confirmation of pipeline install dates (often only a [misleading] default date is used)
  - What information should be recorded & how?
  - Training needs for maintenance personnel?
  - Updating records when pipelines are renewed or others decommissioned
  - All very basic stuff!!

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## Conclusions

- Better record keeping is possible and will facilitate improvements to asset inventories as well as preparedness for an emergency
- Better records will be useful for future earthquake damage research
- Appropriately experienced pipe experts should be engaged at an early stage in the emergency recovery

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**Thank you for your attention**

**ANY QUESTIONS?**





# Pipeline Crisis

## Why research matters

Neil Grigg, Colorado State University



## Pipelines 2013 Topics

- Infrastructure
- Planning and Design
- Safety, Risk, and Condition Assessment
- Trenchless Installation
- Location and Installation
- Oil & Gas: Design, Installation, Safety and Risk



## Evidence of a pipeline crisis?

- ASCE Report Card?
- Water main breaks?
- PG&E? Exxon? Gulf blowout?
- Many kinds! Add it up.



## Why does a pipeline crisis matter?

- Risk to public
- Risk to environment
- Service levels
- Financial impacts

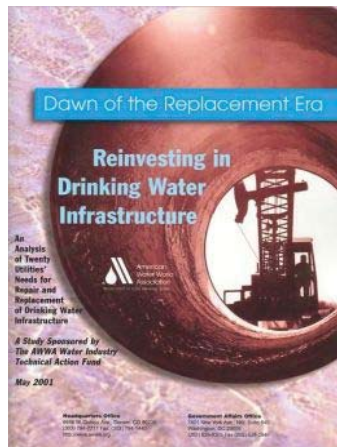


## Slow renewal of pipes

- Once in 200 years—  
Does it make a crisis?



## Money and aging infrastructure





## Seismic failures

### Performance and Reconstruction for Distribution System of Kobe Water against the 1995 Kobe Earthquake



turiage.mov

Makoto MATSUSHITA  
Kobe City Waterworks Bureau

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## Add it up

- The problem has many parts
- It is a creeping crisis
- Important but easy to ignore—
- Until disaster strikes

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## Whose job to fix it?

- Washington DC?
- EPA?
- Water and wastewater utilities?
- Gas and oil industry?
- PHMSA? Other regulators? PUCs?
- Taxpayers?
- All of the above?



## About the pipeline industry

- Many disparate parts
- Lot of scenarios and types of pipes
- Lot of owners and fragmented responsibilities
- Aging pipes, new pipes
- Uncertain pipeline conditions
- Lot of conflicts, some danger
- Many routine situations
- Interdisciplinary and inter-industry



## Does research matter?

### Scenarios:

- Pipelines involve a lot of practical issues and don't require research—they just require good and skillful work
- Pipelines are high tech with a lot of management challenges—research is needed to push the envelope



## Pipeline research

- Is pipeline research about technology, management, or all of the above?
- Pipelines require practical knowledge, skills, abilities
- They also require materials, equipment, scenarios, rules and procedures, risks



## What does it compare to?

- Medical research to extend life?
- Environmental research about nature?
- Product development for problem-solving (saline water) or profit (I-Phone)?
- Defense research for weapon systems?
- Transportation to improve mobility?
- Social research to strengthen families?



## Models?

- Basic or applied?
- R and D?
- Product development?
- Research-to-practice (education, child welfare, medicine, public administration)?
- Government research (ARPA-NSF, Internet)?
- Academic research?
  
- Best model: Industry cooperative research? – WaterRF, WERF, GRI, EPRI, CII, ....



## Trash research? Golden Fleece Awards

- NSF: compare aggressiveness in sun fish that drink tequila instead of gin
- NIH: Peruvian brothels (researchers made repeated visits for accuracy)



## Fund research no matter what? Golden Goose Award 2012

- Charles Townes—1950s—laser technology—no known application—Nobel Prize 1964
- Several—study of tropical coral 1960s—Ideal bone graft material
- Shimomura—how jellyfish glow in the dark—used in pharmaceutical and biotechnology industries—Nobel Prize for Chemistry in 2008





## Who does research?

- EPA?
- WaterRF?
- WERF?
- PHMSA?
- Vendors to develop products?
- Utilities who never publish?
- Consultants to get work?



## Best examples of payoffs?

- Asset management?
- Pipe break causes?
- Condition assessment? Corrosion control?
- Water loss accounting?
- Frameworks, like “knowledge management?”
- BMPs? Like DSO?



## Gap—research to practice?

- Researchers unconcerned with messy details
- Complain that practitioners ignore research
- Practitioners deride research as ivy tower
- Gap caused by misunderstanding?
- Or fundamental—knowledge and skill sets differ?
- Research-practice gap difficult to overcome

An example from the computer industry  
[http://jpd.org/dn.mss/the\\_research-practice\\_gap\\_1.html](http://jpd.org/dn.mss/the_research-practice_gap_1.html)



## Let it happen? Or make it happen?

- Innovation to practice is complex
- Requires problem recognition and importance
- Requires context-sensitive solutions
- Funders emphasize evidence-based strategies
- Adoption more than passing information to practitioners
- New knowledge-to-practice is a process
- Funders for **public health** may prefer 'making it happen'

<http://www.communitysystemsonline.com/LinkClick.aspx?fileticket=STpRI5%2BH7zA%3D&tabid=708>



## Many faces of research

- What is it? Research-to-practice?
- Industry where it occurs?
- Who is responsible?
- Government or association-funded?
- Consultants to carve out niches?
- Vendors to develop products?
- Unfunded writers? Researchers?
- Kaleidoscope!



## Competition and trade issues?

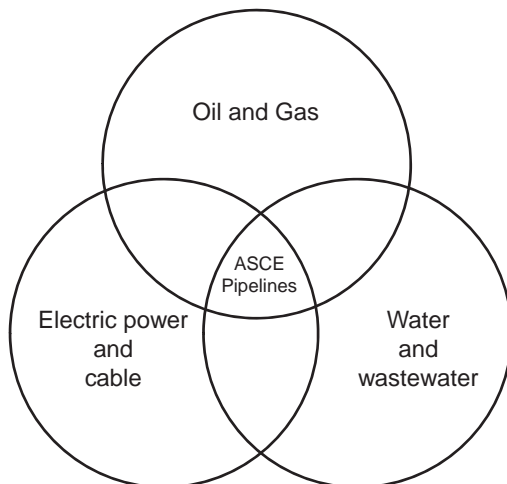


© Ron Leichman \* www.ClipartCl.com/438703



## What to do?

- It depends—your industry, your role
- Water industry—slow change, high stakes
- Determine boundary conditions
- Identify problems clearly
- Find solutions
- Make it happen? Whose job?



Public sector—private sector  
Regulated—unregulated  
Oil and water  
Different incentives/agendas  
CEs, MEs, EEs and ChEs

From this...  
progress and  
advancement of knowledge!



## Business case for research?

- Identify problems
- Recruit industry advocates
- Get good researchers
- Plan research-to-practice
- Prepare success stories
- Champion research (like Harry Hopkins)



## The ties that bind

- Technological gate keepers
- Change experts
- Imbedded technology agents
- People who stick with it (like with SWMM)



## Why pipeline research matters

- Society without technology?
- Shared inter-industry activity
- Profit or public good?
- Many subjects
- Synthesis or basic work?
- Innovative but practical
- Foster cooperation
- Important work, keep at it!



# Thank you!

Neil Grigg

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970-491-3369





# Integrity Management for Piping Infrastructure

Ernest Lever

R&D Director, Infrastructure  
Gas Technology Institute



## Who is GTI?

- > Not-for-profit research, with 70 year history
- > Facilities
  - 18 acre campus near Chicago
  - 200,000 ft<sup>2</sup>, 28 specialized labs
  - Other sites in DC, CA, MA, PA, and Alabama
- > Staff of 250
  - 170 scientists and engineers covering all fields
  - In-house Contracts, Licensing



Offices & Labs



Flex-Fuel Test Facility

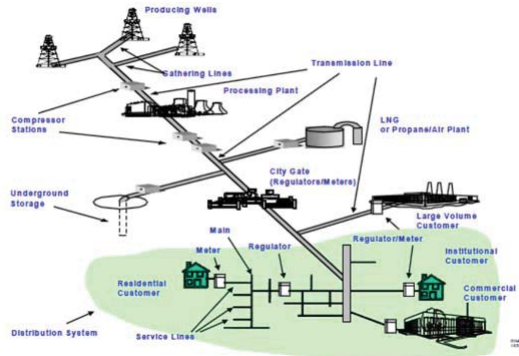


Energy & Environmental Technology Center



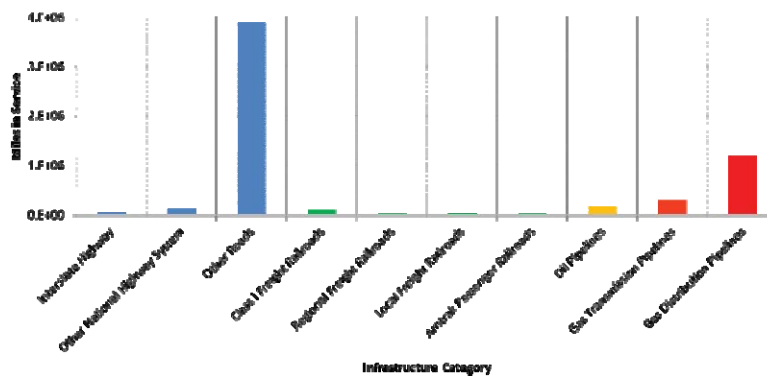
## Size of the US Natural Gas Piping System

- In 2008 the US natural gas transportation system comprised :
- ~ 300,000 miles of transmission pipelines
- > 1.2 million miles of distribution pipelines



## Natural Gas Transportation Network Compared to Other DOT Regulated Transportation Networks

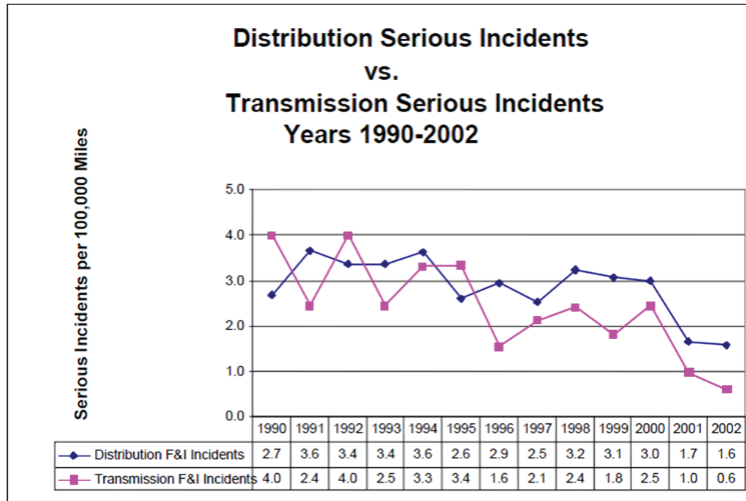
The Transportation Network: 2008  
Miles of Infrastructure in Service by Category  
Source: Research and Innovative Technology Administration (RITA)







## Continually Improving Integrity Management and Public Safety

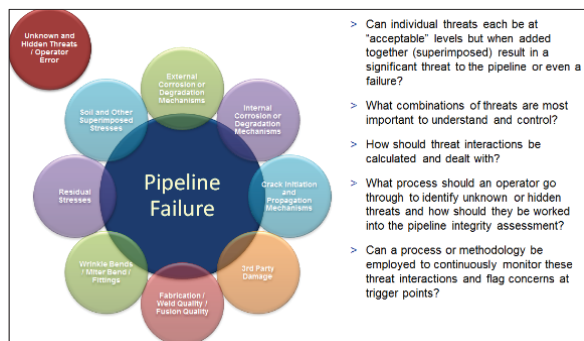


Source: Safety Performance and Integrity of the Natural Gas Distribution Infrastructure, American Gas Foundation, January 2005



## Integrity Management Principles

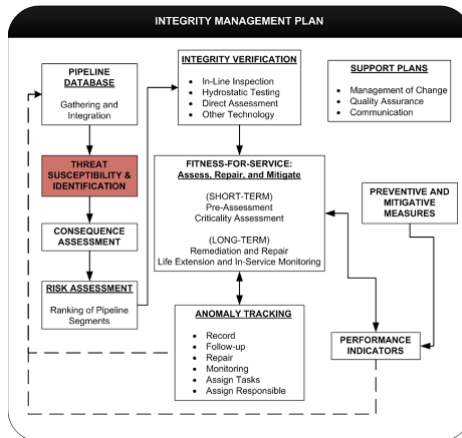
- Know the System
- Identify Threats
- Evaluate Risks
- Mitigate Risks





## Know the System

- Location, properties, condition, environment . . . all data related to risk!
- Utility companies must collect information during installation and through routine operations to create data sets for integrity management and asset management in the future
- Installation is the optimal time to collect data
- Examples
  - GPS As-Builts
  - Tracking and Traceability with Barcodes



## GPS As-Builts

- Integrated external high accuracy GPS receivers with tablet computers
  - Sub-foot quality data in real time
  - No need for post processing or a base station
  - Field data directly inserted into the GIS
  - Modular architecture allows integration of multiple GPS receivers
    - Navcom
    - Geneq
    - Trimble





## Asset Tracking and Traceability

- GIS-centric data collection process
  - Barcode scanner
  - Sub-foot accurate GPS receiver
  - Tablet device with GIS-based data collection software
  - Application to convert barcode into asset attributes to auto populate the GIS



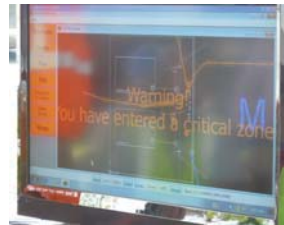
## Identify Threats

- Combine data from installation, operations and industry knowledge to identify trends
- Continuously monitor assets
- Understand trigger events that require enhanced monitoring
- Examples
  - GPS-Based Excavation Encroachment Monitoring
  - LiDAR for Post-Disaster Assessment



## GPS-Based Excavation Encroachment Monitoring

- GPS tracks excavation activity and continuously sends positional information to a central repository
- Software sets up geo-boundaries around pipelines and monitors for encroachments
- Warnings are sent to pipeline operators and excavator



## LiDAR for Post-Disaster Assessment

- LiDAR detects changes in the built environment that could impact pipe integrity (soil erosion, flooding, etc)
- Changes are used to model new risk profiles of pipelines
- Resources can be deployed to the highest risk pipe segments after a natural disaster





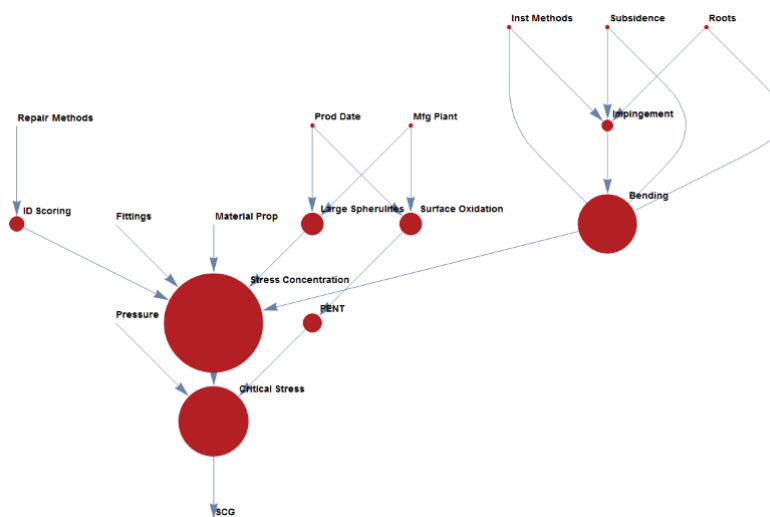
## Assess Risk

- Determine probability of failure
- Determine consequence of failure
- Identify high risk pipe segments
- Examples
  - Probabilistic risk modeling for vintage pipe materials
  - More than 70 years of research experience and knowledge
  - Detailed understanding of risk drivers

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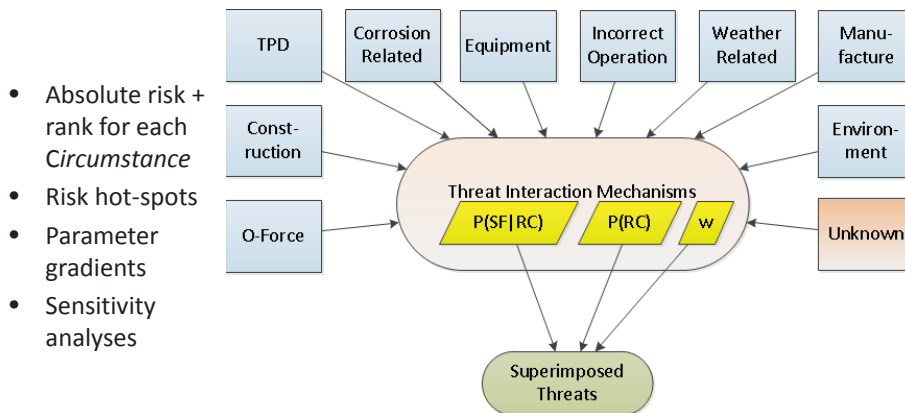
## Probabilistic risk modeling



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## Threat Interaction Mechanisms



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## Mitigate Risks

- Implement measures to reduce the probability of occurrence and/or reduce the consequence of occurrence
- Pro-actively survey and monitor
- Repair, rehabilitate, replace
- Examples
  - Remote Quality Monitoring
  - Cured-in-Place (CIP) Lining
  - Composites

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## Remote Quality Monitoring

- Smart phones are used to take pictures during construction
- Pictures are GPS and time stamped
- Pictures are sent in real-time to the back office
- Monitor the progress of construction from the office
- Monitor important steps in the construction process
- Maintain QA/QC records

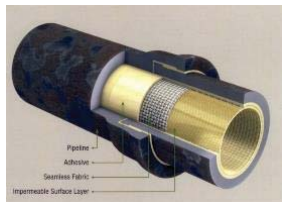


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## CIP Liners and Composites

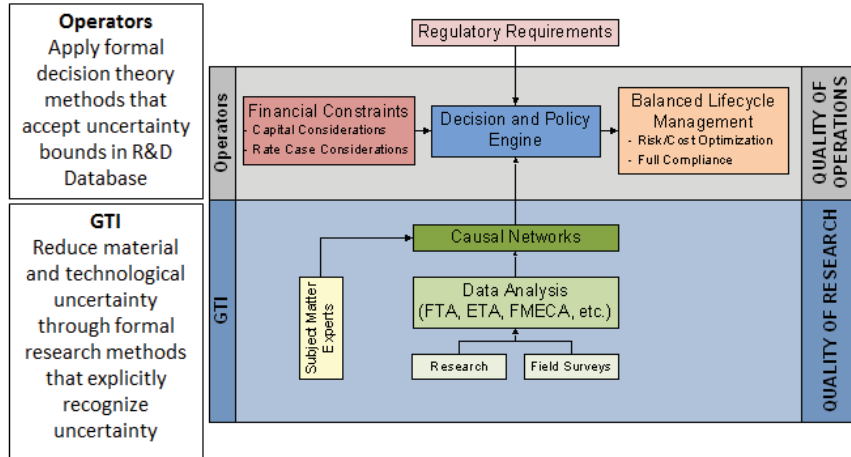
- CIP liners can repair or rehabilitate aging infrastructure and provide a new service life
- Composites can provide external spot or complete internal repairs



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# Pull it Together



# Thank You

## Questions?





# Research Needs for Material Properties and Operational Surge in Pipeline Failures

Dr. Graham E.C. Bell  
HDR|Schiff

Saturday June 22, 2013

PIPELINES RESEARCH NEEDS SYMPOSIUM

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Some of the fundamental assumptions that  
we make about our pipes are inaccurate

- Round & Straight
- Loads Constant
  - Internal
  - External
- Material Strength Determines Failure

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Tensile testing and crush strength are not related to fracture mechanics

- Nonstandard samples
- Composite materials
- Crush loading is not related to pipe failure mode
- Need fracture mechanics information to relate microstructure, chemistry and break # and rate information.



Simplified History of Small Diameter Water Mains

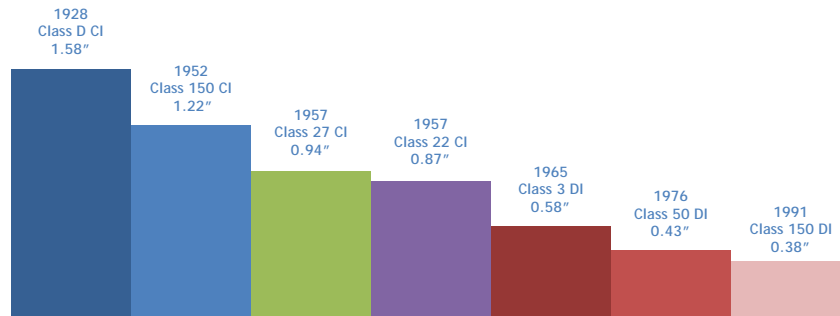
1880 to 1925	1925 to 1940	1940 to 1970	1970 to 1995	1995 to 2011
Pit Cast Iron (unlined)	Spun Cast Iron (unlined)	Spun Cast Iron (factory lined)	Ductile Iron	
		Asbestos Cement	Polyvinyl Chloride	
				High-Density Polyethylene



← Modern Pipe →



## Typical Iron Pipe Wall Thickness Decrease Over The Years



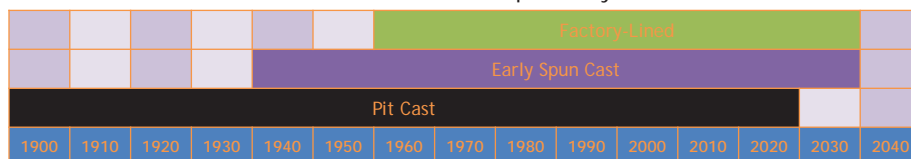
Example for Minimum Wall 36-Inch Iron Pipe



## As Cast-iron Pipe Got "Better" ...Lives Got Shorter

- Typical Industry Expectations
  - 120 years for pit-cast iron (1880 – 1925)
  - 100 years for unlined spun cast (1925 – 1945)
  - 75 years for factory lined cast iron (1945 – 1970)

Cast Iron Life Expectancy



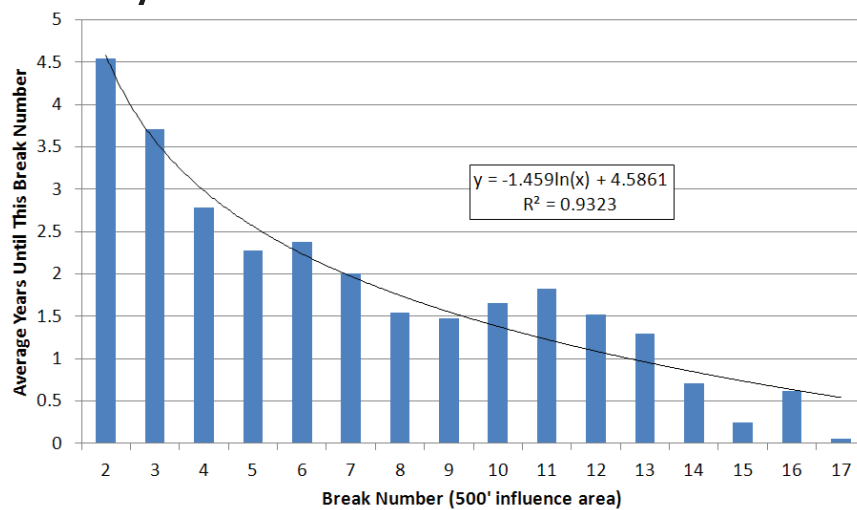


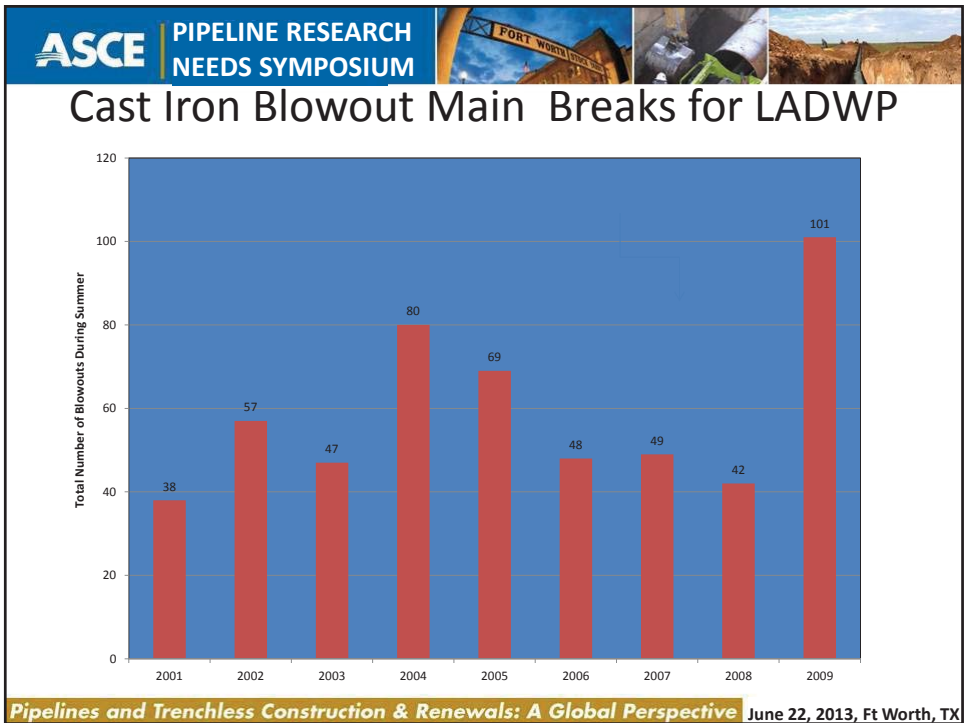
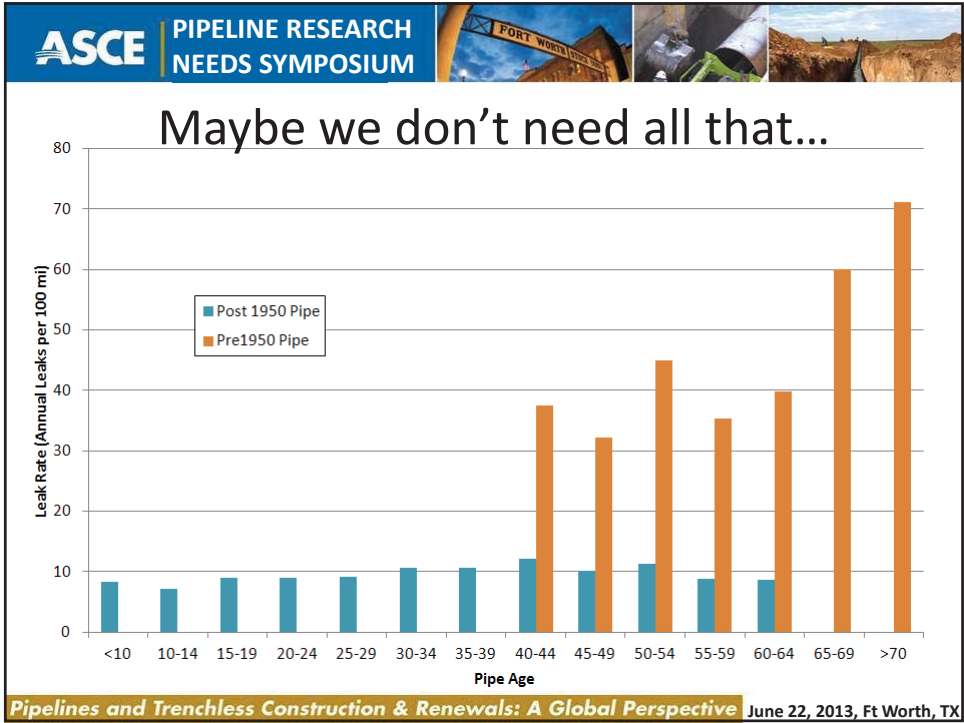
## Fracture Mechanics is Important

- **Fracture mechanics** is the field of [mechanics](#) concerned with the study of the propagation of cracks in materials.
- The prediction of crack growth is at the heart of the [damage tolerance](#) discipline.



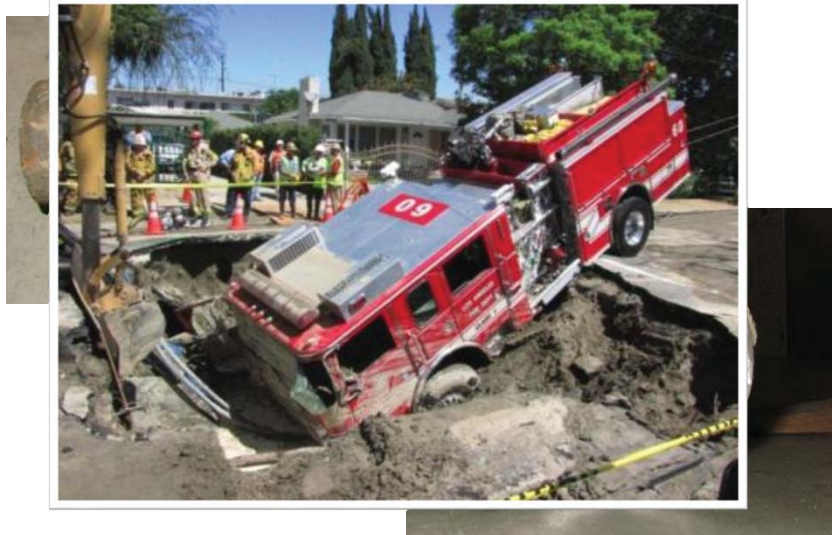
## Maybe we don't need all that...







## Blow outs and breaks were longitudinal cracks



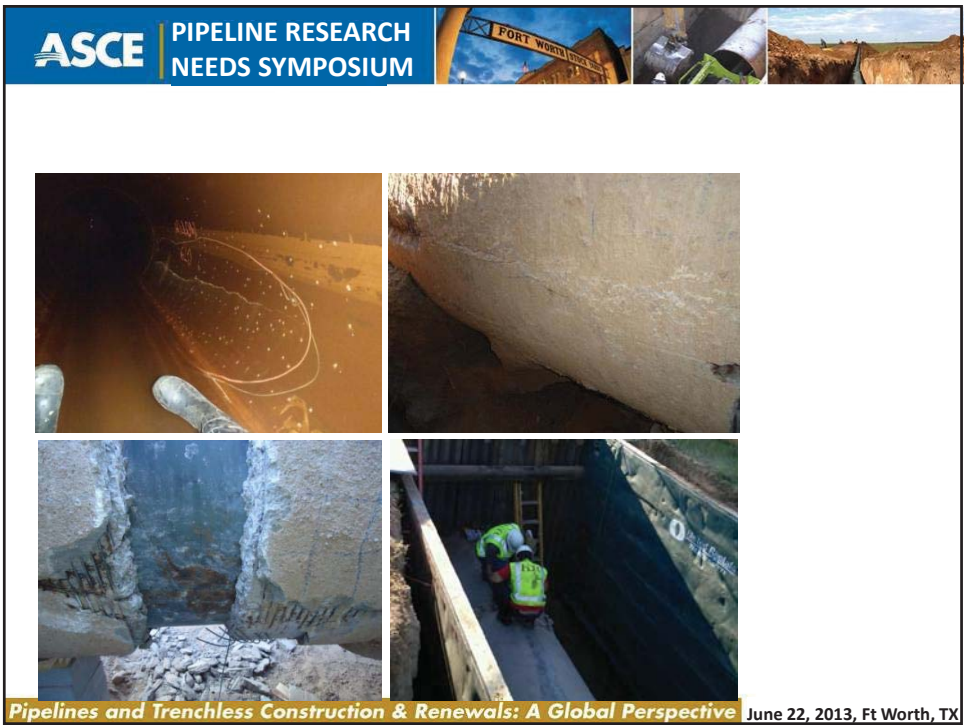
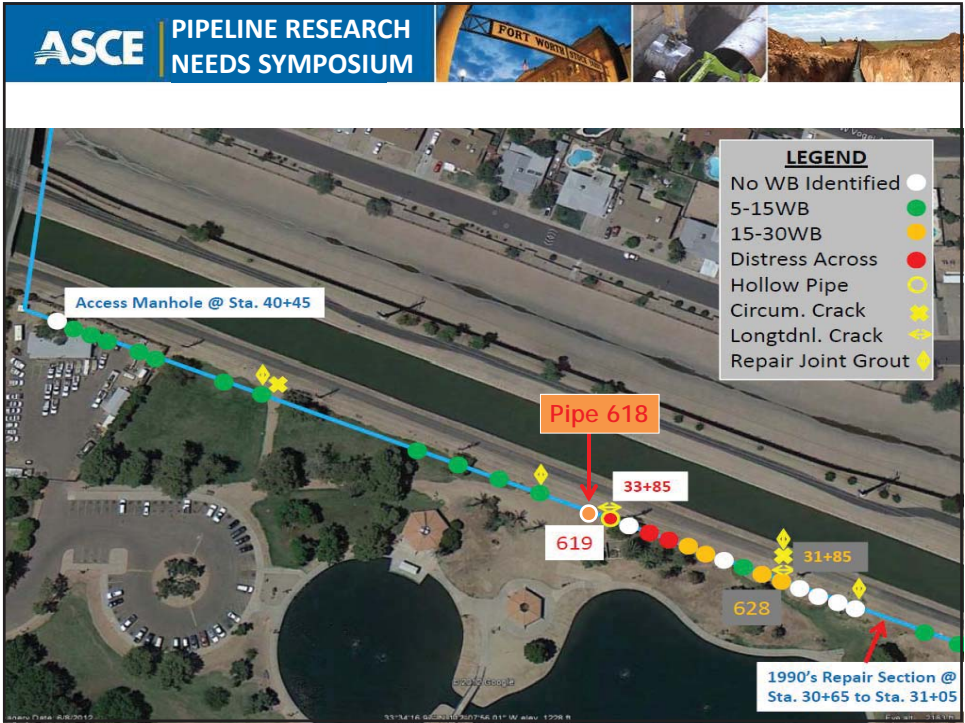
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## Long story short....

- In early 2009, LADWP institutes watering restrictions (every other day)
- 2009, cast iron blow outs increase dramatically.
- Root cause was that operational change due to water restrictions resulted in cyclic fatigue of aging brittle pipe material (cast iron) which leads to failures.
- 2010, even addresses water on even days, odd addresses water on odd days.
- Pipe breaks reduced back to previous levels.

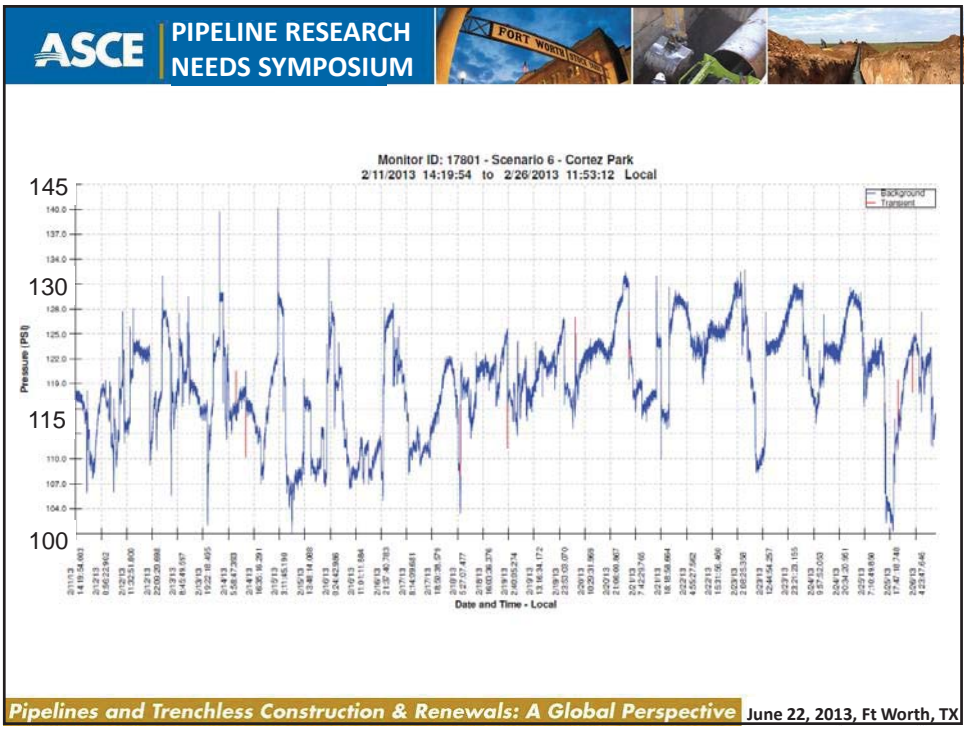
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**ASCE PIPELINE RESEARCH NEEDS SYMPOSIUM**

**Crown of Pipe 618**

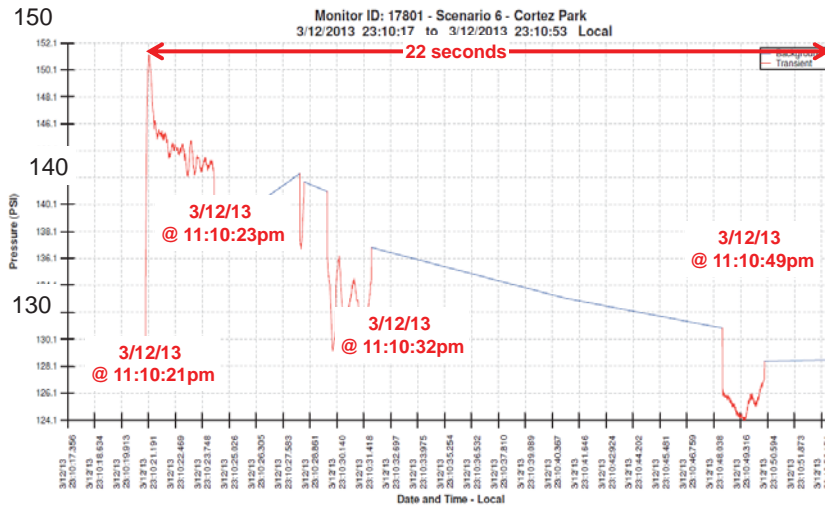
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## Scenario 6 Surge Event (PRV closing)



## Research Needs

- Understand material fracture mechanics
  - Break morphology
  - Break frequency
  - Break mechanics
- Surge
  - Role in materials and pipe failure
  - Reduction
  - Analysis

**ASCE PIPELINE RESEARCH NEEDS SYMPOSIUM**

**STAGED CONSTRUCTION MODELING OF  
A LARGE DIAMETER STEEL PIPE USING 3-D  
NONLINEAR FINITE ELEMENT ANALYSIS**

PREPARED BY:  
**CENTER FOR STRUCTURAL ENGINEERING RESEARCH/ SIMULATION**  
**PROFESSOR ABOLMAALI**  
**MOJTABA S DEZFOOLI**  
**MOHAMMAD RAZAVI**

THE UNIVERSITY OF TEXAS AT ARLINGTON  
 DEPARTMENT OF CIVIL ENGINEERING

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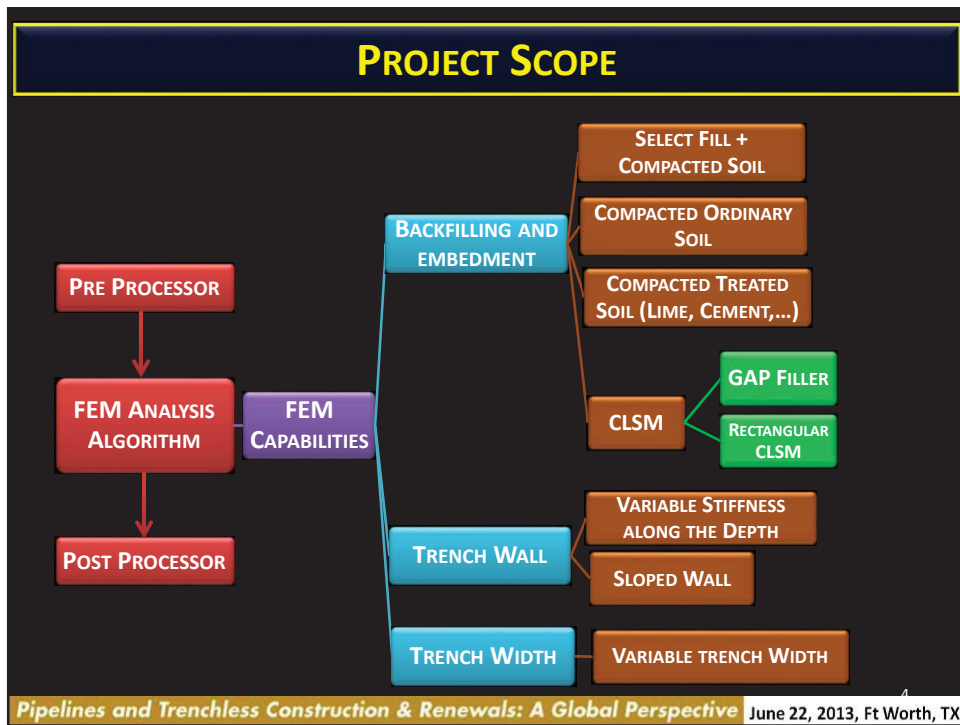
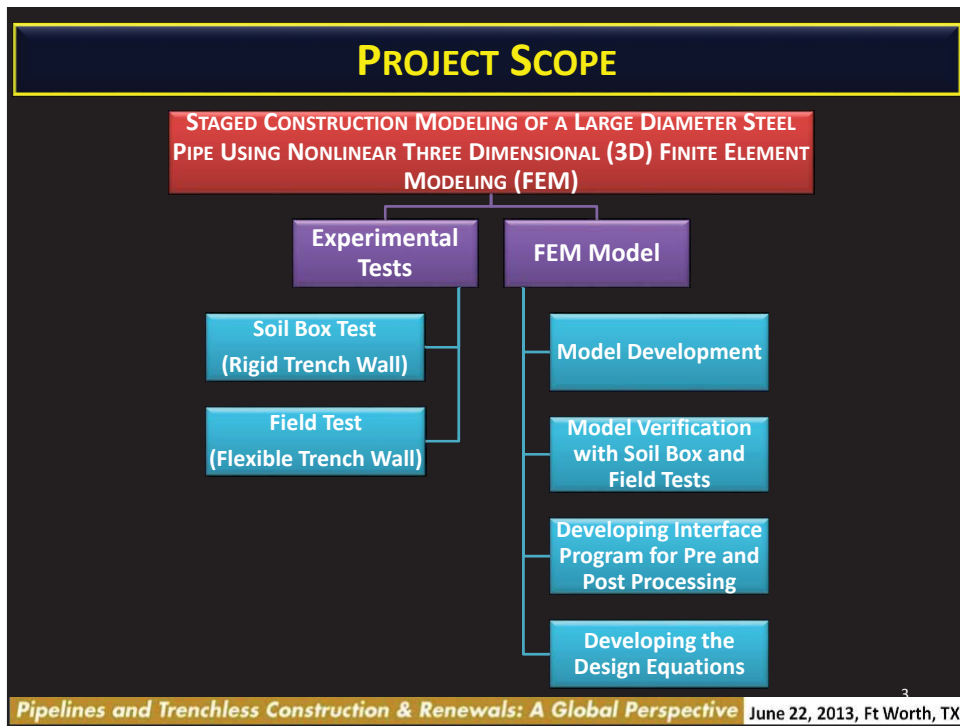
**ACKNOWLEDGMENT**

**Benbrook Lake**  
TRWD-Dallas  
Integrated Pipeline

**150 miles**

**Lake Palestine (East Texas)**

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# FEM MODEL DEVELOPMENT

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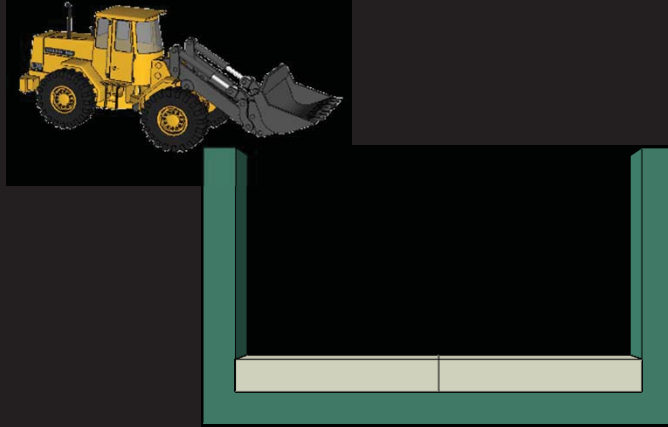
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



**CONCRETE BOX**

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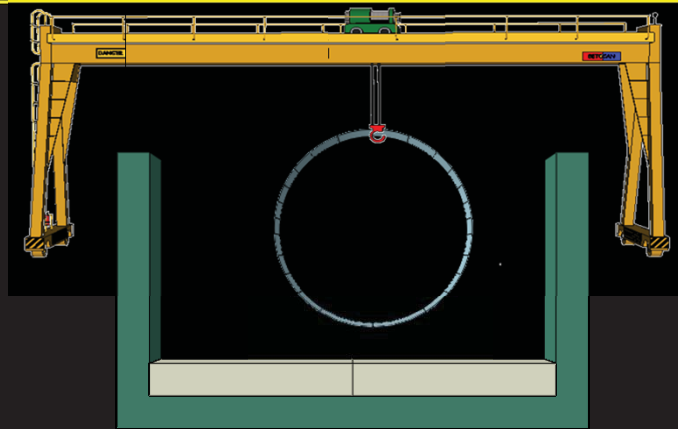
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



THE BEDDING LAYER

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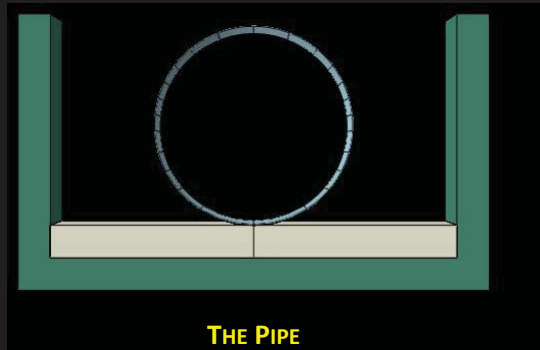
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



THE PIPE

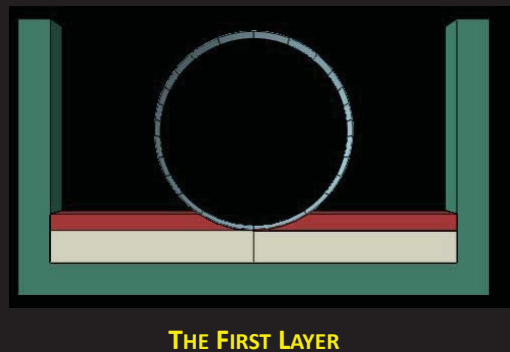
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## STEP-BY-STEP STAGED CONSTRUCTION MODELING



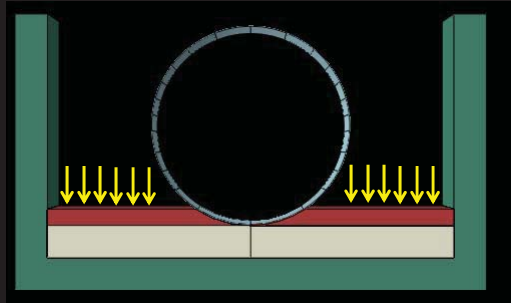
Pipelines and Trenchless Construction & Renewals: A Global Perspective June 22, 2013, Ft Worth, TX

## STEP-BY-STEP STAGED CONSTRUCTION MODELING



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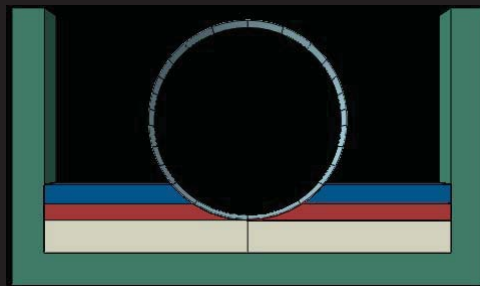
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



COMPACTION

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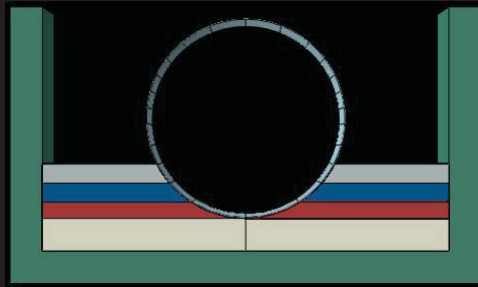
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



THE SECOND LAYER

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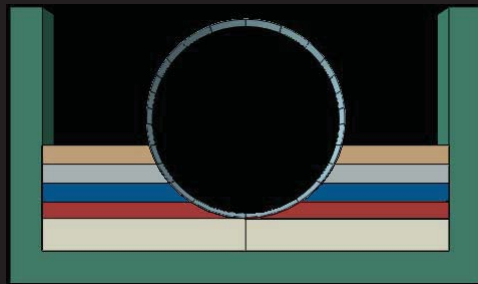
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



THE THIRD LAYER

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## STEP-BY-STEP STAGED CONSTRUCTION MODELING

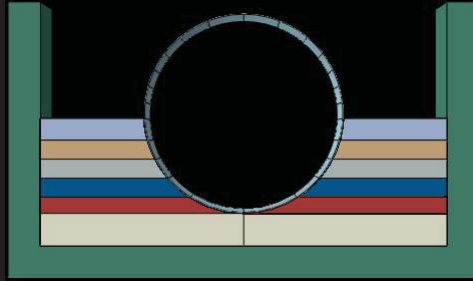


THE FORTH LAYER

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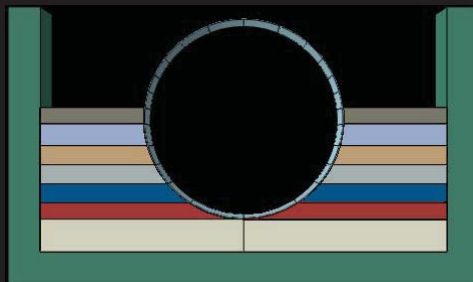
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



THE FIFTH LAYER

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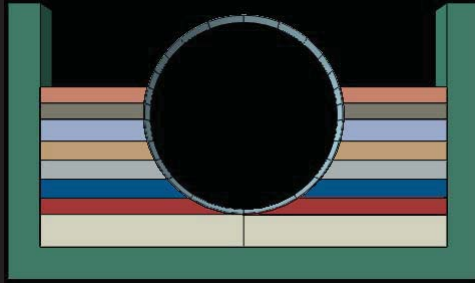
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



THE SIXTH LAYER

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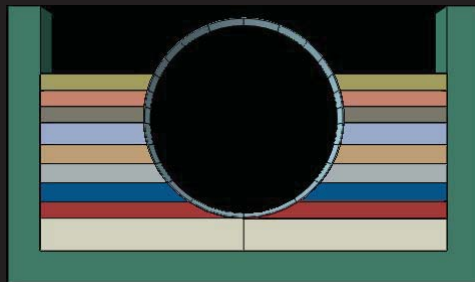
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



THE SEVENTH LAYER

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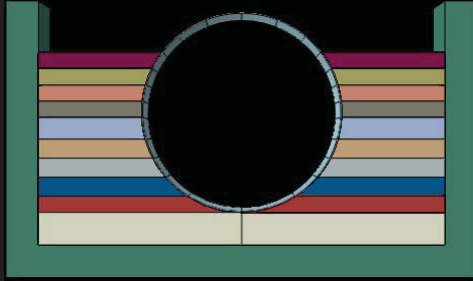
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



THE EIGHTH LAYER

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## STEP-BY-STEP STAGED CONSTRUCTION MODELING



THE NINTH LAYER

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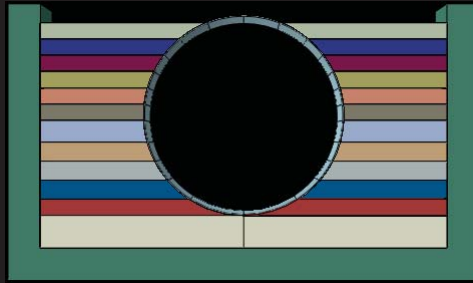
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



THE TENTH LAYER

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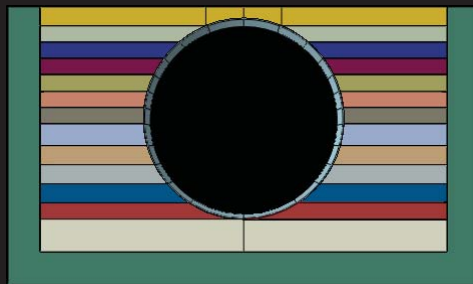
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



THE ELEVENTH LAYER

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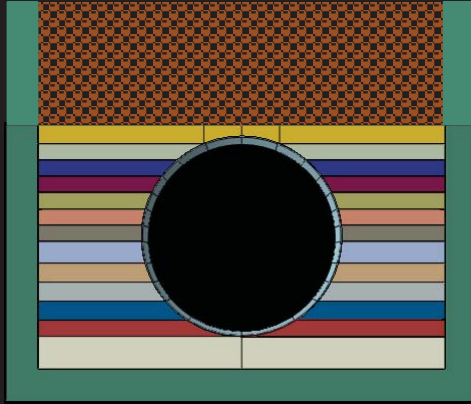
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



THE TWELFTH LAYER

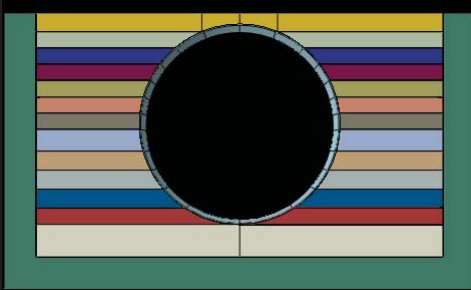
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## STEP-BY-STEP STAGED CONSTRUCTION MODELING



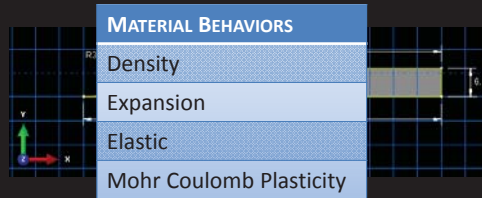
THE SURCHARGE LAYER

## STEP-BY-STEP STAGED CONSTRUCTION MODELING



THE FEM MODEL PARTS

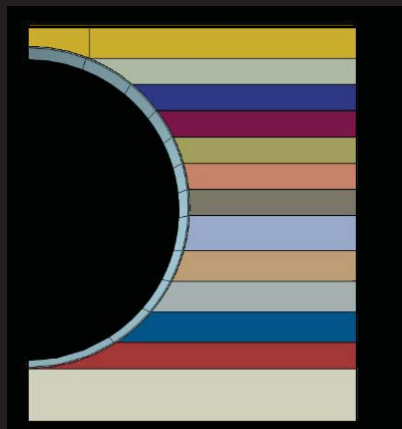
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



DEFINING GEOMETRY AND MATERIAL PROPERTIES FOR EACH PART



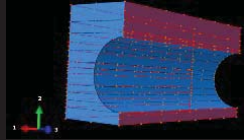
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



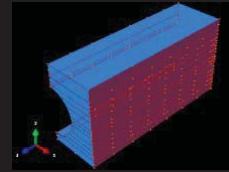
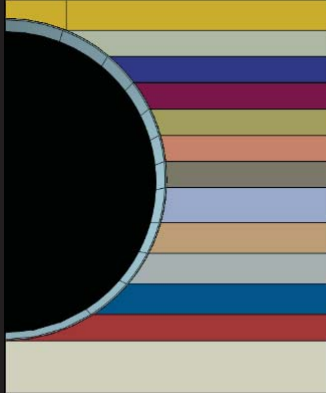
ASSEMBLING HALF OF THE MODEL

## STEP-BY-STEP STAGED CONSTRUCTION MODELING

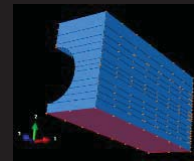
### BOUNDARY CONDITIONS OF THE HALF-MODEL



$$U_1=0$$



$$U_1=0$$



$$U_1, U_2, \text{ and } U_3=0$$

27

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## STEP-BY-STEP STAGED CONSTRUCTION MODELING

1- SURCHARGE

2- GRAVITY

3- SOIL LATERAL LOAD

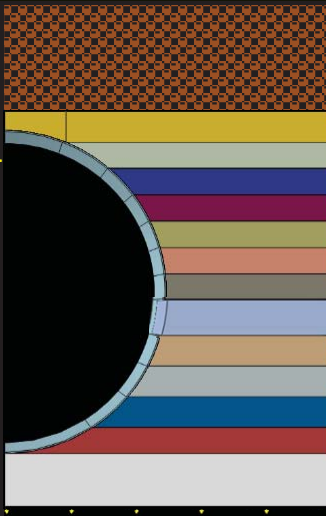
A) AT REST LATERAL

PRESSURE

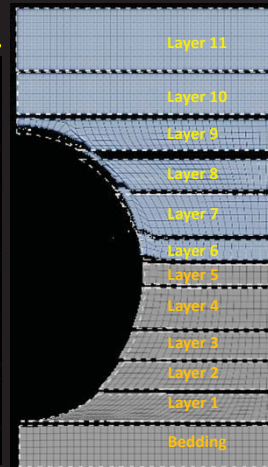
B) COMPACTION

LATERAL PRESSURE  
WAS APPLIED AS  
UNIFORM POSITIVE  
TEMPERATURE

$$\alpha_2 = \alpha_3 = 0$$



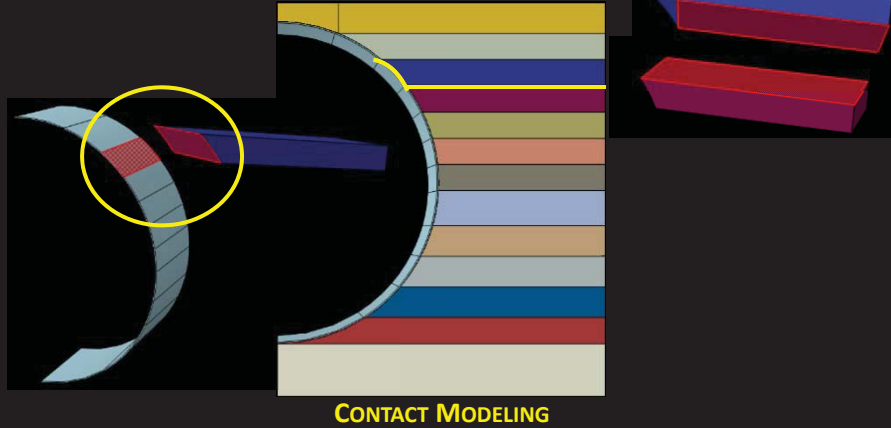
LOADINGS



28

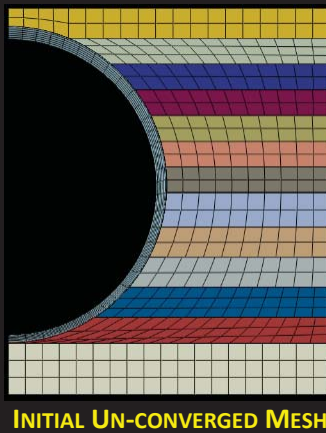
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## STEP-BY-STEP STAGED CONSTRUCTION MODELING



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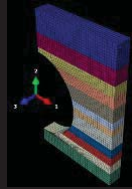
## STEP-BY-STEP STAGED CONSTRUCTION MODELING



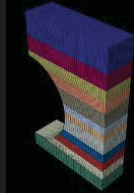
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## FEM MODELS



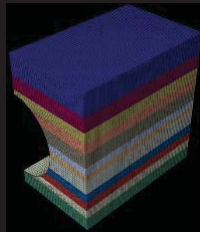
1 foot



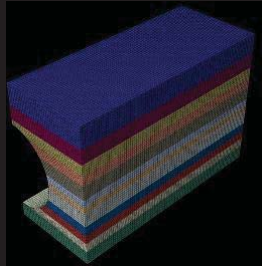
2 feet



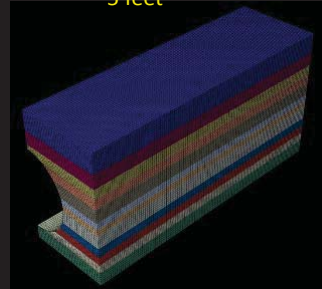
5 feet



10 feet



15 feet



20 feet

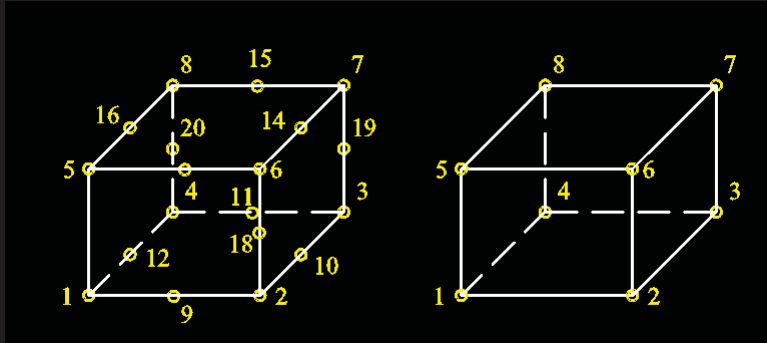
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## THEORETICAL BACKGROUND

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## TYPE OF ELEMENTS

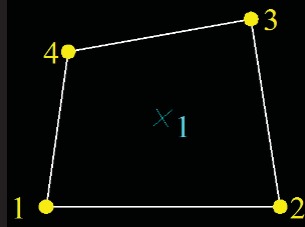


**20-NODE BRICK ELEMENT**

**8-NODE BRICK ELEMENT**

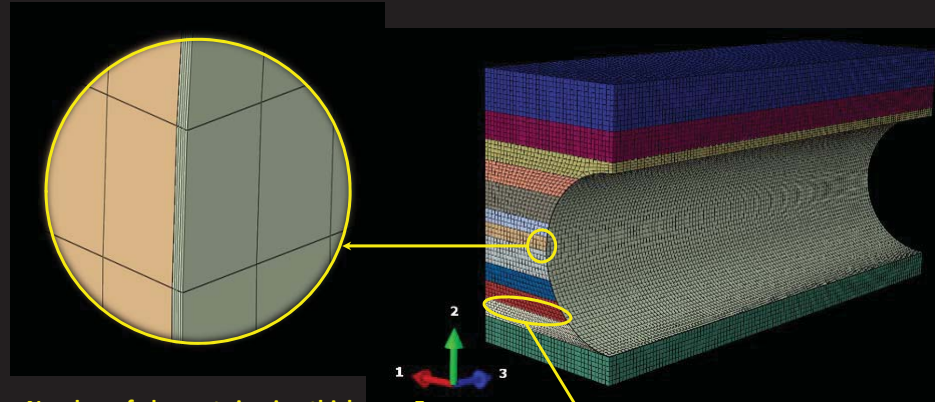
## TYPE OF ELEMENTS

**C3D8R (FOR PIPE): AN 8-NODE LINEAR BRICK, REDUCED INTEGRATION, HOURGLASS CONTROL.**



- Element Nodes
- × Integration Points

## CONTACT PROPERTIES



Number of elements in pipe thickness: 5

Contact Soil-pipe:  
Tangential: friction coefficient  
Normal behavior: Hard contact

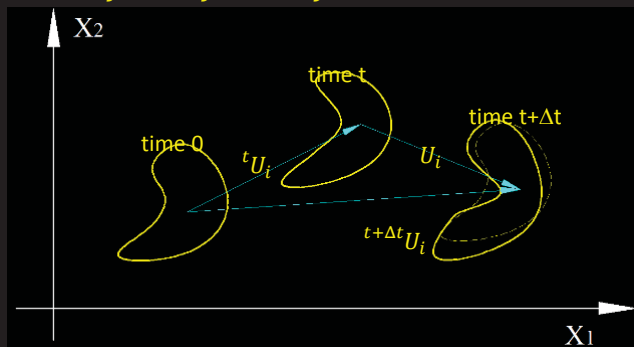
Contact Soil-Soil:  
Tangential: friction coefficient  
Normal behavior: Hard contact

## GEOMETRIC, MATERIAL, AND CONTACT NONLINEARITIES

$$\delta {}^{t+\Delta t}{}_o \boldsymbol{\varepsilon}_{ij} = \delta {}_o \boldsymbol{\varepsilon}_{ij}$$

$${}^{t+\Delta t}{}_o \boldsymbol{S}_{ij} = {}^t{}_o \boldsymbol{S}_{ij} + {}_o \boldsymbol{S}_{ij}$$

$${}^{t+\Delta t}{}_o \boldsymbol{\varepsilon}_{ij} = {}^t{}_o \boldsymbol{\varepsilon}_{ij} + {}_o \boldsymbol{\varepsilon}_{ij}$$



## GEOMETRIC, MATERIAL, AND CONTACT NONLINEARITIES

$${}^t\varepsilon_{ij} = \frac{1}{2} ({}^tU_{i,j} + {}^tU_{j,i} + {}^tU_{k,i}{}^tU_{k,j})$$

$${}^{t+\Delta t}{}^0\varepsilon_{ij} = \frac{1}{2} ({}^{t+\Delta t}{}^0U_{i,j} + {}^{t+\Delta t}{}^0U_{j,i} + {}^{t+\Delta t}{}^0U_{k,i}{}^{t+\Delta t}{}^0U_{k,j})$$

$${}^0\varepsilon_{ij} = \frac{1}{2} ({}^0U_{i,j} + {}^0U_{j,i} + \underbrace{{}^0U_{k,i}{}^0U_{k,j}}_{\text{Linear in } U_i ({}^0e_{ij})} + \underbrace{{}^0U_{k,i}{}^tU_{k,j}}_{\text{Non-Linear in } U_i ({}^0\eta_{ij})}) + \frac{1}{2} {}^0U_{k,i}{}^0U_{k,j}$$

$${}^0\varepsilon_{ij} = {}^0e_{ij} + {}^0\eta_{ij}$$

$$\delta {}^0\varepsilon_{ij} = \delta {}^0e_{ij} + \delta {}^0\eta_{ij}$$

## GEOMETRIC, MATERIAL, AND CONTACT NONLINEARITIES

### GEOMETRIC NONLINEARITY

${}^tS_{ij}$  : 2<sup>nd</sup> Piola Kirchhoff Stress Tensor

${}^t\tau_{ij}$  : Cauchy Stress Tensor

${}^t\sigma_{ij}$  : Physical Stress Tensor

$${}^tS_{ij} = \frac{{}^0\rho}{{}^t\rho} X_{i,m} \tau_{mn} X_{j,n}$$

FOR ISOTROPIC MATERIAL:

$${}^tC_{ijrs} = \lambda \delta_{ij} \delta_{rs} + \mu (\delta_{ir} \delta_{js} + \delta_{is} \delta_{jr})$$

$\lambda$  AND  $\mu$  ARE LAMÉ CONSTANTS:

$$\lambda = \frac{E}{(1+\nu)(1-2\nu)} \quad \mu = \frac{E}{2(1+\nu)}$$

## GEOMETRIC, MATERIAL, AND CONTACT NONLINEARITIES

### LARGE DEFORMATION

For Total Lagrangian:

$$\int_{oV} {}_oC_{ijrs} {}_o e_{rs} \delta_o e_{ij} d^oV + \int_{oV} {}_o^t S_{ij} \delta_o \eta_{ij} d^oV = {}^{t+\Delta t}R - \int_{oV} {}_o^t S_{ij} \delta_o e_{ij} d^oV$$

For Updated Lagrangian:

$$\int_{tV} {}_tC_{ijrs} {}_t e_{rs} \delta_t e_{ij} d^tV + \int_{tV} {}_t^t S_{ij} \delta_t \eta_{ij} d^tV = {}^{t+\Delta t}R - \int_{tV} {}_t^t \tau_{ij} \delta_t e_{ij} d^tV$$

Material Nonlinearity Only (M.N.O):

$$\int_V C_{ijrs} \Delta e_{rs} \delta e_{ij} dV = {}^{t+\Delta t}R - \int_V {}^{t+\Delta t}e_{ij} \delta e_{ij} dV$$

## GEOMETRIC, MATERIAL, AND CONTACT NONLINEARITIES

### LARGE DEFORMATION

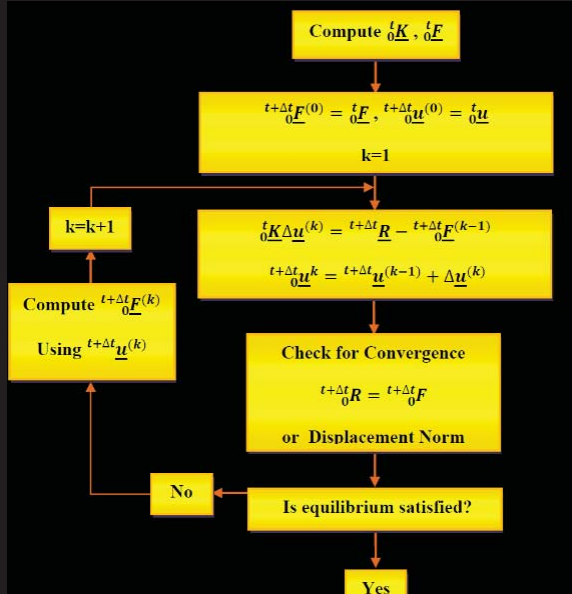
If explicit time integration is considered:

For TL:  ${}^tR = \int_{oV} {}_o^t S_{ij} \delta_o^t \varepsilon_{ij} d^oV$

For UL:  ${}^tR = \int_{tV} {}_t^t \tau_{ij} \delta {}_t \varepsilon_{ij} d^tV$

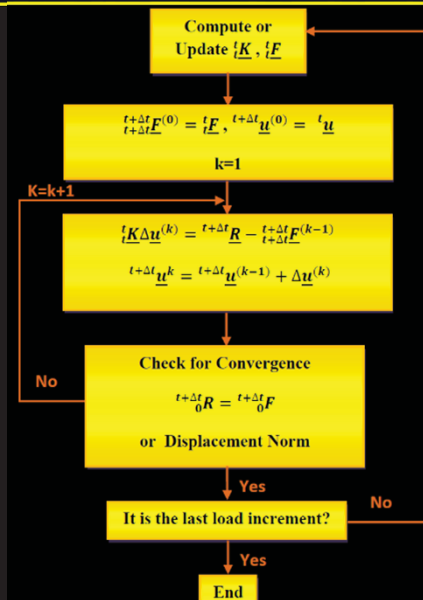
For M.N.O:  ${}^tR = \int_V {}_t \sigma_{ij} \delta e_{ij} dV$

## TOTAL LAGRANGIAN ALGORITHM



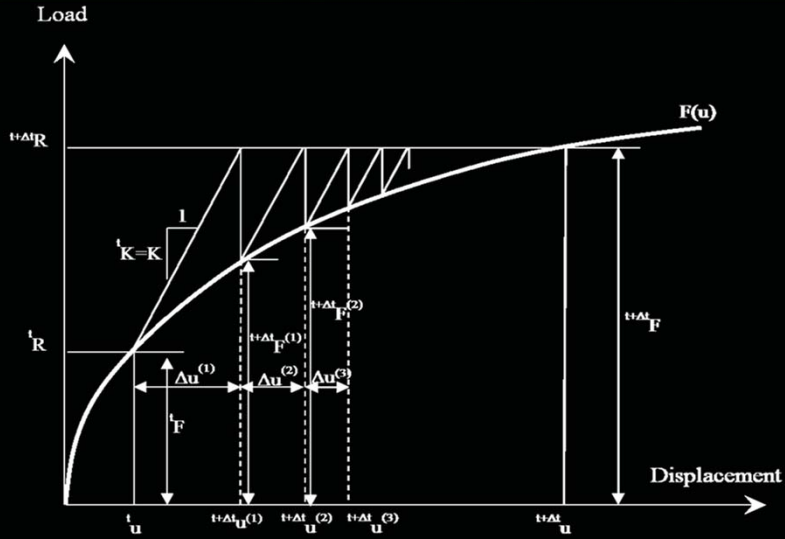
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## UPDATED LAGRANGIAN ALGORITHM



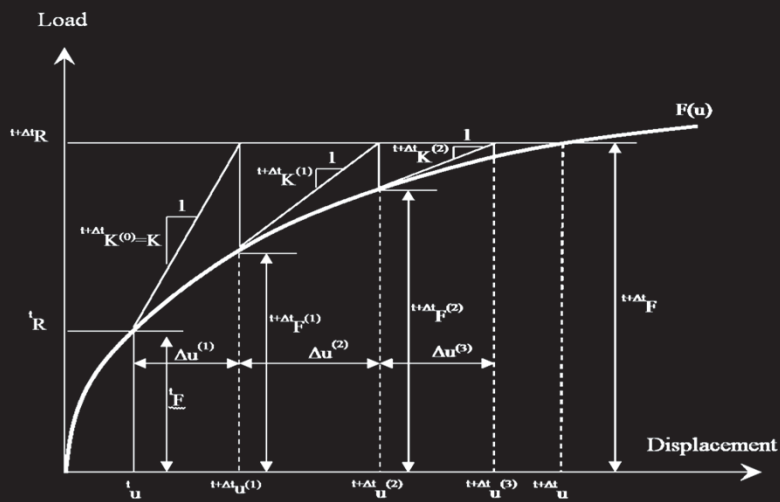
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## MODIFIED NEWTON-RAPHSON SCHEME



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## NEWTON-RAPHSON SCHEME



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## CONTACT NONLINEARITY

### CONTACT DISCRETIZATION

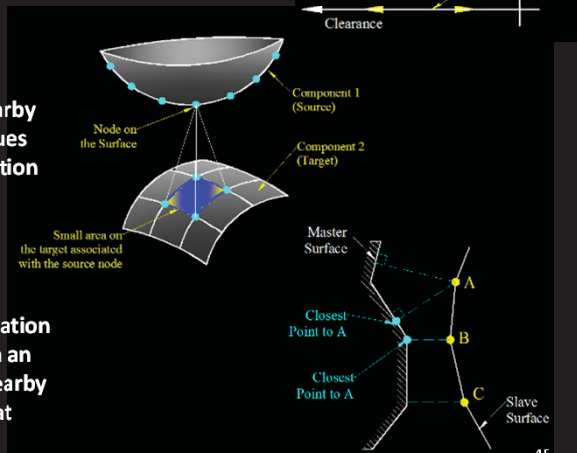
- **Hard contact pressure-over closure relationship**

- **Node-to-surface contact**

Each contact involves a single slave node and a group of nearby master nodes from which values are interpolated to the projection point.

- **Surface-to-surface contact**

The surface-to-surface formulation enforces contact conditions in an average sense over regions nearby slave nodes rather than only at individual slave nodes



## MATERIAL NONLINEARITY FOR STEEL

### YIELD CRITERIA:

$${}^tF({}^t\sigma_{ij} - {}^tK) = 0 \Rightarrow$$

${}^t\sigma_{ij}$  = CURRENT STRESS STATE

THUS,  ${}^tF=0$  THROUGH PLASTIC DEFORMATION

${}^tK$  = FUNCTION OF PLASTIC STRAIN

### FLOW RULE

$$de^P = {}^t\lambda \frac{\partial G}{\partial \sigma_{ij}}$$

G: POTENTIAL FUNCTION

$$\text{If } G(\sigma_{ij}) = {}^tF$$

$$de^P = {}^t\lambda \frac{\partial {}^tF}{\partial \sigma_{ij}}$$

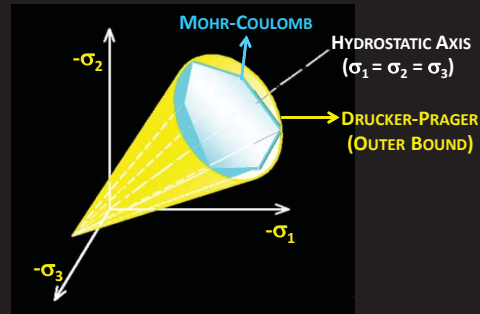
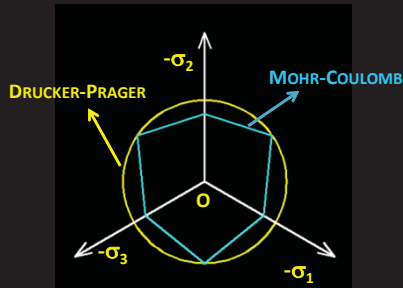
### HARDENING RULE

- ISOTROPIC HARDENING
- KINEMATIC HARDENING
- COMBINED HARDENING



## SOIL PLASTICITY CONSTITUTIVE MODELS

- DRUCKER-PRAGER
- MOHR COULOMB



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## LITERATURE REVIEW FOR EFFECTS OF COMPACTION

- Katona, M.G., Meinhert, D.F., Orillac, R., Lee, C.H., 1979, "Structural Evaluation of New Concepts for Long-Span Culverts and Culvert Installations," Federal Highway Administration Report No. FHWA-RD-79-115.
- Clayton, C. R. I., Symons, I. F., 1992, "The Pressure of Compacted fill on Retaining walls," Geotechnique 42, No. 1, pp. 127-130.
- Seed, R. B., Duncan, J. M., 1984, "SSCOMP: A Finite Element Analysis Program for Evaluation of Soil-Structure Interaction and Compaction Effects," Geotechnical Engineering Research Report No. UCB/GT/84-02, University of California, Berkeley.
- Seed, R. B., Duncan, J. M., 1983, "Soil-Structure Interaction Effects of Compaction-Induced Stresses and Deflections," Geotechnical Engineering Research Report No. UCB/GT/83-06, University of California, Berkeley.
- Duncan, James M., Seed, Raymond B., 1986, "Compaction-Induced Earth Pressures under  $K_0$ -Conditions," Journal of Geotechnical Engineering, Vol. 112, No. 1, pp. 1-22.
- Seed, Raymond B., Duncan, James M., 1986, "FE Analysis: Compaction-Induced Stresses and Deformations," Journal of Geotechnical Engineering, Vol. 112, No. 1, pp. 23-43.

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## INDUCED HORIZONTAL LOAD ON THE PIPES DUE TO COMPACTION

- ❑ ALTHOUGH SOPHISTICATED, WELL-PROVEN THEORIES DO NOT YET EXIST FOR THE ACCURATE PREDICTION OF THE PRESSURE DISTRIBUTION FROM COMPACTED SOIL
- ❑ LATERAL STRESS IS PROPORTIONAL TO THE COMPACTED UNDRAINED SHEAR STRENGTH OF THE CLAY AND IS A FUNCTION OF ITS PLASTIC INDEX
- ❑ FOR HIGHER AND LOWER SOIL PLASTIC INDICES ,  $0.8C_u$  AND  $0.2 C_u$  IS RECOMMENDED FOR SIMULATION OF LATERAL PRESSURE, RESPECTIVELY.
- ❑ LATERAL PRESSURE DUE TO COMPACTION IS HIGHER THAN EARTH PRESSURE AT-REST (OR ACTIVE) AND IT'S UNIFORM
- ❑ COMPACTION AND AT-REST LATERAL SOIL PRESSURE IS APPLIED IN TERM OF UNIFORM TEMPERATURE DISTRIBUTION

## INDUCED HORIZONTAL LOAD ON THE PIPES DUE TO COMPACTION

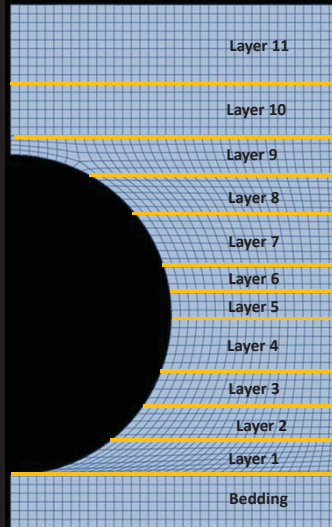
- ❑ LATERAL SOIL PRESSURE DUE TO COMPACTION IS VERIFIED USING SOIL BOX TEST RESULTS
- ❑ AN EQUATION IS DEVELOPED FOR LATERAL SOIL PRESSURE DUE TO COMPACTION USING MECHANICS OF MATERIAL FORMULATION FOR SERIES SPRINGS AND THE RESULTS FROM SOIL BOX TEST



$$\alpha \Delta T = \sigma_S \left( -\frac{A}{l K_{pipe}} - \frac{A}{l K_{Wall}} - \frac{1}{E_{Soil}} \right)$$

# ALGORITHM FOR DISTORTION

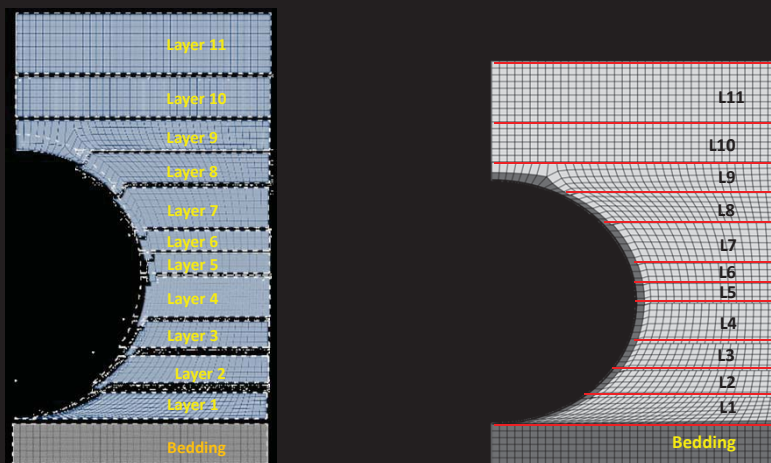
## INITIAL GEOMETRY- TEST 1



51

# ALGORITHM FOR PIPE GEOMETRY CORRECTION

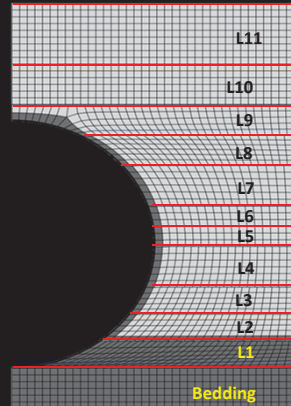
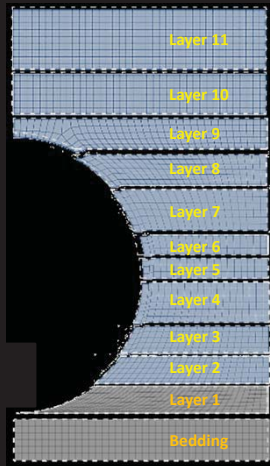
## STEP PIPE- TEST 1



52

# ALGORITHM FOR PIPE GEOMETRY CORRECTION

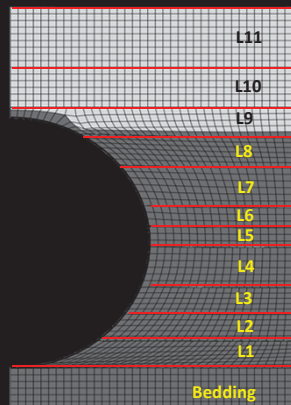
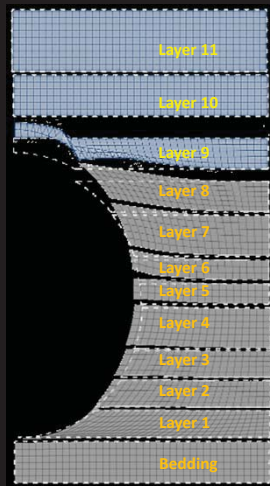
## STEP LAYER 1- TEST 1



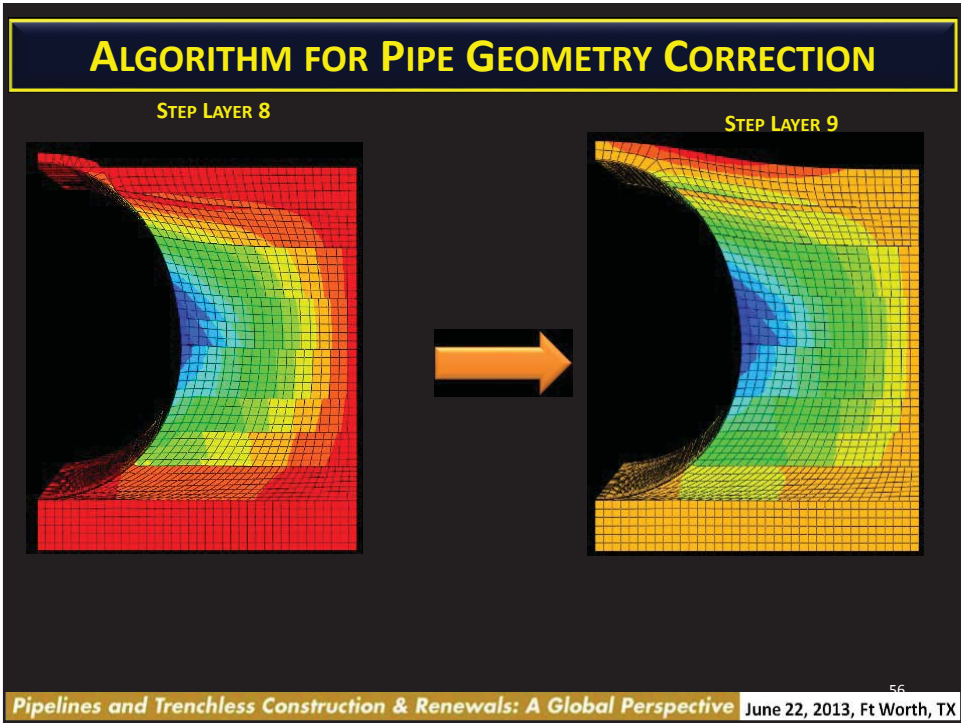
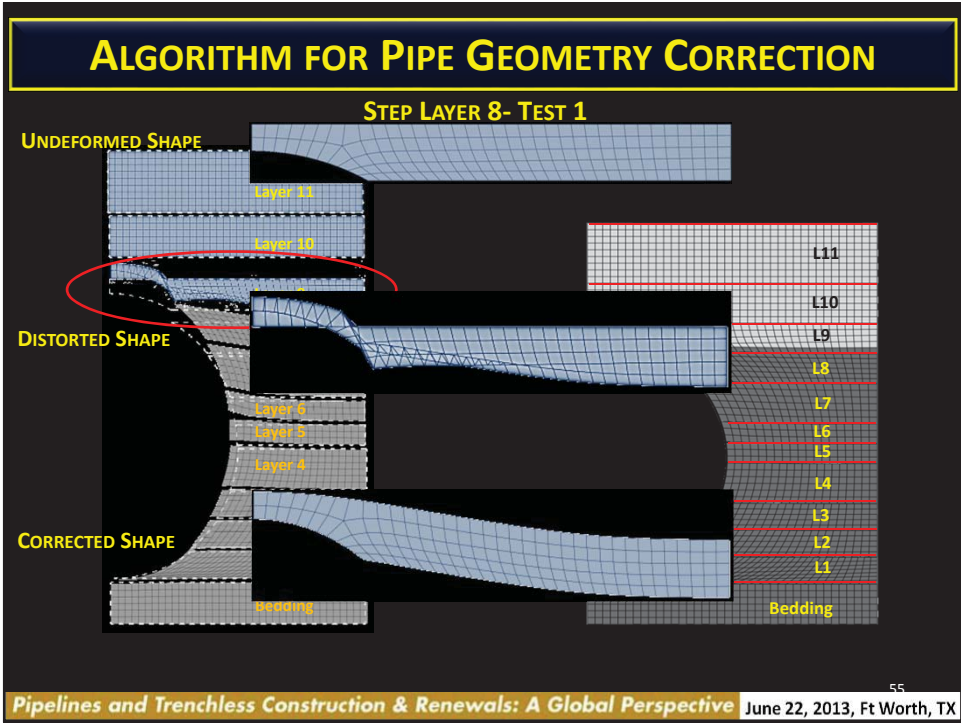
53

# ALGORITHM FOR PIPE GEOMETRY CORRECTION

## STEP LAYER 8- TEST 1



54



# SOIL BOX TEST (RIGID TRENCH WALL)

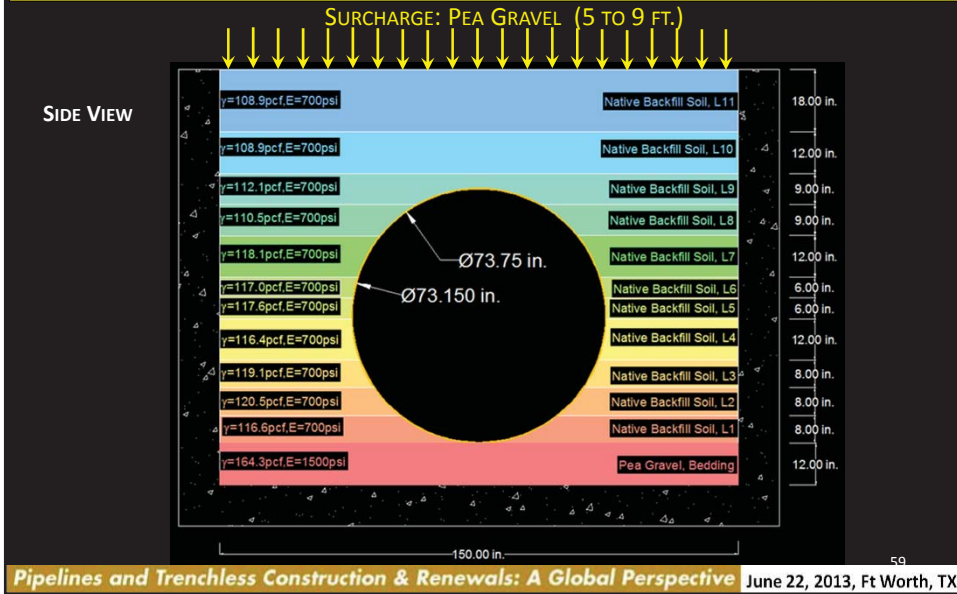
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## SOIL BOX TEST-TEST 1- ORDINARY BACKFILL



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## SOIL BOX TEST-TEST 1- ORDINARY BACKFILL



## SOIL BOX TESTS SPECIFICATIONS

### TEST 1

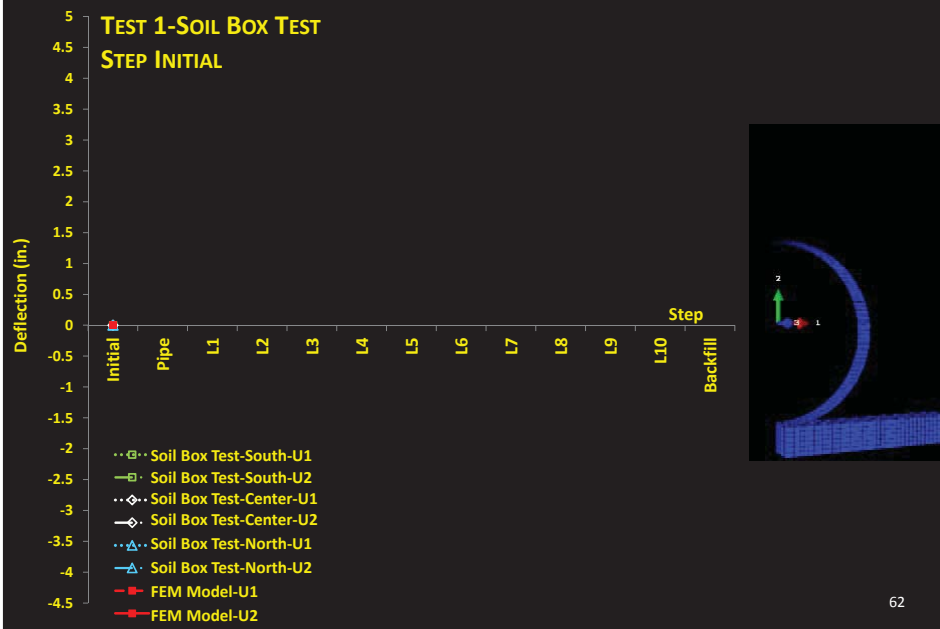
- BEDDING: PEA GRAVEL
- LAYERS 1 TO 14: ORDINARY BACKFILL SOIL
- SURCHARGE: PEA GRAVEL

SUMMARY OF TEST RESULTS for B6 soil				
Sample location ID		B6	B6	
% Lime added		Control (0% lime)	6% lime treated	
ENGINEERING TESTS	Standard Proctor	MDD*, pcf	108.1	98.6
		OMC**, (%)	16.2	19.0
	UU Triaxial	Undrained Cohesion, C <sub>u</sub> , kPa	98.0 (14.5)	160 (23.2)
		Angle of internal friction, φ	8.1°	25.8°
UCS	Unconfined compression strength, kPa	156.6 (22.7)	425.0 (61.7)	
ELASTIC MODULUS, kPa	Confining pressure = 50 kPa		3900 (565.6)	24492.3 (3552.4)
	Confining pressure = 100 kPa		4350 (630.9)	53963.9 (7826.9)
	Confining pressure = 150 kPa		4530 (657.03)	53101.3 (7701.8)
Notes:		B6		
All values in parenthesis are in psi units		3% cement+10% fly ash treated		
* MDD is Maximum dry density		105.6		
** OMC is Optimum moisture content		17.0		
		220 (31.9)		
		36.9°		
		725.0 (105)		
		150,000.4 (21755.6)		
		175,000.0 (25381.6)		
		229,166.7 (33237.8)		

\* SOIL SPECIFICATIONS FROM GEOTECHNICAL REPORT

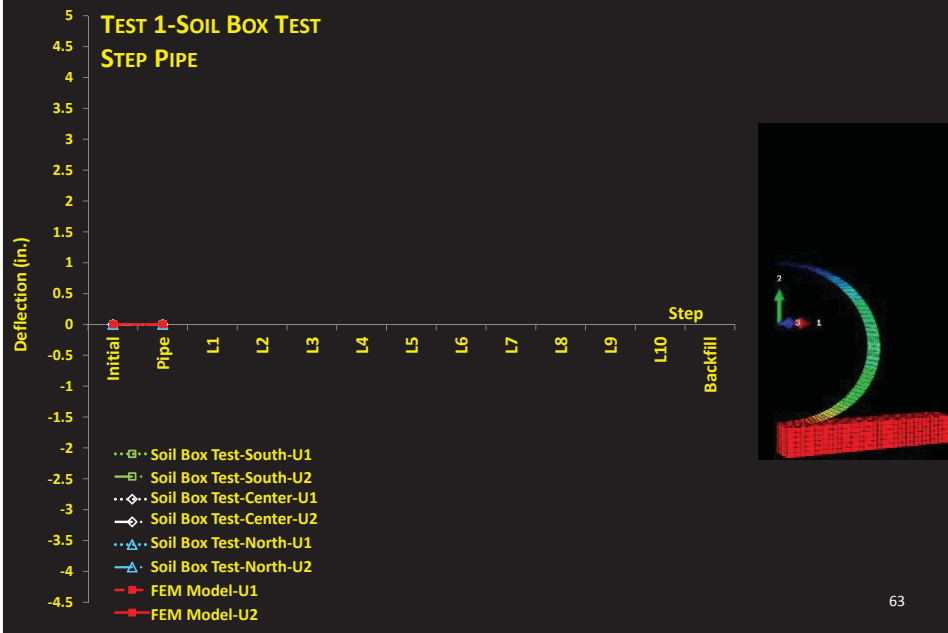
# FEM RESULTS OF SOIL BOX TEST

## STEP-BY-STEP STAGED CONSTRUCTION-STEP INITIAL (CALIBRATED MODEL)-DEFLECTION

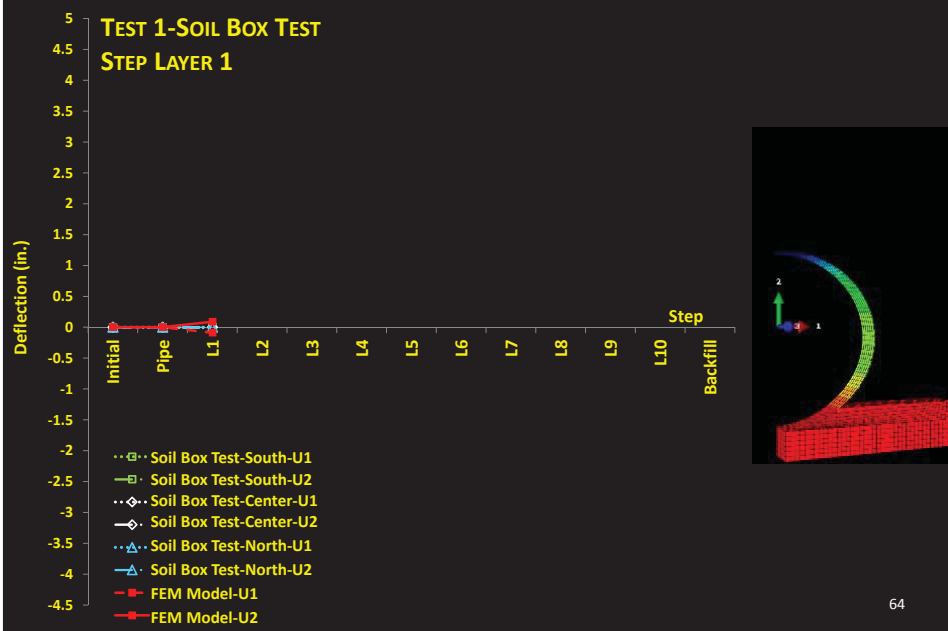




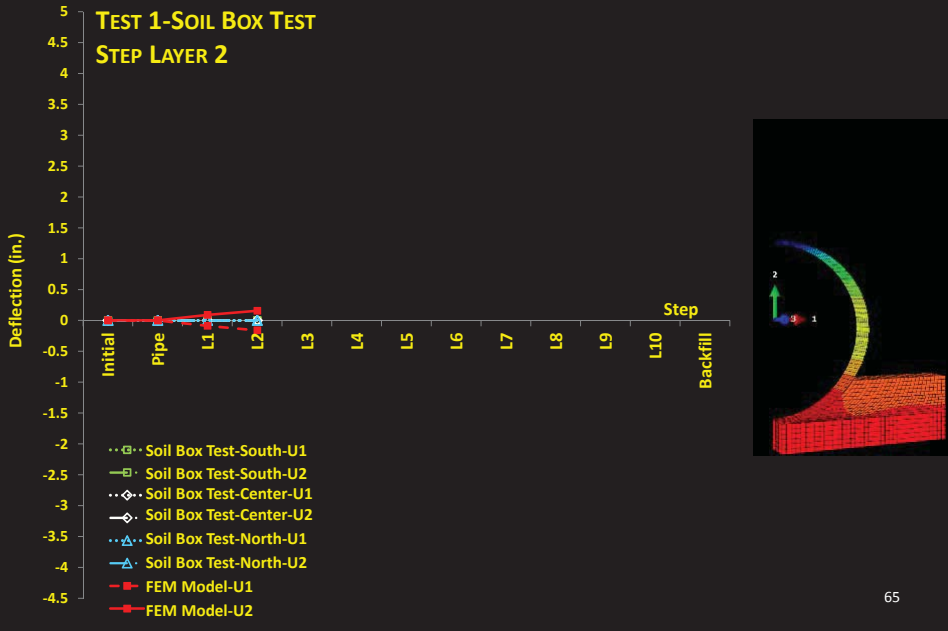
**STEP-BY-STEP STAGED CONSTRUCTION-STEP PIPE (CALIBRATED MODEL)-DEFLECTION**



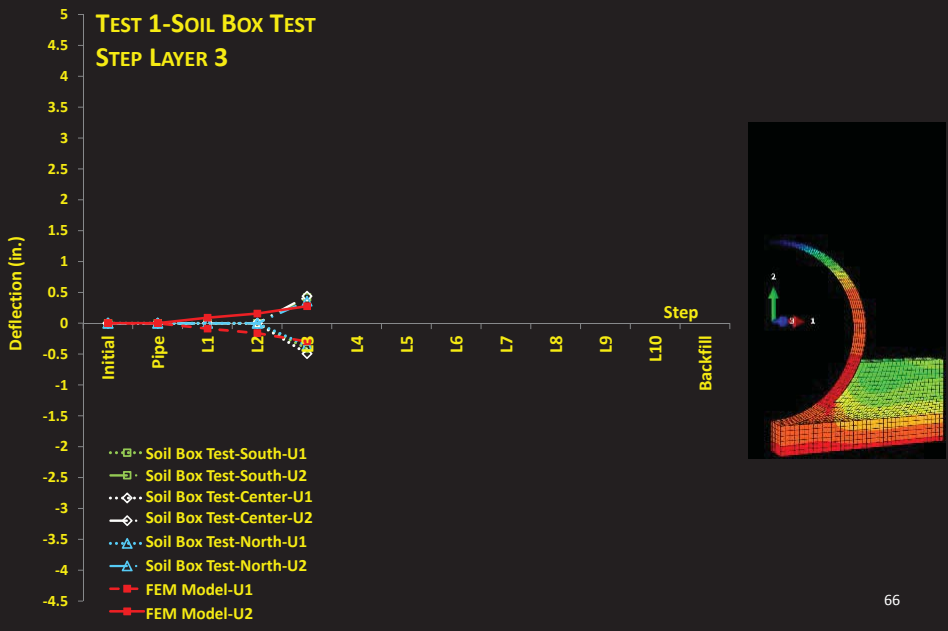
**STEP-BY-STEP STAGED CONSTRUCTION-STEP LAYER 1 (CALIBRATED MODEL)-DEFLECTION**



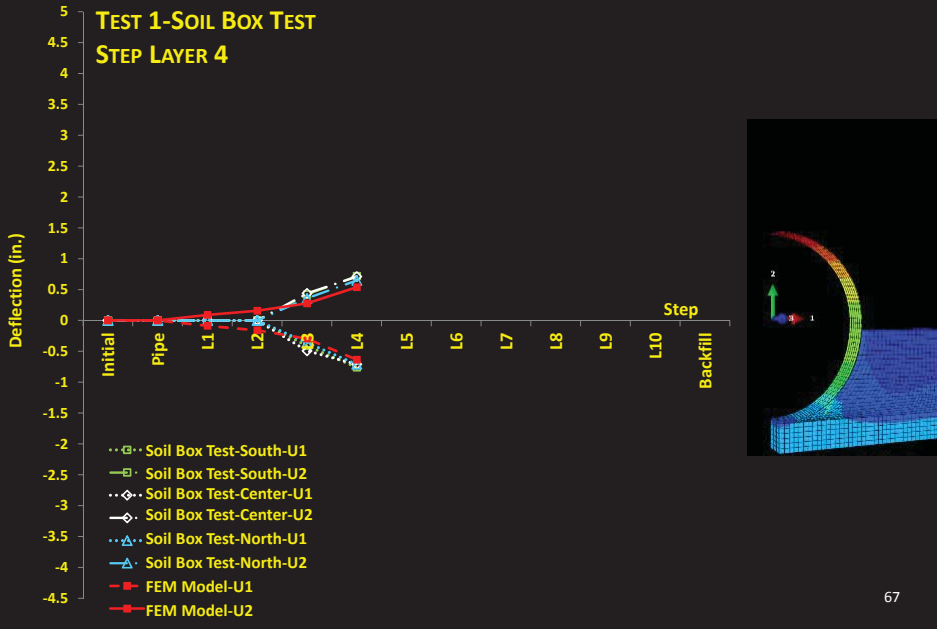
**STEP-BY-STEP STAGED CONSTRUCTION-STEP LAYER 2 (CALIBRATED MODEL)-DEFLECTION**



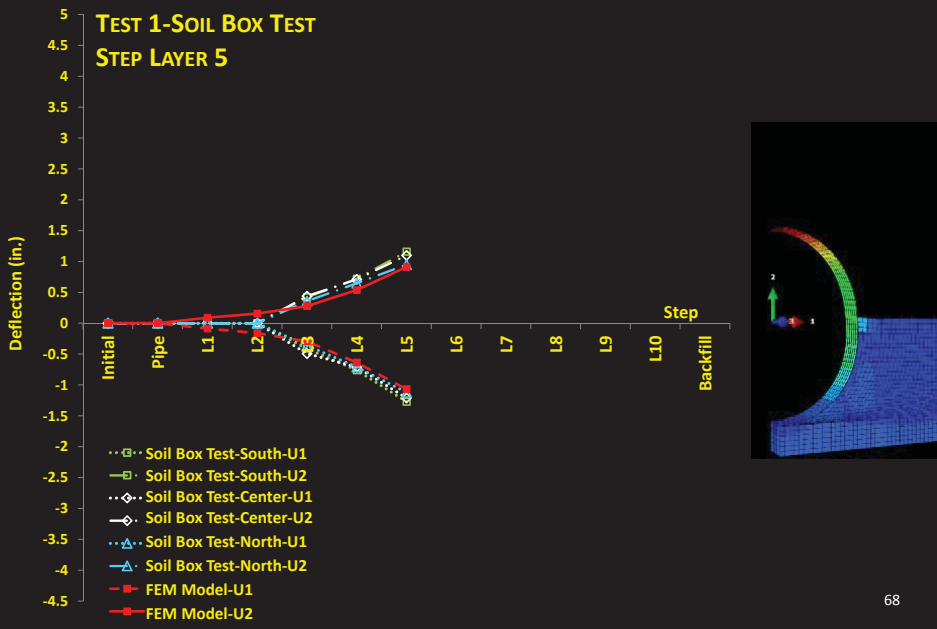
**STEP-BY-STEP STAGED CONSTRUCTION-STEP LAYER 3 (CALIBRATED MODEL)-DEFLECTION**



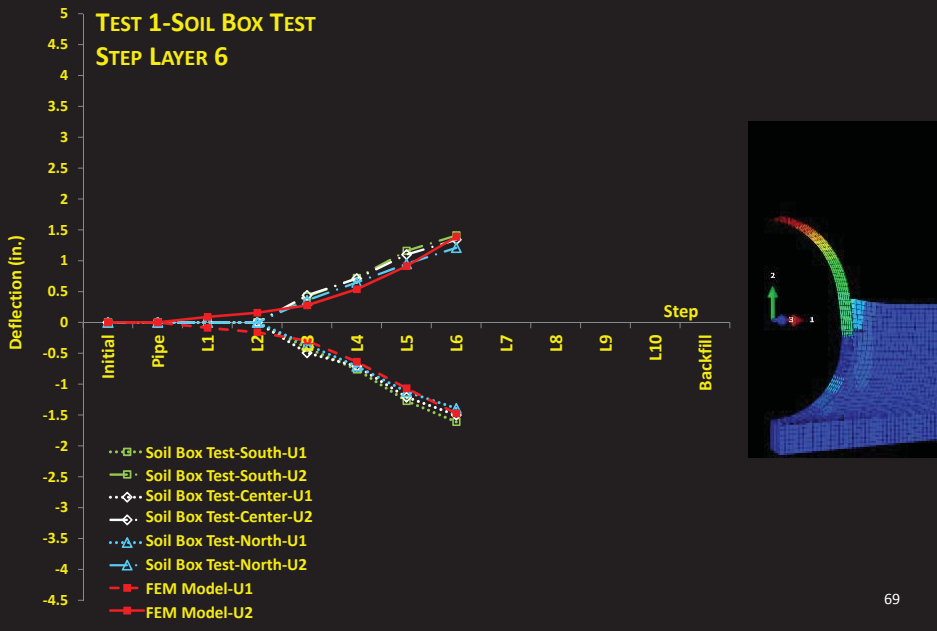
**STEP-BY-STEP STAGED CONSTRUCTION-STEP LAYER 4 (CALIBRATED MODEL)-DEFLECTION**



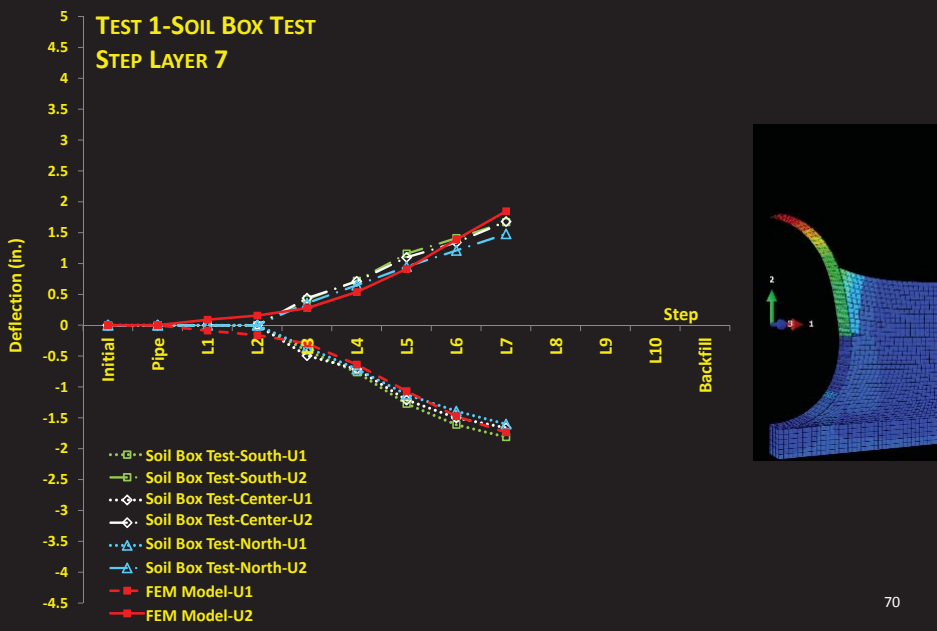
**STEP-BY-STEP STAGED CONSTRUCTION-STEP LAYER 5 (CALIBRATED MODEL)-DEFLECTION**



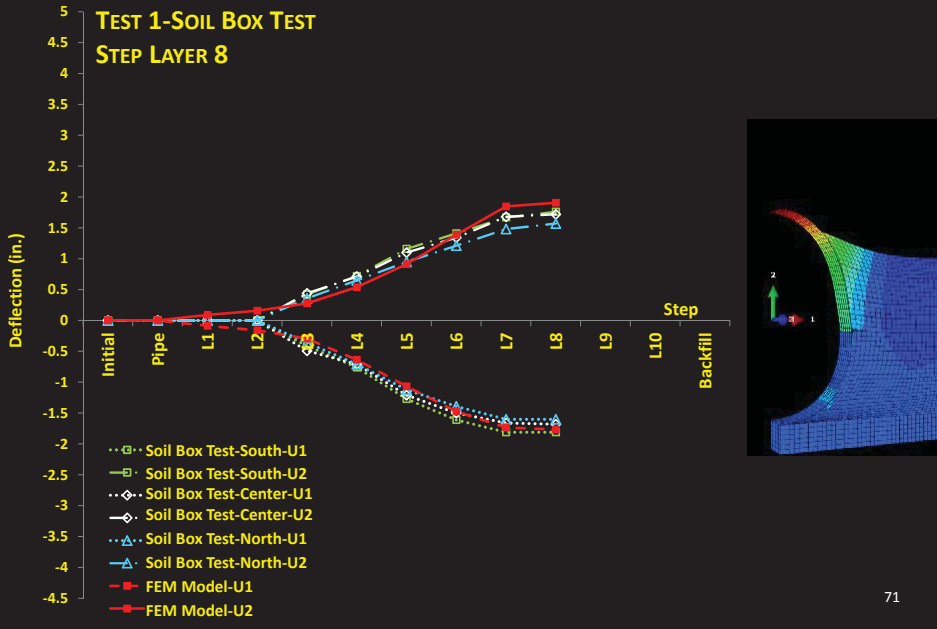
**STEP-BY-STEP STAGED CONSTRUCTION-STEP LAYER 6 (CALIBRATED MODEL)-DEFLECTION**



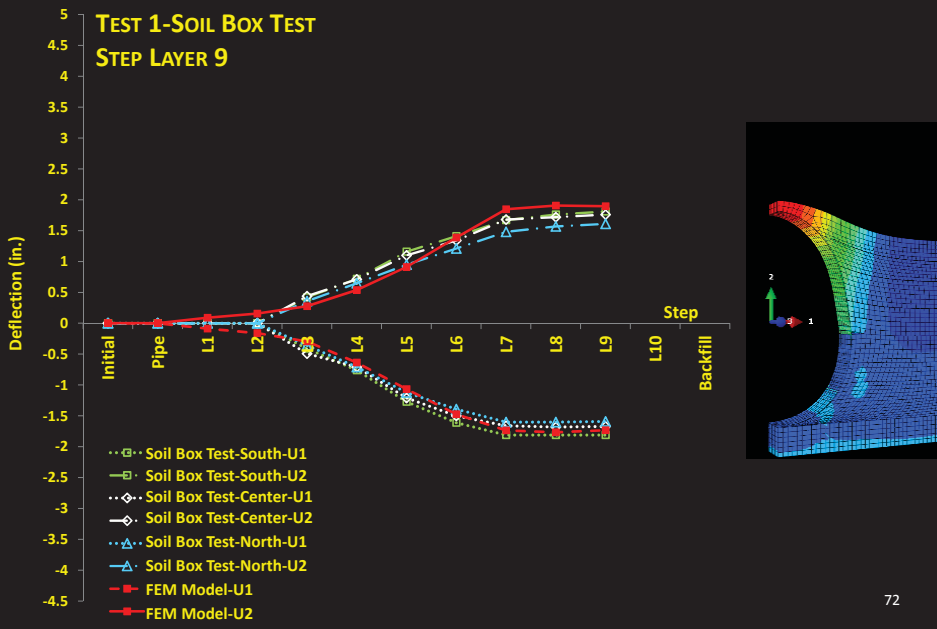
**STEP-BY-STEP STAGED CONSTRUCTION-STEP LAYER 7 (CALIBRATED MODEL)-DEFLECTION**



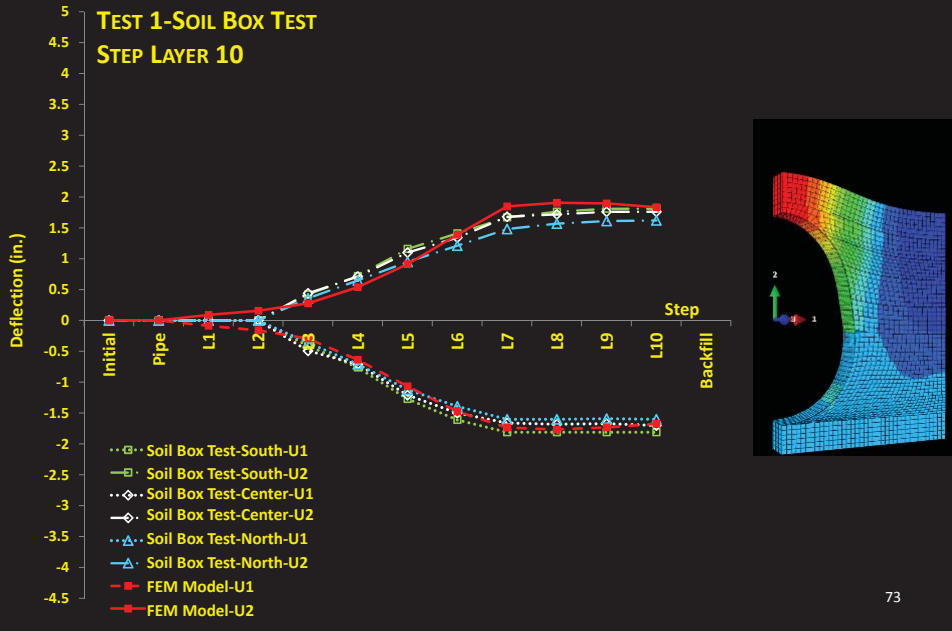
**STEP-BY-STEP STAGED CONSTRUCTION-STEP LAYER 8 (CALIBRATED MODEL)-DEFLECTION**



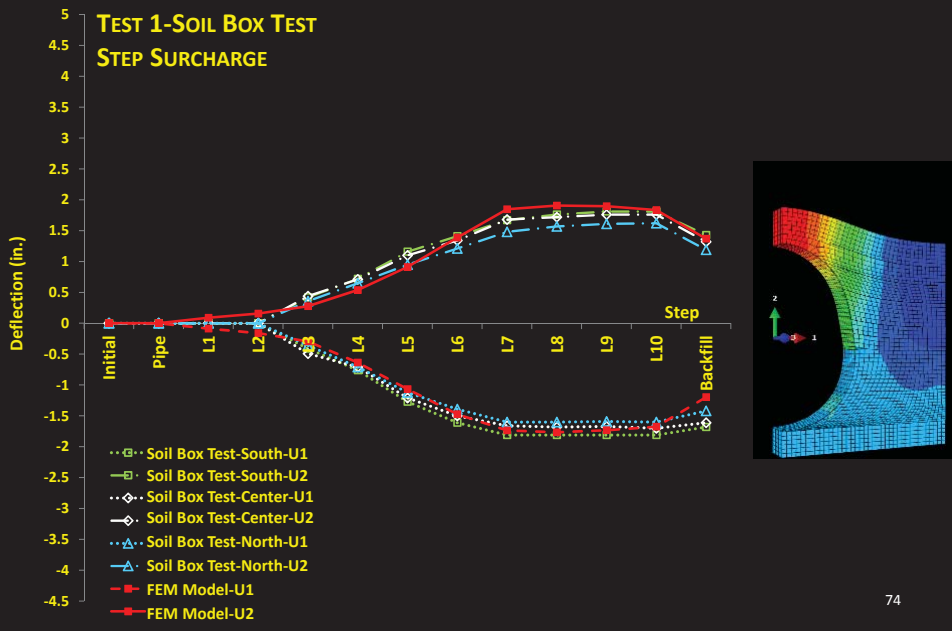
**STEP-BY-STEP STAGED CONSTRUCTION-STEP LAYER 9 (CALIBRATED MODEL)-DEFLECTION**



**STEP-BY-STEP STAGED CONSTRUCTION-STEP LAYER 10 (CALIBRATED MODEL)-DEFLECTION**

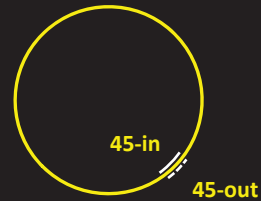
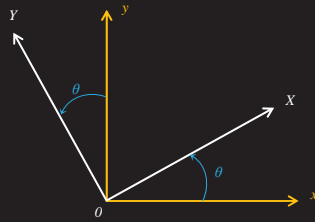


**STEP-BY-STEP STAGED CONSTRUCTION-STEP SURCHARGE (CALIBRATED MODEL)-DEFLECTION**

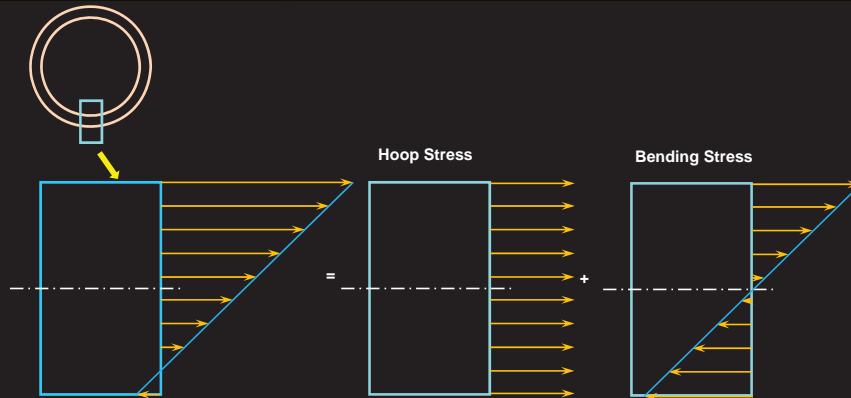


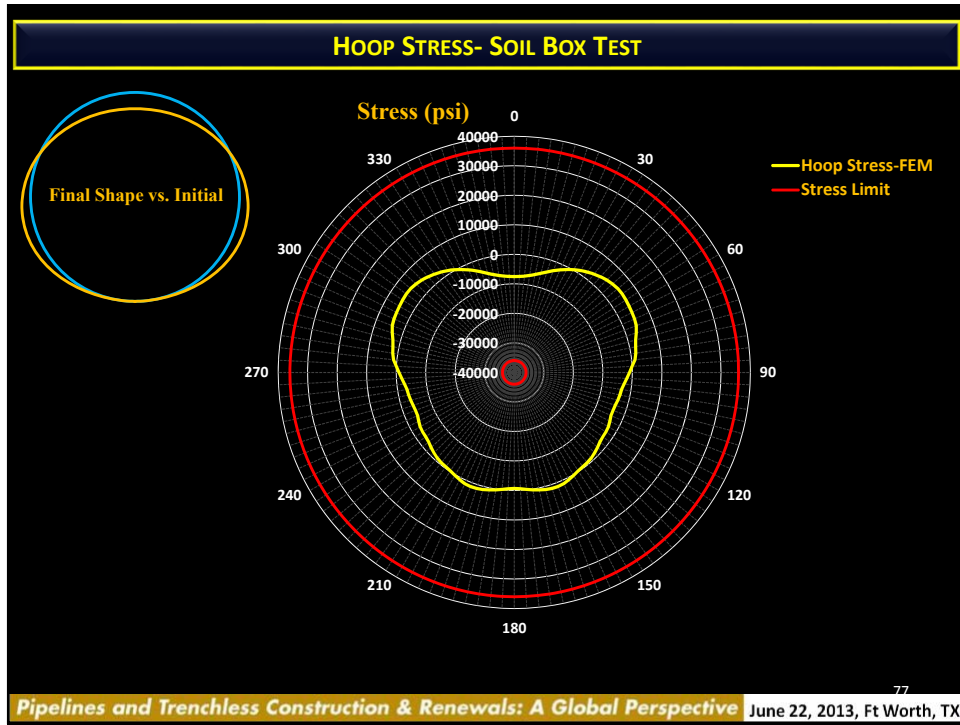
## STRESS TRANSFORMATION FOR 45° STRESSES

$$\begin{bmatrix} \sigma_{XX} \\ \sigma_{YY} \\ \sigma_{XY} \end{bmatrix} = \begin{bmatrix} \cos^2 \theta & \sin^2 \theta & 2 \sin \theta \cos \theta \\ \sin^2 \theta & \cos^2 \theta & -2 \sin \theta \cos \theta \\ -\sin \theta \cos \theta & \sin \theta \cos \theta & (\cos^2 \theta - \sin^2 \theta) \end{bmatrix} \begin{bmatrix} \sigma_{xx} \\ \sigma_{yy} \\ \sigma_{xy} \end{bmatrix}$$



## HOOP STRESS





## FIELD TEST (FLEXIBLE TRENCH WALL)

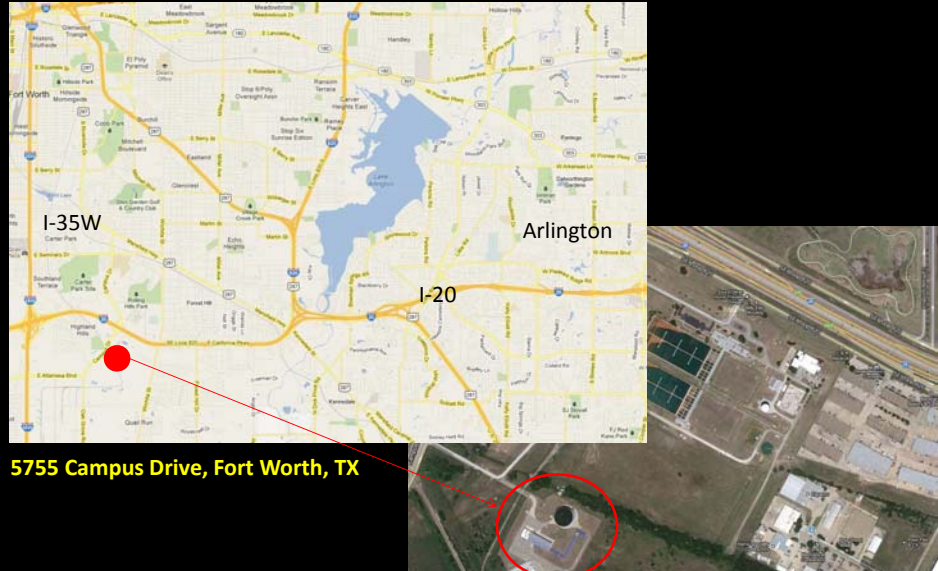
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**PROPOSED SITE FOR TRWD-IPL FIELD TEST**

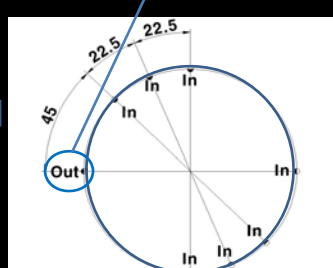
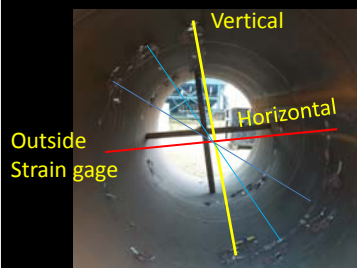
- Rolling Hills Booster Pump Station ( RHBPS )



5755 Campus Drive, Fort Worth, TX

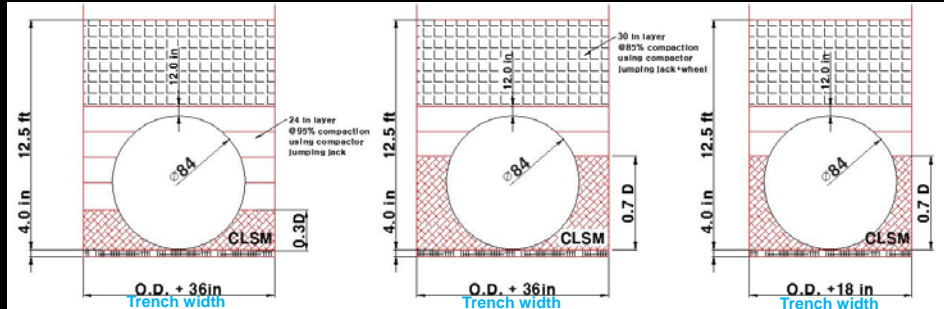
**INSPECTION & INSTRUMENTATION**

- Location of gages : L/3 each (Longitudinal)



## SPECIFICATION OF EXPERIMENTAL SECTIONS

### Three-84 in. Steel Pipes



**CASE-1**

$$\frac{D}{t} = \frac{84in}{0.358in} \approx 235$$

Measured Length : 25 .0 ft  
 Trench : **O.D. + 36in**  
 Measured trench width : 19.5 in/side

**CASE-2**

$$\frac{D}{t} = \frac{84in}{\frac{3}{8}in} \approx 224$$

Measured Length : 25 .0 ft  
 Trench : **O.D. + 36in**  
 Measured trench width : 20 in/side

**CASE-3**

$$\frac{D}{t} = \frac{84in}{\frac{3}{8}in} \approx 224$$

Measured Length : 25 .0 ft  
 Trench : **O.D. + 18in**  
 Measured trench width : 10in/side

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## TEST SETUP : OCT-24-2012 TO OCT-26-2012

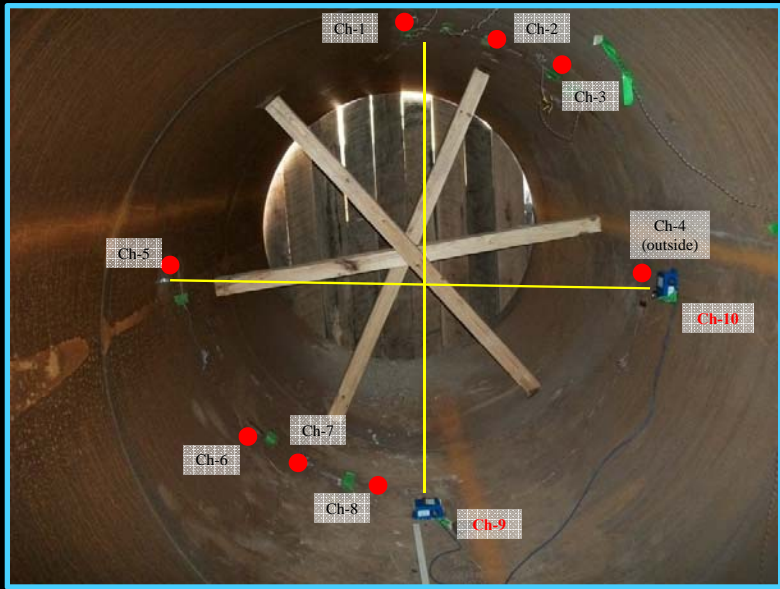


Pipe-1  
(O.D.+36) with 0.3D

### Installed Pipes and the Manholes

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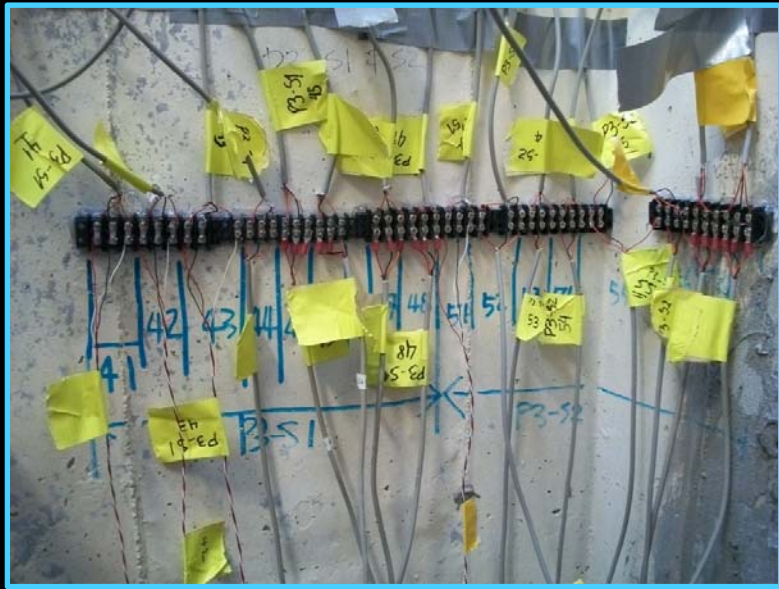
**INSTRUMENTATION : OCT-29-2012 TO NOV-4-2012**



**Gages and Transducers**

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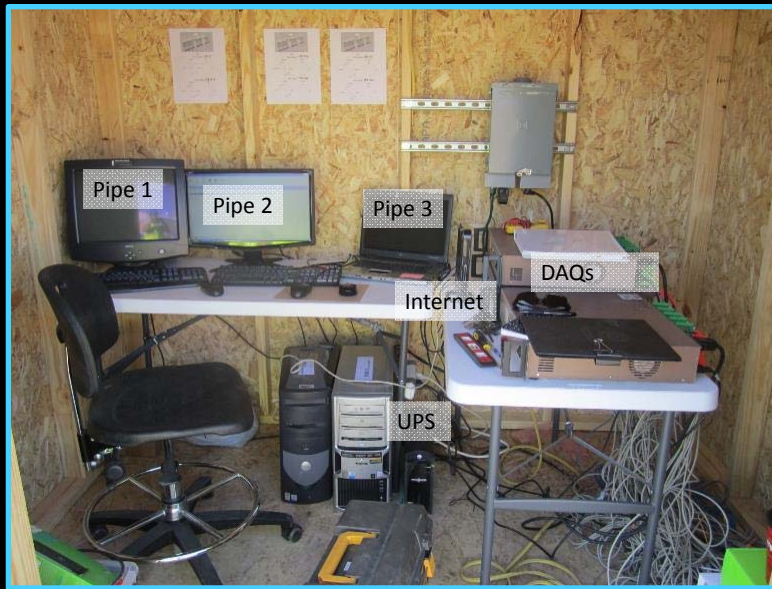
**INSTRUMENTATION : OCT-29-2012 TO NOV-4-2012**



**Terminals for the Connection**

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**INSTRUMENTATION : OCT-29-2012 TO NOV-4-2012**



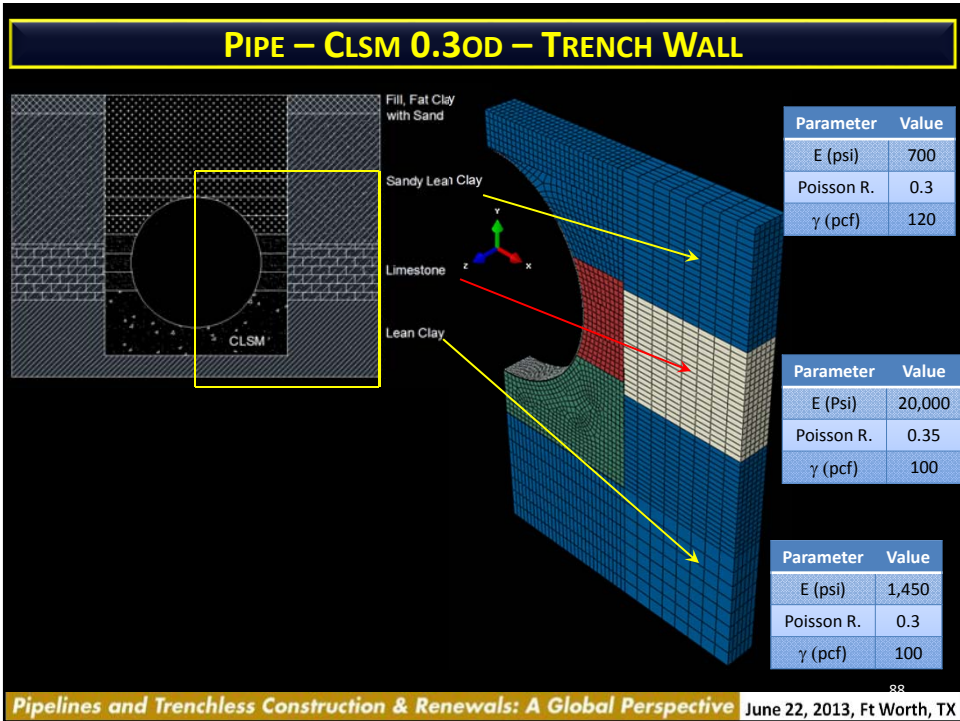
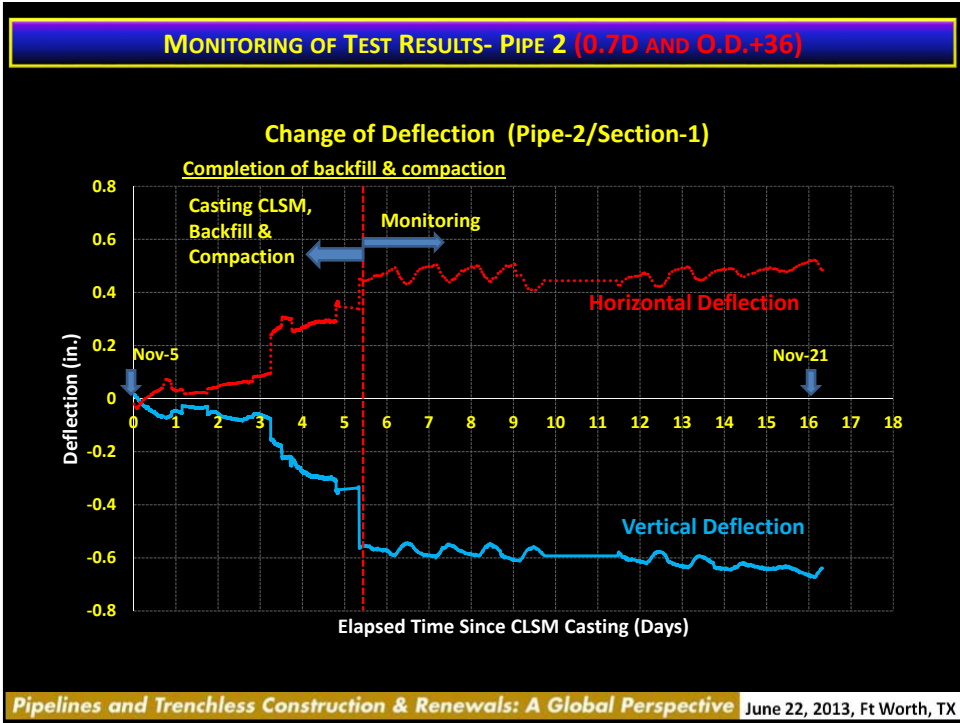
**DAQ Headquarter**

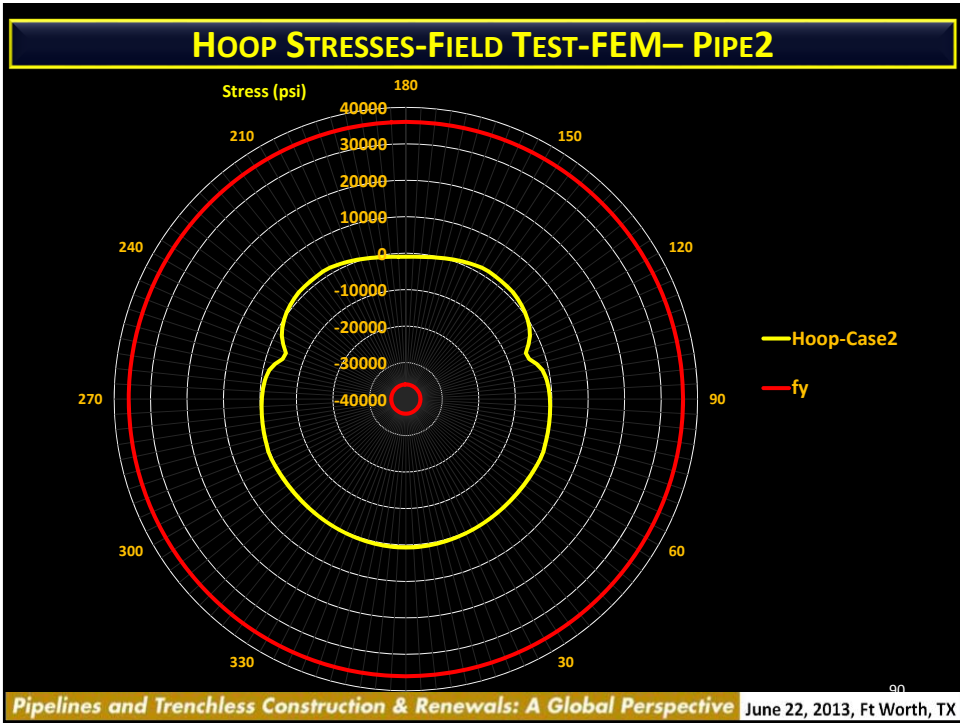
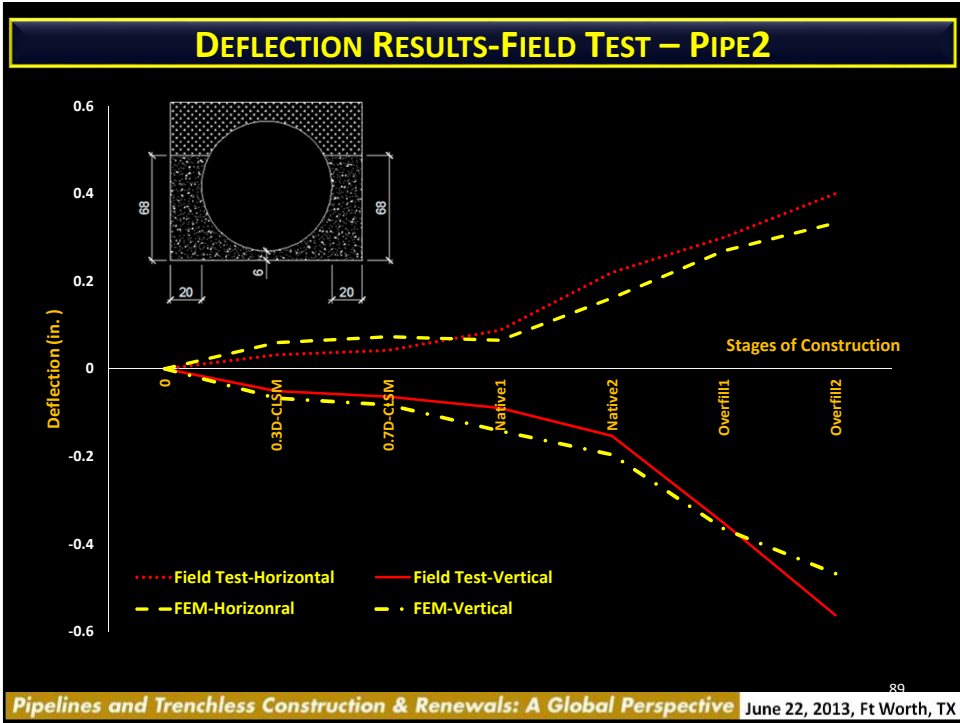
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## **RESULTS OF FIELD TEST (CHANGE OF DISPLACEMENT)**



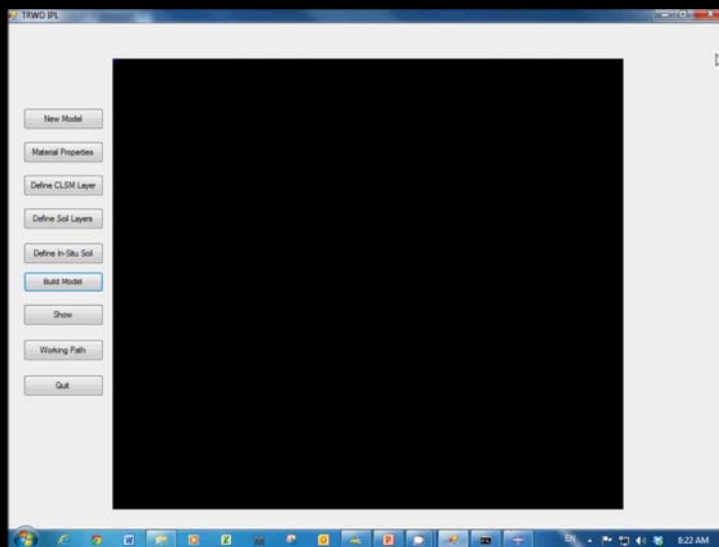
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# INTERFACE PROGRAM (PRE AND POST PROCESSOR)

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## EXAMPLE OF HOW TO CREATE PARAMETRIC STUDY MODELS

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## PRE PROCESSOR AND POST PROCESSOR

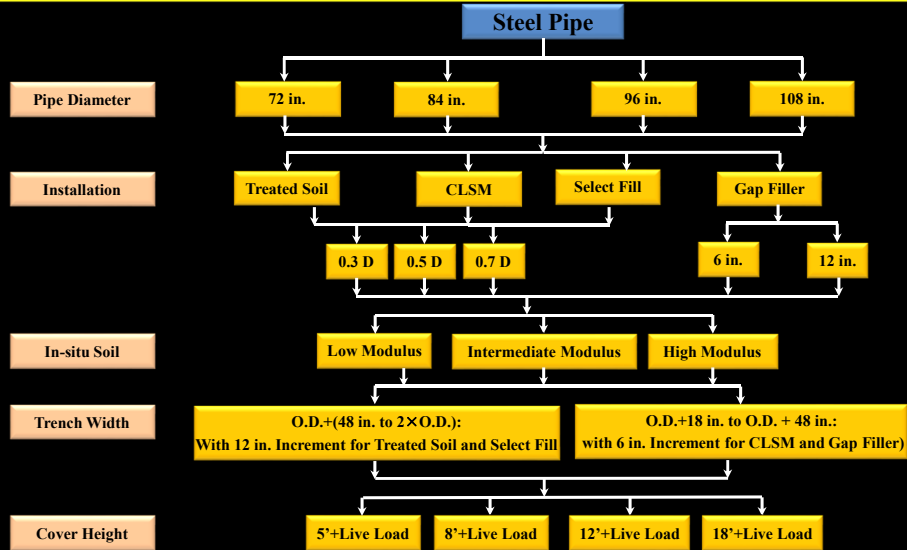
- ❑ A PYTHON CODE HAS BEEN CREATED TO PRODUCE QUEUE OF THE MODELS TO RUN WITHOUT OPENING THE FEM SOFTWARE
- ❑ A CODE HAS BEEN PREPARED IN PYTHON TO EXTRACT THE RESULTS FROM OUTPUT
- ❑ USING THE POST PROCESSOR A UNIQUE GRAPH FOR HOOP STRESSES IS GENERATED

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# PARAMETRIC STUDY

## PROPOSED SENSITIVITY STUDY- IPL DESIGN



## SUMMARY AND CONCLUSION

- ❑ FEM IS DEVELOPED BASED ON SOIL BOX TEST (RIGID TRENCH WALL) RESULTS
- ❑ COMPACTION AND AT-REST LATERAL SOIL PRESSURE IS APPLIED IN TERM OF UNIFORM TEMPERATURE DISTRIBUTION
- ❑ LATERAL PRESSURE DUE TO COMPACTION IS CALCULATED BASED ON SOIL PROPERTIES, SOIL COMPACTION, AND SOIL BOX TEST DEFLECTION RESULT
- ❑ THE FEM MODEL IS USED TO CREATE AN INTERFACE PROGRAM TO ANALYZE DIFFERENT TRENCH CONDITIONS
- ❑ THREE FIELD TESTS (FLEXIBLE TRENCH WALL) ARE CONDUCTED
- ❑ THE FEM MODEL IS USED TO MODEL THE FIELD TESTS
- ❑ THE FEM MODEL IS SUCCESSFULLY PREDICTED THE FIELD TEST RESULTS
- ❑ A PYTHON CODE PREPARED TO DRAW A UNIQUE GRAPH FOR HOOP STRESSES

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ASCE

PIPELINE RESEARCH  
NEEDS SYMPOSIUM



## QUESTIONS

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**ASCE** PIPELINE RESEARCH NEEDS SYMPOSIUM



**WERF**  
Water Environment Research Foundation  
*Collaboration. Innovation. Results.*

**LIFT**  
Leaders Innovation Forum for Technology


**Water Environment Federation**  
*the water quality people®*

## Future Conveyance System and Asset Management Research Needs Through the LIFT Program - Overview

Walter Graf  
Program Director - Infrastructure Management  
Water Environment Research Foundation  
Alexandria, VA


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**ASCE** PIPELINE RESEARCH NEEDS SYMPOSIUM



## What Is LIFT?

A WEF/WERF Initiative  
Accelerating Innovation  
Into Practice



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## Why LIFT for Research Needs?

- The WERF Strategic Asset Management Challenge will end in 2013
- The Aging Water Infrastructure Cooperative Agreement with EPA is in its final year
- Any future pipeline and asset management research will come through the WERF Unsolicited Program and LIFT



## How will LIFT work?

- WERF subscriber and WEF member input and
- Survey results
- Other industry requests



## Technology Survey

### Purpose:

- Identify new technologies currently being evaluated at facilities
- Identify technology topics of interest
- Provide a networking and collaboration tool for facility owners



## Technology Survey

**Who:** LIFT-TEP Working Group (reps from WERF subscriber municipal and industrial facility owners; reps are research or tech lead at those organizations)


For purposes of this survey, a new “technology” can be a process, invention, method, or the like.

- What is being done at facilities
- Peer to peer networking
- First step of 6 step LIFT technology process is to identify technology needs, then screen technologies to match needs, then select technologies to evaluate

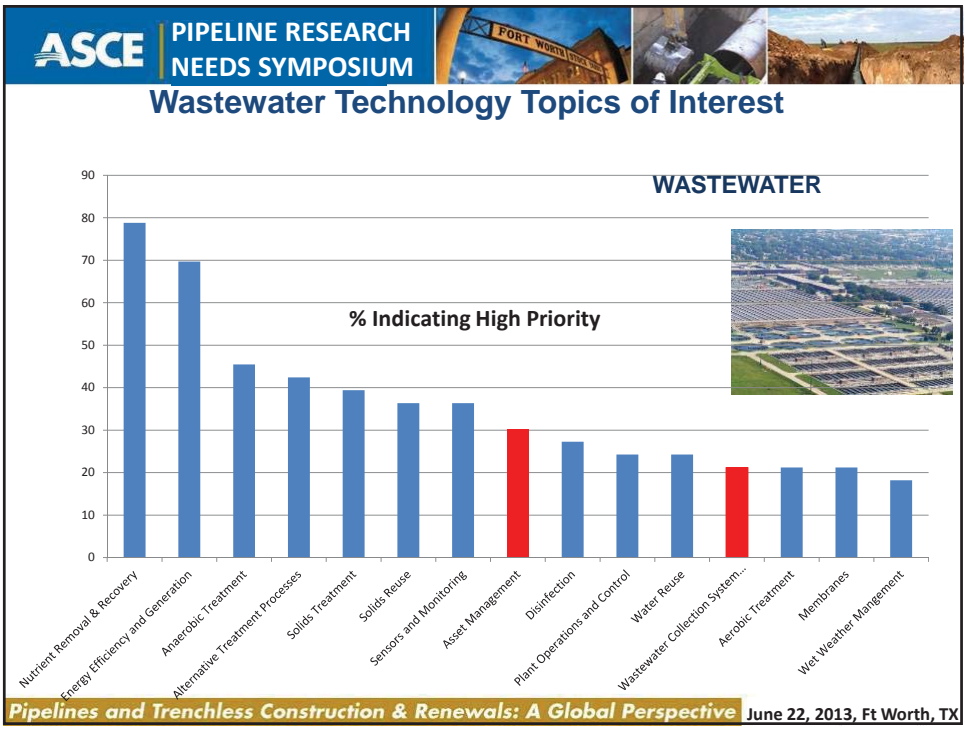


**ASCE PIPELINE RESEARCH NEEDS SYMPOSIUM**

## Survey Results



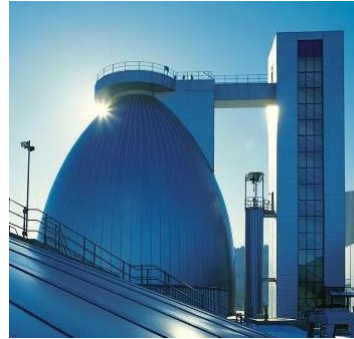
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## Technology Evaluation Program (TEP)

- 1 Identify
- 2 Screen
- 3 Evaluate
- 4 Share Risk & Cost
- 5 Integrate Technology



## Where's the Next Big Idea?





## Technology Evaluation Program (TEP) Screening

### STAFF SCREENING CRITERIA

- Financial
- Maturity Level
  - US
  - International
- Applications
  - US
  - International
  - Innovative adaptations of existing technology
  - Cross sector applications
    - Adaptations from other industries
- Intellectual Property Status
  - Legal issues and rights
- Data availability
  - How much data is available? Quality?
  - Regulatory Issues, Compliance
  - Barriers
- Level of interest

### EXPERT SCREENING CRITERIA

- Technical Viability
  - Does it work?
  - Retrofit capability
- Game Changer
  - How disruptive
  - Potential for positive, significant change
- Number of Technology Providers
  - Uniqueness
  - How many vendors?
- Risk
  - Probability of success
    - High/low
  - Potential impact on industry
    - Big/small
    - Benefits from success



## People and Policy

- Address Local, State, and Federal Barriers to Innovation
- Benchmark Facility Owner R&D Programs







## Communication

- Training
- Education
- Outreach



## Informal Forum for R&D Managers

- For individuals responsible for technology identification and deployment
- Share experiences, activities, and interests





## Technology Evaluation Program Benefits

- Credible, well-documented vetting system to screen new technologies and processes
- Ability to more rapidly deploy new technologies and remove existing impediments
- Mitigation of risk and cost of innovative technology deployment through partnerships
- Facilitation of collaboration among facilities for the evaluation and testing of new technologies
- Peer-reviewed information about emerging technologies



## LIFT-TEP Participants



### Working Group:

- Initially about 25 facility owner members, currently about 100+ members

### VEP (Volunteer Experts Pool):

- Established for non-facility owners including consultants, academics, equipment manufacturers, etc.

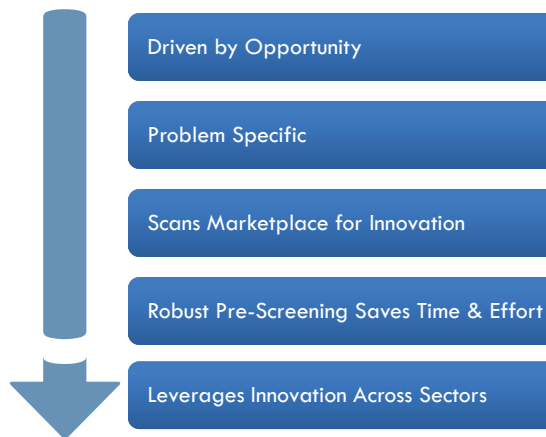


## Current LIFT-TEP Technology Focus Areas

- 1 Shortcut Nitrogen Removal
- 2 P-Recovery
- 3 Digestion Enhancements
- 4 Biosolids to Energy
- 5 Energy from Wastewater



## Top-Down Approach





## Summary

Using the survey data, the LIFT Working Group selected five Tier 1 technology focus areas. Tier 2 areas are good candidates for future consideration: Collection Systems and Asset Management may be the next selected. Estimated to start by the end of 2013.

### Tier 1:

Shortcut N Removal, P-recovery, Digestion Enhancements, Biosolids to Energy, Energy from Wastewater



### Tier 2:

Disinfection, **Collection Systems**, Odor Control, Wet Weather, Sensors, **Asset Management**, Solids Treatment



## Questions?





## LIFT Contacts at WEF and WERF

### WERF

Jeff Moeller – [jmoeller@werf.org](mailto:jmoeller@werf.org) 571-384-2104

Ravi George – [rgeorge@werf.org](mailto:rgeorge@werf.org) 571-384-2105

- Technology Evaluation Program (TEP)
- Volunteer Expert Pool (VEP)
- LIFT-TEP Working Group
- Technology Focus Groups

### WEF

Matt Ries – [mries@wef.org](mailto:mries@wef.org) 703-684-2406

- People and Policy
- Communication and Education



## LIFT Contacts at WEF and WERF

Walter Graf

Program Director Infrastructure  
Management

[wgraf@werf.org](mailto:wgraf@werf.org) 571-384-2102



# Water Conveyance Infrastructure Research Needs: An EPA/ORD Perspective

Michael D. Royer, Physical Scientist,  
Urban Watershed Management Branch,  
Water Supply & Water Resources Division  
National Risk Management Research Laboratory  
U.S. Environmental Protection Agency  
Edison, NJ 08837

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## Overview

- **Introduction**
  - Water conveyance infrastructure
  - Sources of pipe/pipeline research needs
- **Water conveyance infrastructure research needs**
  - Safe & Sustainable Water Resources (SSWR)
  - Rehabilitation
  - Condition assessment
  - Drinking water quality/DSRICP
- **Summary**

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## Water Conveyance Infrastructure

- **Wastewater Collection Systems**
  - Combined Sewers
  - Sanitary Sewers
  - Storm Sewers
  - Gravity & Force Mains
  - Laterals-Mains-Interceptors-Trunk Mains-Storage
  - In-building plumbing#
- **Drinking Water Conveyance Systems**
  - Raw & Treated Water Transmission
  - Distribution
  - Storage
  - Service lines
  - In-building plumbing#
- **Water Reuse**
- **Manholes, Hydrants, Pumps, Valves, etc.**
  - # Not addressed

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## Sources of Pipe/Pipeline Research Needs

- Sustainable Water Infrastructure Program
- Safe & Sustainable Water Resources Research Program (\$; 2012 - )
- Aging Water Infrastructure Research Program (\$; 2007-2011)
- Distribution Systems Research & Information Collection Partnership (DSRICP)
- Some related topics not addressed



## Water Conveyance Infrastructure Research Needs: from SSWR Research Plan

- **Rehabilitation**
  - National database structure for retrospective life-cycle performance assessment of water & wastewater rehabilitation technologies
  - Decision support tools for utilities for selection of rehabilitation technologies and methods
- **Leak detection**
  - New pipe leak detection platform based on networking of economic acoustic & pressure sensors coupled with robust signal processing & data mining technologies
- **Green infrastructure**
  - Pilot and full-scale demonstrations
  - Green vs. gray infrastructure assessments
- **Investigation of contaminant intrusion through pipe cracks**
- **Investigation of sediment re-mobilization in storage tanks**
- **Small Systems Research Center (RFA: Open 5/13 – Close 8/13)**

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## Water Conveyance Infrastructure Research Needs: **Rehabilitation** for Both Wastewater Collection & Water Distribution (1 of 2)

- **Rehabilitation selection guidance (SSWR)**
- **Systematic approach to rehabilitation design & QA/QC**
- **Integration of condition assessment & rehab design**
- **Integration of sustainable & green technology concepts**
  - Especially for I/I control issues; Consider carbon footprint
- **Better inspection/assessment/repair methods for rehabilitated pipe**
- **Faster field installation rates**
- **More cost-effective cleaning of tuberculated pipe**

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## Water Conveyance Infrastructure Research Needs:

### Rehabilitation

#### for Both Wastewater Collection & Water Distribution (2 of 2)

- **Rehabilitation Demonstrations, recent examples:**
  - Spray-on & CIPP for water mains
  - Flood grouting for laterals
  - Asbestos cement rehabilitation – ongoing (EPA-WERF-WaterRF)
  - No-dig manhole rehabilitation – ongoing (EPA-WERF-WaterRF)
  - Integrated assess & fix approach for water mains – ongoing (EPA-WERF-WaterRF)
- **CFRP for PCCP rehab** – data for design standard (EPA-WERF-WaterRF)
- **Databases** – populate & analyze, e.g.:
  - Laterals CA & Rehab database (EPA-WERF)
  - Water & sewer main rehab & CA database (EPA-WERF)
  - Main break database (WaterRF)

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## Water Conveyance Infrastructure Research Needs:

### Rehabilitation

#### for Wastewater Collection Systems

- **Rehab of in-service sewers with large diameter, non-circular shape, angles & bends**
- **Rapid & cost-effective sealing of annular gap between the liner & the host pipe**
- **Rehab of manholes, cleanouts, pump stations**
- **Document & reduce cost of bypass pumping, temporary piping**
- **Investigate  $Mg(OH)_2$  crown spray systems**

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### Water Conveyance Infrastructure Research Needs:

#### Rehabilitation

#### for Drinking Water Distribution Systems

- Evaluation of lead service lining & coating technologies (NCER-STAR)
- Improve service line connections re-instatement after re-lining
- Increased use of standardized coding to document installed condition
- Evaluate cost-effectiveness of cathodic protection for push-on pipe
- Document & reduce ancillary costs of water main rehabilitation
- For SIPP, study the effects of ambient conditions, cleaning requirements, & installation practices on resin curing, polymeric formulations, & adhesion

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### Water Conveyance Infrastructure Research Needs:

#### Condition Assessment

#### for Wastewater Collection Systems (1 of 3)

- Demonstration of emerging acoustical inspection technologies for rapidly determining pipe condition & cleaning requirements (EPA)
- Innovative technology performance, benefit, & cost data
  - Example technologies with strong “cross-over” potential , e.g.:
 

gamma-gamma logging	infrared thermography
micro-deflection	ground penetrating radar
- Factors that Influence the Formation of FOG deposits in Sewer Collection Systems (EPA)
- Rapid Detection of Sewer Pipe Problems using Background DNA Marker & QPCR Technology (EPA)

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**Water Conveyance Infrastructure Research Needs:**  
**Condition Assessment**  
**for Wastewater Collection Systems (2 of 3)**

- **Document costs & benefits of pipe inspection & rehab**
- **Inspection technology improvements for:**
  - Reducing confined-space entry for sewer inspections
  - Affordable multi-sensor devices on small transportable packages
  - Pipes below the waterline
  - Laterals
  - Force main wall thickness data over a long distance from a single point
  - Live insertion & retrieval of existing inspection tools (i.e. avoid shutdown, dewatering, cleaning) into force mains

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**Water Conveyance Infrastructure Research Needs:**  
**Condition Assessment**  
**for Wastewater Collection Systems (3 of 3)**

- **Better capability to track asset condition over time**
  - Geospatial information (with a high degree of accuracy) needs to be linked with pipe history & condition data
- **Information transfer to practitioners, topics include:**
  - Infrastructure failure mechanisms
  - Using historical inspection data for condition assessment applications
  - Applying the PACP coding system to characterize pipe defects
  - Developing a condition assessment program
  - Preparing accurate record drawings for new & rehabilitated pipe
  - Simple condition assessment tools (i.e. scattergraphs) for analyzing flow data, decision trees, & rules of thumb

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### Water Conveyance Infrastructure Research Needs:

#### Condition Assessment

##### for Drinking Water Distribution Systems (1 of 3)

- **Evaluate & improve pipe location capability**
  - Polymer & asbestos cement pipes
- **Evaluate & improve leakage characterization capability**
  - Leak detection, location, rate, change in rate
  - Speed & cost; low intrusion
  - Operation in “noisy” environments (EPA-EPRI)
    - e.g., flow, traffic, air pockets
  - Polymer pipes & asbestos cement pipes
  - Effects of pressure management on leakage rates & main breaks (DSRICP & WaterRF)
  - Innovative indirect methods

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### Water Conveyance Infrastructure Research Needs:

#### Condition Assessment

##### for Drinking Water Distribution Systems (2 of 3)

- **Evaluate & improve pipe structural condition assessment capability**
  - Cost-effective usage of data on pipe location, characteristics, installation, & O&M
  - Web-accessible databases on pipe failure (WaterRF) & technology performance & cost (EPA/WERF)
  - Retrospective - CA effects on failures, catastrophic events, & associated direct & indirect costs
  - Retrospective – CA effects on premature replacement & life-cycle cost
  - Innovative approaches for linking soil characteristics and pipe corrosion (e.g., LPR)

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Water Conveyance Infrastructure Research Needs:  
**Condition Assessment**  
 for Drinking Water Distribution Systems (3 of 3)

- **Evaluate & improve pipe structural condition assessment capability (cont'd)**
  - Low-cost NDE for small diameter CI & DI pipes (WaterRF)
  - In-line NDE for detecting cracks & joint rotation in large CI & DI pipes
  - NDE technologies for unbroken, corroded wires in PCCP
  - Advanced AE analysis for rapid assessment of PCCP (EPA-WERF-WaterRF)
  - NDE for AC, PVC, & PE pipe

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Water Conveyance Infrastructure Research Needs:  
**Top 10 from Distribution Systems RICP#**  
 (1 of 2)

Estimation of Contaminated Water Volumes & Contaminant Concentrations Introduced Into WDS Due to Backflow Events from Unprotected Cross-Connections Based on Model Predictions and Field and Pilot-Scale Experiments

Survey of WDS Pressure Management Practices

Characterize Propagation of Pressure Events through WDS to Improve Pressure Management Approaches

Epidemiological Studies of Health Effects Associated with Low or Negative Pressure Events

# Distribution Systems Research & Information Collection Partnership;  
 Members = EPA & WaterRF

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**Water Conveyance Infrastructure Research Needs:  
Top 10 from Distribution Systems RICP#  
(2 of 2)**

**Best Practices to Minimize Risks Associated with Cross Connections  
and Backflow**

**Contaminant Entry from Breaches in Storage Facilities**

**Best Practices for Minimizing Risks Associated with Storage Facilities**

**Survey of Large Drinking Water Utility Distribution Systems**

**Targeted Surveys to Obtain Information on State & Local Regulations,  
Policies, Manufacturing Practices & Guidelines for Distribution  
Systems**

**Quantitative Microbial Risk Assessment (QMRA) to Evaluate Exposure  
to Pathogens through Distribution Systems**

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# Summary

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## Water Conveyance Infrastructure Research Needs (1 of 3)

- **Coordination & Collaboration**
  - Topics, Priorities, Funding, & Implementation
  - Packaging & Dissemination of Results
- **Sustainable Water (Conveyance) Infrastructure**
  - Asset Management
  - Water & Energy Efficiency
  - Expand & Accelerate Use of Best Information, Technologies & Approaches
- **Public Health & Water (Conveyance) Infrastructure**
  - Transport, exposure, & effects of contaminants
  - Prevention &/or control of contaminant adverse effects

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## Water Conveyance Infrastructure Research Needs (2 of 3)

- **Assess & Re-assess User Community & Their Needs & Priorities**
  - Problem scenario characteristics – intensive & extensive
  - Drinking water, wastewater, stormwater, & water reuse
  - Past, present, & future water infrastructure
  - Strategic, tactical, and O&M level
  - National, regional, & local
  - Information needs: Decision support & Task implementation
    - Benefit, cost, value, & affordability

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## Water Conveyance Infrastructure Research Needs (3 of 3)

- **Technology Development & Assessment**
  - Match problems & innovative solutions in these areas:
    - Renewal, water loss control
    - Pipe location, monitoring &/or condition assessment
    - Advanced design concepts & new materials
    - Decision support tools &/or databases
  - Do research, development, testing, demo, &/or evaluation
    - Produce performance, cost, & value data for decision-makers
    - Retrospective assessments for long-term performance data
    - Triple bottom line & life-cycle assessments where applicable
- **Measure Improvements in Sustainability & Public Health**
  - Single applications – Utility-wide – Utility Cluster – National

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## Thank you! Questions?

### Notice

*The U.S. Environmental Protection Agency, through its Office of Research and Development, funded and managed, or partially funded and collaborated in, the research described herein. It has been subjected to the Agency's administrative review and has been approved for external publication. Any opinions expressed in this paper are those of the author (s) and do not necessarily reflect the views of the Agency, therefore, no official endorsement should be inferred. Any mention of trade names or commercial products does not constitute endorsement or recommendation for use.*

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# Energy Pipeline Challenges & Related Research



**Robert Smith**

Pipeline & Hazardous Materials  
Safety Administration

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# Thank You!

- ASCE for the opportunity to share!
- There is much to discuss!
- Many common challenges!
- We hope to expand our research enterprise

*"We face many changing pipeline challenges together leaving no room for duplication or wasted time. A research enterprise targeting these challenges will be paramount in overcoming them."*

Remarks by Vice Admiral Thomas J. Barrett, (USCG Ret.) Administrator,  
DOT/PHMSA during the 2007 Government/Industry Pipeline R&D Forum

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# PHMSA's Charge

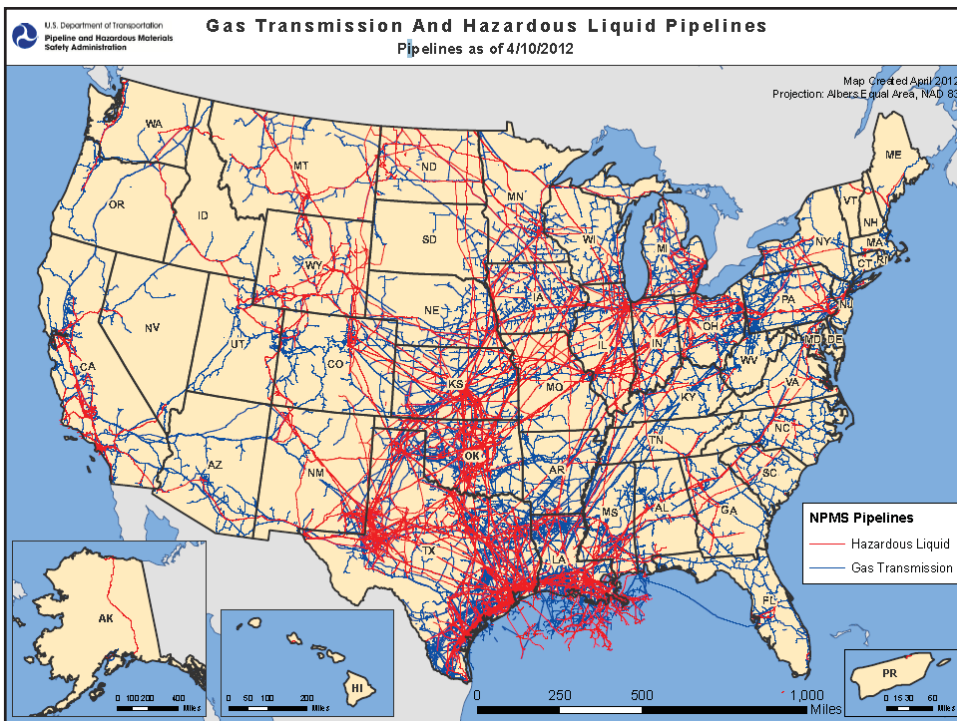
We develop and enforce regulations for the safe, reliable and environmentally sound operation of:

Approximately

- 2.6 M pipeline miles (4 M KM)
- 2,600 pipeline operators
- 1M daily hazmat shipments
  - By land, sea and air



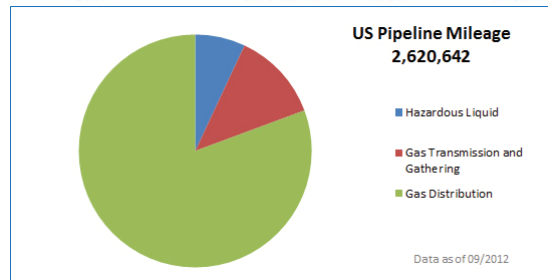
<http://www.phmsa.dot.gov/pipeline>



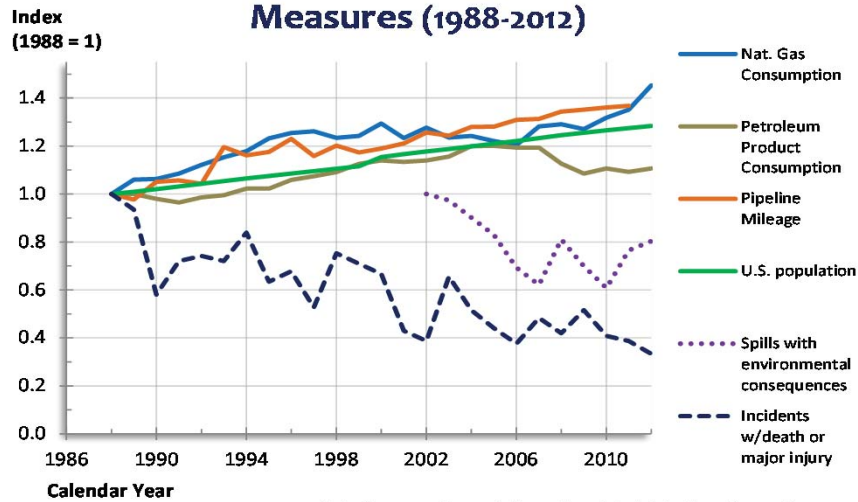


# Energy Pipeline Systems

National Pipeline System Components				
Pipeline	Mileage	% Total	Operators	% Total
Hazardous Liquid	182,166	7	350	13
Gas Transmission and Gathering	324,832	12	1,034	39
Gas Distribution	2,113,643	81	1,285	48
Main line	1,232,266	47		
Service line	881,378	34		
<b>Total</b>	<b>2,620,642</b>	<b>100</b>	<b>2,669</b>	<b>100</b>



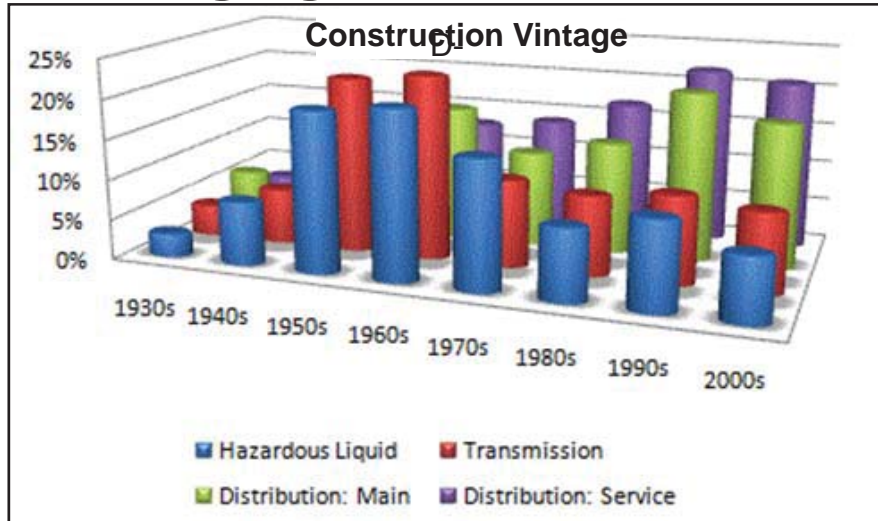
## Pipeline Safety with Context Measures (1988-2012)



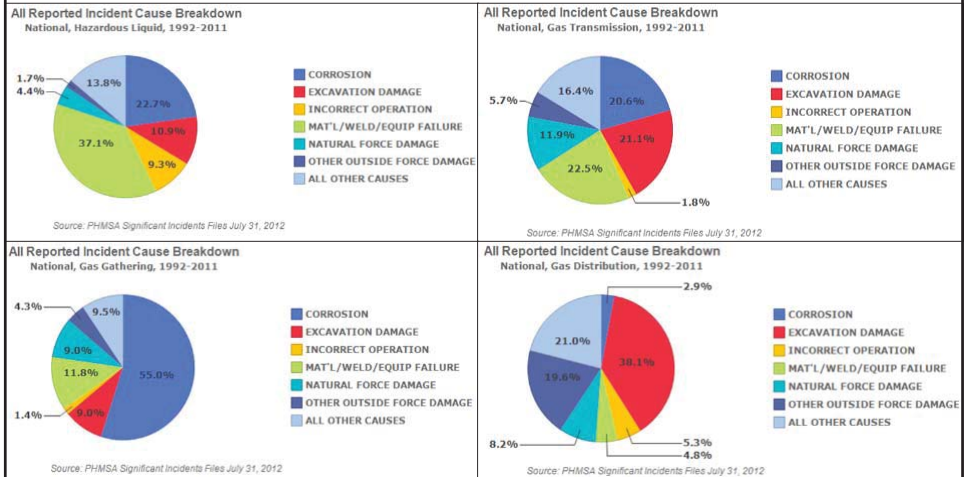
Data Sources: Energy Information Administration, Census Bureau, PHMSA Annual Report Data, PHMSA Incident Data - as of April 1, 2013



# Aging Infrastructure?



# Threat/Frequency - Pipeline Type



**ASCE** PIPELINE RESEARCH NEEDS SYMPOSIUM

# Corrosion: Consequence

All Pipeline Systems: Corrosion Incident Details: 1992-2011

Reported Cause of Incident	Number	%	Fatalities	Injuries	Property Damage	% of Property Damage
EXTERNAL CORROSION	797	7.7%	10	69	\$329,645,057	5.9%
INTERNAL CORROSION	753	7.3%	13	6	\$222,118,708	3.9%
UNSPECIFIED CORROSION	291	2.8%	1	11	\$8,340,845	0.1%
<b>Total:</b>	<b>1,841</b>	<b>17.9%</b>	<b>24</b>	<b>86</b>	<b>\$560,104,610</b>	<b>10.0%</b>

**ASCE** PIPELINE RESEARCH NEEDS SYMPOSIUM

# Recent Pipeline Incidents

Dec 11, 2012: A 20" gas transmission pipeline (1967 vintage) Sissonville, WV

March 29, 2013: A 20" crude oil pipeline (1947-48 vintage) Mayflower, AR

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## Challenge: Construction Quality

- Pipeline construction boom over past few years!
- Large increase of pipeline construction inspections
  - Many examples seen of failure to maintain quality and strength of materials
    - Pipeline operators
    - Pipe mills
    - Construction contractors
- 2009 Public workshop convened beginning of grass roots effort for improving quality

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## Areas of Deficiency

- Application of exterior pipe coatings
- Mechanized and manual welding
- Installing pipe in excavations and pipe cover
- Nondestructive testing
- Bending of pipe
- Line pipe low and variable yield strength
- Backfill and lowering of pipe in the ditch
- New Pipe – HF ERW
- Miscellaneous

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## Challenge: Pipe Seams

### SAW Failure in San Bruno, CA



Photograph of the 28-foot-long ruptured section of pipeline

### ERW Seam Failure in Carmichael, MS



Hydrotest Failure

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## Pipeline Safety RD&T

### Pipeline Safety RD&T Program Mission:

To sponsor research and development projects focused on providing near-term solutions that will improve the **safety**, reduce **environmental** impact, and enhance the **reliability** of the Nation's pipeline transportation system.

### Key Points

- We employ a collaborative approach to address mutual challenges
- We help remove technical barriers on a given challenge
- We measure our research results/impacts
- We are transparent - <http://primis.phmsa.dot.gov/rd/>

Pipeline Safety Improvement Act of 2002 established our modern program

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# Program Objectives

Developing Technology	Strengthening Consensus Standards	Promoting Knowledge
Fostering the development of new technologies so that pipeline operators can improve safety performance and more effectively address regulatory requirements.	Targeting and feeding new knowledge into the process of keeping standards relevant to their purpose.	Generating and promoting general knowledge to decision makers.

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## PHMSA RD&T SUCCESSES

Courtesy: Pipetel Technologies

Courtesy: CRC Evans

Courtesy: LASEN

Courtesy: Baker Hughes

Courtesy: ITT Kodak

Courtesy: Pipetel Technologies

Guided Wave Ultrasonics

Courtesy: Pure Technologies Leak Detection


Hand-Held Tools for In-Ditch Inspections

Gas/Liq Leak Detection by Fixed Wing/ Helicopter along pipeline

Labels in diagram: SCC, Mechanical Damage, Internal Corrosion, External Corrosion



**ASCE PIPELINE RESEARCH NEEDS SYMPOSIUM**



## Performance Record (since 2002)

Event Type	Events Held	Stakeholders Reached
Blue Ribbon Panel	2	39
Gov/Industry R&D Forums	5	995
Interagency Coordination Meetings	13	101
R&D Workshops/Conferences	14	2135
Safety Advisory Committees	1	30
<b>Grand Totals:</b>	<b>35</b>	<b>3300</b>

Website Usage Metric	Measure
Total Number of Hits	17,535,705
Average Number of Hits/Month	147,358
Files Downloaded (since 1/01/2008)	996,975

**Fostering Development of New Technologies**  
 Number of projects developing new technology: 70  
 Number of tech developments now in the commercial market: 20  
 Number of projects demonstrating new technologies: 38  
 Number of U.S./Foreign Patent applications resulting from projects: 19

**Strengthening Regulatory Requirements and Consensus Standards**  
 Number of projects strengthening new/revised Industry Standards: 63  
 Number of project results used to revise Consensus Standards: 4  
 Number of Consensus Standards revised by project results: 3  
 Number of projects addressing NTSB Recommendations: 8

**Promoting Knowledge for Decision Makers**  
 Number of projects promoting knowledge to decision makers: 128  
 Number of final reports publicly available: 142  
 Number of conference/journal papers presented: 80


Logic modeling used to determine best attainable & sustainable metrics

Performance metrics all publically available at:  
<https://primis.phmsa.dot.gov/rd/performance.htm>

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**Pipelines and Trenchless Construction & Renewals: A Global Perspective** June 22, 2013, Ft Worth, TX

**ASCE PIPELINE RESEARCH NEEDS SYMPOSIUM**



## Research Knowledge Is Shared!

Researcher Name	Project Title
1. Stress Engineering Services	Deepwater GOM Pipeline Damage Characteristics & Repair Options
2. Edison Welding Institute, Inc.	Advanced Welding Repair and Remediation Methods for In-Service Pipelines
3. Battelle Memorial Institute	A New Approach to Control Running Fracture in Pipelines
4. Pipeline Research Council International	Pipeline Integrity Management for Ground Movement Hazards
5. Battelle Memorial Institute	Integrity Management for Wrinklebends and Buckles
6. Battelle Memorial Institute	Model Modules to Assist Assessing and Controlling Stress Corrosion Cracking

Wow! Final reports for these projects were collectively downloaded over 15,000 times from the PHMSA website. We've been tracking this information since January 2008 with over 996,000 downloads via all facets of our program website - <https://primis.phmsa.dot.gov/rd/>

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**Pipelines and Trenchless Construction & Renewals: A Global Perspective** June 22, 2013, Ft Worth, TX

**PIPELINE RESEARCH  
NEEDS SYMPOSIUM**

<https://primis.phmsa.dot.gov/matrix/PrjHome.rdm?prj=390> Research & Development Program  
Time: 04/26/2013 07:06 PM

**Comprehensive Study to Understand Longitudinal ERW Seam Failures**

**Project Categories**  
Click on any category to see other projects in this category.

- Onshore Transmission Pipeline
- Welding Defects
- Integrity Management

**Main Objective**  
Three primary objectives –

1. Integrate industry and PHMSA data to quantify vintage seam failure statistics with focus on LFERW seams
2. Understand longitudinal ERW seam failures and on that basis quantify the effectiveness of inspection and hydrotesting to manage integrity and ensure safety to avoid/eliminate catastrophic failures
3. Combine outcomes of the first two objectives to help favorably close National Transportation Safety Board (NTSB) Recommendation P-09-1

**Public Abstract**  
The objective of the proposed project is to assist PHMSA in favorably closing NTSB Recommendation P-09-1 arising from the Carmichael MD pipeline rupture involving an ERW seam, which directed that PHMSA conduct a comprehensive study of ERW pipe properties and the means to assure that they do not fail in service. The proposed work is anticipated to validate that periodic use of the current ERW seam integrity assessment methods (hydrostatic testing and in-line inspection using a crack-detection tool) are the best means to prevent ERW seam ruptures.

The work will address the characteristics of ERW seams that make them susceptible to failure, and it will identify the factors the pipeline operators must consider in order to assure that their ERW pipelines are safe.

**OTHER FILES**

Effectiveness of Hydrostatic Testing for Assessing the Integrity of ERW and Flash-Weld Pipe Seams  
[PAPER\\_EFFECTIVENESS\\_OF\\_HYDROSTATIC\\_TESTING\\_04/03/08.PDF](#) (250,297 bytes) [\(VIEW\)](#) [\(DOWNLOAD/SAVE\)](#)

Track Record of In-Line Inspection as a Means of ERW Seam Integrity Assessment  
[PAPER\\_TRACK\\_RECORD\\_OF\\_IN-LINE\\_INSPECTION\\_04/03/08.PDF](#) (1,318,807 bytes) [\(VIEW\)](#) [\(DOWNLOAD/SAVE\)](#)

Operator's Experience with ERW and Flash Weld Seam Failures: Causes and Implications  
[PAPER\\_ASSETTLE\\_EXPERIENCE\\_WITH\\_ERW\\_AND\\_FLASH\\_SEAM\\_FAILURES\\_04/03/08.PDF](#) (4,273,451 bytes) [\(VIEW\)](#) [\(DOWNLOAD/SAVE\)](#)

Model for Predicting Failure Stress Levels for Defects Affecting ERW and Flash-Welded Seams / Addendum on PAFFC  
[PAPER\\_FAILURE\\_MODEL\\_PREDICTING\\_FAILURE\\_STRESS\\_LEVELS\\_04/03/08.PDF](#) (2,258,837 bytes) [\(VIEW\)](#) [\(DOWNLOAD/SAVE\)](#)

Integrity Seams (Weld) Corrosion Literature Review  
[PAPER\\_SELECTIVE\\_SEAM\\_WELD\\_CORROSION\\_LITERATURE\\_REVIEW\\_03/03/08.PDF](#) (824,804 bytes) [\(VIEW\)](#) [\(DOWNLOAD/SAVE\)](#)

Predicting Times to Failure for ERW Seam Defects that Grow by Pressure-Cycle-Induced Fatigue  
[PAPER\\_2\\_1\\_FATIGUE\\_MODEL\\_REPORT\\_03/03/08.PDF](#) (471,690 bytes) [\(VIEW\)](#) [\(DOWNLOAD/SAVE\)](#)

Recent Analyzing 230 ERW (high-frequency-welded, low-frequency-welded, and DC-welded) and Flash Weld Seam Failures in Natural Gas and Hazardous Liquid Pipelines  
[PAPER\\_43000\\_AND\\_FLASH\\_WELD\\_SEAM\\_FAILURES\\_03/03/08.PDF](#) (11,900,081 bytes) [\(VIEW\)](#) [\(DOWNLOAD/SAVE\)](#)

**Fast Facts**

Research Award: Battelle Memorial Institute  
 Recipient: Headquarter Address: 505 King Avenue, Columbus, OH 43201 Seattle address: Suite 400 1100 Dexter Avenue North Seattle, WA 98109-3598 Columbus, OH 43201-2095  
 AOTR: Steve Hanney, [steve.hanney@dot.gov](mailto:steve.hanney@dot.gov), (713)272-2855  
 Contract #: DTPH456-11-T-000003  
 Project #: 390  
 Researcher Contact Info: Brian Leis (614) 424-4421; (614) 458-4421 (fax); [leis@battelle.org](mailto:leis@battelle.org)  
 Peer Review: More than Effective (CHP-T-2012, Apr 11-24, 2012)  
 Technology and Commercial Status  
 Technology TGD  
 Demonstrated?   
 Commercialized (in TGD whole/part)?   
 Financial and Status Data  
 Project Status: Active  
 Start Fiscal Year: 2011 (05/26/2011)  
 End Fiscal Year: 2014 (05/1/2014)  
 PHMSA \$3 Budgeted \$4,154,480 B4

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**Pipelines and Trenchless Construction & Renewals: A Global Perspective** June 22, 2013, Ft Worth, TX

**PIPELINE RESEARCH  
NEEDS SYMPOSIUM**

# Charpy Type Test for HSS


**PHMSA/NIST embarking on new program to characterize crack arresting capacity modern high toughness/strength steels**

- A medium scale test to assess resistance to unstable ductile tearing in a long ligament specimen at velocities relevant to pipeline performance
- A dynamic fracture model to facilitate correlation of the test outputs with each other, and with full scale testing
- Progress towards a small scale test for evaluating and validating HS & HT steel alloys for use in newer structural design philosophies such as strain-based design
- Communicate with ASTM Committee to revise existing impact testing protocols with the ASTM E23 Standard

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**ASCE PIPELINE RESEARCH NEEDS SYMPOSIUM**



## Ethanol Research Completed!

**11 Projects Now Complete**

- PHMSA \$2.8M + Co-Funding \$3.2M
- Final reporting publically available for standards committees possible use NACE & API interests (eSCC)

Project Title	Researcher
1. Determine the Requirements for Existing Pipeline, Tank and Terminal Systems to Transport Ethanol without Cracking	Pipeline Research Council International
2. Effect of Concentration and Temperature of Ethanol in Fuel Blends on Microbial and Stress Corrosion Cracking of Pipeline Steels	Colorado School of Mines
3. Monitoring Conditions Leading to SCC/Corrosion of Carbon Steel	Det Norske Veritas (U.S.A.), Inc.
4. Effect of Ethanol Source on Stress Corrosion Cracking of Carbon Steel	Det Norske Veritas (U.S.A.), Inc.
5. Effect of Ethanol Blends and Batching Operations on Stress Corrosion Cracking of Carbon Steel	Det Norske Veritas (U.S.A.), Inc.
6. Feasibility of Using Plastic Pipe for Ethanol Low Stress Lines	Gas Technology Institute
7. New Design and Construction Techniques for Transportation of Ethanol and Ethanol/Gasoline Blends in New Pipelines	Electricore, Inc.
8. Stress Corrosion Cracking of Pipeline Steels in Fuel Grade Ethanol and Blends	Georgia Tech Research Corporation
9. Technical and Economic Feasibility of Preventing SCC through Control of Oxygen	Det Norske Veritas (U.S.A.), Inc.
10. Feasibility of Chemical Inhibition of Ethanol SCC	Det Norske Veritas (U.S.A.), Inc.
11. Compatibility of Non-Ferrous Metals with Ethanol	Det Norske Veritas (U.S.A.), Inc.

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**ASCE PIPELINE RESEARCH NEEDS SYMPOSIUM**



## New Research Strategy/Plan

**July 2012 Gap Analysis/Roadmapping R&D Forum**  
[https://primis.phmsa.dot.gov/rd/mtg\\_071812.htm](https://primis.phmsa.dot.gov/rd/mtg_071812.htm)

215 regulators/operators/standards orgs/trade orgs/  
 vendors/researchers/general public

1. Threat Prevention
2. Leak Detection/Mitigation & Storage
3. Anomaly Detection/Characterization
4. Anomaly Repair & Remediation
5. Design/Materials/Welding-Joining/Valves





## New Research Strategy/Plan

- Solicited for 20 topics based on Forum consensus
- 89 White Papers down to 38 Proposals
- June 2013 – Recommending 21 awards & \$15M PHMSA investment in the below areas:
  1. Threat Prevention
  2. Leak Detection/Mitigation & Storage
  3. Anomaly Detection/Characterization
  4. Anomaly Repair & Remediation
  5. Design/Materials/Welding-Joining/Valves

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## Competitive Academic Agreement Program (CAAP): Objectives

- Program to spur pipeline safety innovation through academic research
- Intended to deliver “hand-off” solutions for further investigations in PHMSA’s core research program
- Expose “graduate students” to pipeline safety challenges and how technical discipline is needed
- PHMSA to spend up to \$500K each year with this new program
  - Supports 5 project awards to any non-profit institute of higher learning in the US

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## More Technology is Coming!

1. Handheld tool to quantify residual stress measurements in mechanical damage
2. Buried ROW Encroachment Monitoring Sensors
3. Portable, hand-held instrument for detection of petroleum product leaks from buried pipelines at stand-off distances
4. Portable, handheld, low-cost instrument to measure hydrogen sulfites and mercaptans
5. Dual magnetic field MFL technology to detect mechanical damage to discriminate between critical and benign anomalies

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## Pipeline Safety RD&T Contacts

**Jim Merritt**  
 Department of Transportation  
 Pipeline & Hazardous Materials Safety Administration  
 Office of Pipeline Safety  
 P(303) 638-4758  
 Email [james.merritt@dot.gov](mailto:james.merritt@dot.gov)

**Robert Smith**  
 Department of Transportation  
 Pipeline & Hazardous Materials Safety Administration  
 Office of Pipeline Safety  
 P(919) 238-4759  
 Email [robert.w.smith@dot.gov](mailto:robert.w.smith@dot.gov)

**PHMSA RD&T  
Providing/Supporting:**



Program Page - <https://primis.phmsa.dot.gov/rd/>  
 Project Matrix - <https://primis.phmsa.dot.gov/matrix/>

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## PIPELINE CORROSION PREVENTION WHAT IS NEEDED?



Presented by:  
James A. Hart, NACE International  
Oil & Gas Industry, Program Manager

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## NACE QUICK FACTS

- 🔗 NACE is the World's Largest Corrosion Technical Society
- 🔗 Founded by 11 Pipeline Engineers in 1943
- 🔗 Today 31,000 Members in 130 countries
- 🔗 337 Technical Committees Serving Every Major Industry
- 🔗 153 Standards – 55 Coatings and 40 Pipeline Related
- 🔗 The World's Largest Corrosion Conference
- 🔗 500 Training Classes Held Annually
- 🔗 Over 32,000 NACE Certified Professionals Worldwide
- 🔗 Offices in U.S., Malaysia, China and Saudi Arabia

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## OVERVIEW

- ↳ State of the Industry
- ↳ Key Challenges?
- ↳ Impact of Corrosion on U.S Pipelines
  - ↳ Summary of Corrosion related incidents
  - ↳ Cost of Corrosion
- ↳ What needs to be done?
- ↳ What Can You Do?



## STATE OF THE INDUSTRY

- ↳ Aging Infrastructure and zero tolerance for error
- ↳ New infrastructure demands
- ↳ Push for transfer of technology based on research partially funded by government grants to standards
- ↳ Industry under intense scrutiny by the public and lawmakers
- ↳ More inspections, Increased penalties and fines



## RECORD ENFORCEMENT NUMBERS AND PENALTIES IN 2012

- PHMSA issued 116 enforcement orders to pipeline operators, its second highest year, for problems with integrity management programs, qualified personnel, corrosion control, and other violations
- The also issued its [largest penalty ever](#) in the amount of \$3,699,200 stemming from a July 2010 corrosion-related rupture that spilled more than 20,000 barrels of crude oil

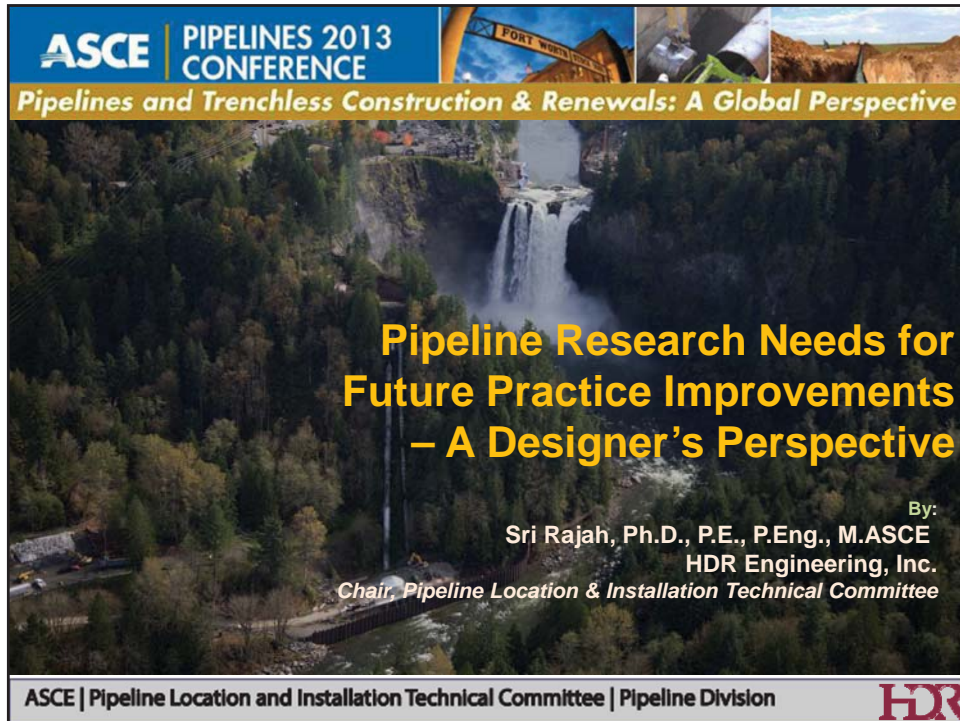


## KEY CHALLENGES

- Disengagement of senior level management on corrosion related issues and costs
- Increasing demand for qualified workforce converging with loss of knowledge base due to mergers, downsizing and retirements
- Funding for research and development for new technology
- Inconsistent message from trade groups, research and standards organizations



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## Pipeline Research Needs for Future Practice Improvements – A Designer’s Perspective

By:  
Sri Rajah, Ph.D., P.E., P.Eng., M.ASCE  
HDR Engineering, Inc.  
*Chair, Pipeline Location & Installation Technical Committee*

ASCE | Pipeline Location and Installation Technical Committee | Pipeline Division **HDR**

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## Agenda

- Role of Research for Practice Improvements
- Influence of Historical Research
  - Example: Outcome of Uncoordinated Research
  - Example: Outcome of Undocumented Research
- AWWA Standards Development
- Process Improvement Needs
- Conclusions

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**ASCE | PIPELINES 2013 CONFERENCE** **Pipeline Research**  
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**for Practice Improvements**

- Monitor
  - ✓ Evaluate Existing Practice
  - ✓ Study Case Histories (Successes & Failures)
  - ✓ Identify Practice Improvement Needs
- Research
  - ✓ Identify Research Needs
  - ✓ Collaborate with Stakeholders
  - ✓ Conduct Research
  - ✓ Document Findings!!
- Develop Practice Improvements for
  - ✓ Design
  - ✓ Construction
  - ✓ Operation and Maintenance
- Develop/Update Practice Requirements
  - ✓ Best Practices
  - ✓ Guidelines
  - ✓ Standards
  - ✓ Codes

Research with No or Inadequate Documentation will have Very Limited Use.

Identified and Fully Developed Practice Improvements Should be Documented for Use in Actual Practice.

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**ASCE | PIPELINES 2013 CONFERENCE** **Historical Research in**  
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**Water/Wastewater Industry**

- Initiatives are Primarily Led by Manufacturers
  - Competitive Product Development
    - Proprietary
    - Pipe Material Specific
    - Uncoordinated & Inconsistent
- Initiatives Supported by Joint Efforts Between Manufacturers, Public Agencies and Organizations
  - Refine Design Practices
    - e.g., Flange Design for Water Pipelines
  - Address Widespread Issues of Concern
    - e.g., Research on PCCP
- Initiatives by Public Agencies
- Initiatives by Academic/Research Institutions

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**ASCE | PIPELINES 2013 CONFERENCE** **Outcome of Historical Research on Current Practice**  
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- Uncoordinated Research**
  - Inconsistent and material specific design approaches, standards, and guidelines.
  - Results in Unnecessary Confusion for Practicing Engineers
  - Extremely Difficult to Develop, Maintain and Update Design, Construction and O&M Standards and Guidelines.
- Undocumented Research**
  - Lack of Research Funds for Adequate Documentation
    - Inadequate or No Documentation
  - Failure to Disseminate
    - Lost Data and Documentation
  - Intentional Withholding of Information
    - To Gain Competitive Advantage
- Reluctance to Improve Design Practices**
  - Until a Widespread Issue is Recognized
- Passive Approach to Codes/Standards Development**
  - Lack of Proactive Practice Improvements

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**ASCE | PIPELINES 2013 CONFERENCE** **Outcome of Uncoordinated Research**  
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- Inconsistent and material specific design approaches, standards, and guidelines.
  - Results in Unnecessary Confusion for Practicing Engineers
  - Extremely Difficult to Develop, Maintain and Update Design, Construction and O&M Standards and Guidelines.
  - e.g., Thrust Restraint Design Practice in AWWA Design Manuals

	M-9 Concrete	M-11 Steel	M-23 PVC	M-41 DIP	M-45 Fiberglass	M-55 HDPE
Magnitude of Unbalanced Force (per leg)	$PA\sin(\Delta/2)$	$PA(1-\cos\Delta)$	$PA\sin(\Delta/2)$	$PA\sin(\Delta/2)$	$PA\sin(\Delta/2)$	N/A
Direction of Unbalanced Force in each leg	Along unbalanced force resultant	Along the leg	Along unbalanced force resultant	Along unbalanced force resultant	Along unbalanced force resultant	N/A

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**Inconsistent Backfill Specification Systems for Pipe Installation**

		SOIL DESCRIPTION					
		Crushed Stone or Rock	Coarse Grained Soil (Little or No Fines)	Coarse Grained Soil with Fines	Fine Grained Soil (Low Plasticity)	Fine Grained Soil (High Plasticity)	Organic Silt, Clay, Peat
<b>STD. SOIL CLASSIFICATION SYSTEMS</b>	USCS <sup>(1)</sup>	GW, GP	GW, GP, SW, SP	GM, GC, SM, SC	ML, CL	MH, CH	OL, OH, PT
	AASHTO <sup>(2)</sup>		A1, A3	A2, A4, A6	A2, A4, A6	A5, A7	A5, A7
<b>ASTM STANDARDS</b>	D2321	Class I	Class II	Class III	Class IV	Class V	Class V
	C1479		Category I	Category II	Category II/III	Category IV	Category IV
	D2774		Gravels & Sands	Sands & Gravels			
<b>ASCE DESIGN MANUALS</b>	ASCE 15-98 <sup>(3)</sup>		Gravelly Sand	Sandy Silt, Silty Clay	Sandy Silt, Silty Clay	Silty Clay	
	M9 (Concrete)		Category I	Category II	Category III	Category III	
<b>AWWA DESIGN MANUALS <sup>(4)</sup></b>	M11 (Steel)		GW, GP, SW, SP	GM, GC, SM, SC	ML, CL	MH, CH	OL, OH, PT
	M23 (PVC)	SC1	SC2	SC3	SC4	SC5	SC5
	M41 (DIP)		Clean Sand, Clean Gravel	Coh-Gran, Sand Silt	Clay 2, Silt 2	Clay1, Silt 1	
	M45 (Fiberglass)	SC1	SC2	SC3	SC4	SC5	SC5
	M55 (HDPE)	Crushed rock	GW,GP, SW,SP	GM,GC, SM,SC	CL,ML, ML-CL	CH, MH, CH-MH	

**NOTES:**  
1. Unified Soil Classification System, as described in ASTM D 2487  
2. AASHTO Soil Classification System, as described in AASHTO M145.  
3. As described in ASCE 15-98.  
4. Manuals of water supply practices published by AWWA.

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**ASCE PIPELINES 2013 CONFERENCE**  
**Through-the-Block Allowable Lateral Soil Bearing Pressure (psf)**  
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Soil Type	M-9 <sup>(1)</sup>	M-11 <sup>(2)</sup>	M-23 <sup>(3)</sup>	M-41 <sup>(3)</sup>	M-45 <sup>(3)</sup>	M-55 <sup>(4)</sup>
	Concrete	Steel	PVC	DIP	Fiberglass	HDPE
Muck, Peat	(1)	(2)	0	0	0	(4)
Soft Clay	(1)	(2)	500	1,000	1,000	(4)
Silt	(1)	(2)	-	1,500	1,500	(4)
Sandy Silt	(1)	(2)	-	3,000	3,000	(4)
Sand	(1)	(2)	1,000	4,000	4,000	(4)
Sand and Gravel	(1)	(2)	1,500	-	-	(4)
Sandy Clay	(1)	(2)	-	6,000	6,000	(4)
Sand and Gravel with Clay	(1)	(2)	2,000	-	-	(4)
Sand and Gravel Cemented with Clay	(1)	(2)	4,000	-	-	(4)
Hard Pan (clay)	(1)	(2)	5,000	9,000	9,000	(4)

**Notes:**  
1. Based on knowledge of local soil conditions. Factor of Safety of 1.0.  
2. To be determined from field tests by qualified geotechnical engineers. Factor of Safety of 1.0.  
3. Factor of Safety of 1.5.  
4. Thrust blocks do not resist pullout and are not a substitute for external mechanical restraint.

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Inconsistent and Questionable Soil Parameters for Design  
Gravel and Sands - 95% Compaction

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		SOIL DESCRIPTION			
		Friction Angle Phi (degrees)	Cohesion c (psf)	Unit Weight (pcf)	Modulus Soil Reaction <sup>1</sup> (psi)
<b>STD. SOIL CLASSIFICATION SYSTEMS</b>	USCS <sup>(1)</sup>		GW, GP, SW, SP		
<b>AWWA DESIGN MANUALS</b>	M9 (Concrete)	48	0	140	N/A
	M11 (Steel)	?	?	?	1600 – 2500 (Depends on Cover)
	M23 (PVC)	31 - 35	0	110	3000
	M41 (DIP)	36	0	100	500 (Reflects installation conditions)
	M45 (Fiberglass)	?	?	?	1415- 5000 <sup>1</sup> (Depends on Cover)
	M55 (HDPE)	?	?	118-150	1600 – 2500 (Depends on Cover)

NOTES: <sup>1</sup>. Constrained soil Modulus for M45;

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Outcome of Research with  
Incomplete Documentation

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- Reasons for Incomplete Documentation Could be
  - Lack of Research Funds for Adequate Documentation
  - Failure to Disseminate
    - Lost Data and Documentation
  - Intentional Withholding of Information
    - To Gain Competitive Advantage
- Examples are:
  - PCCP Research Supported by PCCP Users Group and American Concrete Pressure Pipe Association (ACPPA)
  - Thrust Restraint Design Approach Presented in AWWA M9.

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**ASCE PIPELINES 2013 CONFERENCE** PCCP Research Supported by PCCP User's Group & ACPPA  
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- Design Practice of PCCP Evolved
  - Increased Experience of Successful Use
  - Desire to Maintain Competitive Advantage
  - Increased Occurrence of Failure on Pipes Manufactured Between 1971 and 1979.
  - Prestressing Wire Breaks Impact the Structural Capacity of Pipes
- Research on PCCP performance with broken wires
  - Completed with Support from
    - PCCP User's Group
    - American Concrete Pressure Pipe Association (ACPPA)
  - Limited (Incomplete) Documentation via Conference Papers
  - No Publicly Known Final Report!!

Lack of Complete, Identifiable, Unique Final Documentation of this Funded Research Effort

- ✓ Makes Independent Verification Difficult
- ✓ Discourages Research Efforts on the Subject by Others
- ✓ Limits the Full Use of Research Findings to People Conducted the Research
- ✓ Slow or Difficult Process to Eventual Widespread Use of Research Findings

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**ASCE PIPELINES 2013 CONFERENCE** Incomplete Restraint Design Approach Design Manual M9 (AWWA 2008)  
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- Recognizes the Need to Consider Pipe Soil Interaction
  - Thrust induced Stresses on the Pipe Design
- Incomplete Guidance
  - Approach is Not Fully Described
  - Difficult to use
  - Needs Improvement!!


Lack of Clear, Concise, and Complete Documentation in an AWWA Design Manual

- ✓ Makes it Difficult to Use Guidance
- ✓ Decreases Trust in AWWA Manuals
- ✓ Limited Use.

**AWWA Design Manuals are Not ANSI Certified – and Require Lower Care!!**

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**ASCE PIPELINES 2013 CONFERENCE** **AWWA Standard Development Process**  
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```

graph TD
    A[Formal Request for New AWWA Standard] --> B[Standard Council Assigns to Standards Committee]
    B --> C[Standards Committee Develops and Approves the New Standard]
    C --> D[Standards Council Approves by Consensus Vote]
    D --> E[Council Approved Standard is Presented for Public Review]
    E --> F[Approved Standard is Presented to AWWA Board of Directors & ANSI for Final Approval]
  
```

Standards Committee may Create a Subcommittee to Develop the New Standard

- ✓ Subcommittee procedures may not be regulated strictly
- ✓ All subcommittee work is forwarded to the Standards Committee for final approval
- ✓ Key Ballots and Approvals may be made by People with Limited Technical Information or Details

Details of Standard Development Progress is not Available for Non-Member Stakeholders, except during Public Comment Period

- ✓ Narrow Public Comment Period Does not Address this Lack of Transparency.

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**ASCE PIPELINES 2013 CONFERENCE** **Process Improvement Needs**  
*Pipelines and Trenchless Construction & Renewals: A Global Perspective*

- Collaborate with Stakeholders
  - Owners, Manufacturers, Contractors, Designers, and Researchers
- Document Research Findings
  - Clear, Concise, Complete
- Develop & Document Practice Improvements
  - Best Practices, Manuals, Guidelines, Standards, and Codes
- Improve Standard/Code Development Process





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Pipelines and Trenchless Construction Renewals: A Global Perspective

Conclusions

**Ideal Process for Practice Improvements**

- Monitor
  - ✓ Evaluate Existing Practice
  - ✓ Study Case Histories (Successes & Failures)
  - ✓ Identify Practice Improvement Needs
- Research
  - ✓ Identify Research Needs
  - ✓ Collaborate with Stakeholders
  - ✓ Conduct Research
  - ✓ Document Findings!!
- Develop Practice Improvements for
  - ✓ Design
  - ✓ Construction
  - ✓ Operation and Maintenance
- Develop/Update Practice Requirements
  - ✓ Best Practices
  - ✓ Guidelines
  - ✓ Standards
  - ✓ Codes

- Collaborative Effort to Conduct Research
- Fully Document All Research Findings
- Implement Practice Improvements
  - Effectively
  - Expeditiously
  - Maximizing Positive Contribution and Impact
- Collaborative Effort to Develop/Establish Practice Requirements

A Fundamental Shift in Approach to the Maintenance of Existing and the Development of New Guidance Documents is Essential.

New Research Efforts Should Recognize the Need for a Radically Different Approach towards Standards /Codes Development to Significantly Influence Future Practice Improvements.

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Pipelines and Trenchless Construction Renewals: A Global Perspective

Questions?

- Contacts:
  - Pipeline Location and Installation Technical Committee
    - Chair: Sri Rajah ([sri.rajah@hdrinc.com](mailto:sri.rajah@hdrinc.com))

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**ASCE** PIPELINE RESEARCH  
NEEDS SYMPOSIUM



## Development of Asset Management Certification and a Living Lab

Tom Iseley, Ph.D., P.E.  
Professor, Purdue School of Engineering & Technology, IUPUI  
Chair, Buried Asset Management Institute-International

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**Trenchless  
TECHNOLOGY**

SEPTEMBER 2013  
TRENCHLESSONLINE.COM

**Atlanta's Clean  
Water Advocates**

*Commissioners of JEA and  
Mayor Sharrif Brantley*

De-Mystifying Asset Management  
JEA Tackles Manhole Rehab  
Bypass Pumps Meet Rehab Demands

*Pipelines and Trenchless Construction & Renewals: A Global Perspective* June 22, 2013, Ft Worth, TX



## Water & Sewer Champion

- **Mayor Shirley Franklin – Atlanta’s Sewer Mayor**
  - Atlanta Journal-Constitution – July 15, 2002
  - Atlanta eager to develop world-class sewer system*
    - People worldwide dream of living in a community with clean water, plentiful jobs and affordable housing. Over the Next 12 years, Atlanta will make its largest investment ever in such a dream. To assure high water quality and long-term economic stability for ourselves, our children and grandchildren, we are embarking on a \$3B sewer improvement program.
    - Most of this investment is required under a federal court order --- **it is our opportunity to develop a world-class sewer system**



## World-Class Utility: **What does it take?**

- Innovation
- Validation
- Education

**ASCE PIPELINE RESEARCH NEEDS SYMPOSIUM**

**Technology – Essential for meeting the needs of our water utilities' infrastructure.**

**Technology – Results from research. \$ invested in research is wasted if technical solutions are not commercialized.**

**LL – Important for TRIP (Transferring Research into Practice)**

**Riverside Watershed Environmental Living Lab for Sustainability**

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# LIVING LAB

**Action space for Living Labs along the technology adaption cycle**

**Living Labs:** User-driven open innovation involving all relevant players of the value network

**Action Space for Living Labs:** Fundamental Research, Applied Research, Demonstration Piloting, Service & Product Development, Market




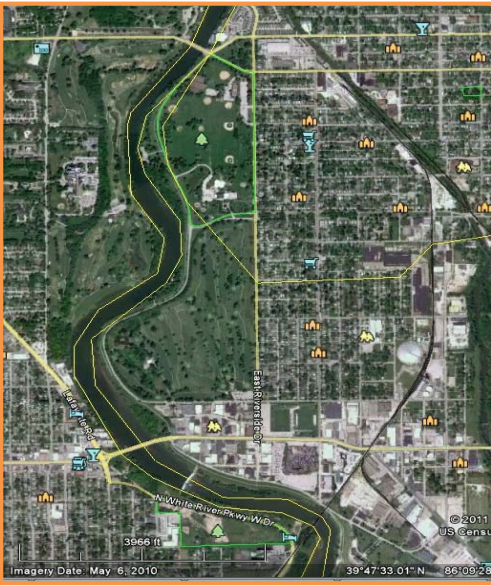
**Business-Citizens-Government Partnerships:** Public & Private research funding, Seed Money, Venture Capital, Industry Banks

**Chasm\*\* Pre-Commercial Gap\***

\* MacDonald and Associates, 2004  
\*\* Geoffrey A Moore: Crossing the Chasm, 1999


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## AM: Origins in the Utility Industry




- 1972 Clean Water Act (*PL 92-500*)
  - Launched NPDES (*National Pollutant Discharge Elimination System*)
  - First steps towards SSES (*Sewer System Evaluation Survey*)
- AM Continued Advancement
  - CMOM (*Capacity, Management, Operation, Maintenance Program*)
  - GASB 34 (*General Accounting Standards Board*) - requirements

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**CTAM**  
Certification of Training  
in Asset Management

CTAM 100 – Fundamentals  
CTAM 200 – Developing  
CTAM 300 – Implementing  
CTAM 400 – Financing


**BAMI-I**  
Buried Asset Management Institute - International

**BENJAMIN**  
MEDIA

**IUPUI**  
INDIANA UNIVERSITY – PURDUE UNIVERSITY INDIANAPOLIS

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**BAMI-I**  
Buried Asset Management Institute - International

- 2003 BAMI COA-DWM
- 2004 BAMI-I BOD
- 2006 BAMI-I EPA Grant
- 2008 BAMI-I Completes grant
- 2010 BAMI-I Launches CTAM
- 2013 BAMI-I Launches CTAM 200

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## 2013 BAMI-I

- Establishes the Water Asset Manager Certification Program
- Establishes the Certification Board
- Launch CTAM 300 & 400
- BAMI-I AWAM
- BAMI-I PWAM



## QUESTIONS?

Tom Iseley, Ph.D., P.E.

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Chair, Buried Asset Management Institute-International

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# 14<sup>th</sup> INTERNATIONAL TRENCHLESS TECHNOLOGY RESEARCH COLLOQUIUM

June 3 to 4, 2012  
Scotia Bank Convention Centre, Niagara Falls,  
Canada

Dr Mark Knight  
University of Waterloo  
Centre for Advancement of Trenchless Technologies



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## Trenchless Research Colloquium (TRC)

- A small group of invited national and international experts from industry, academia and public sector
- International Society of Trenchless Technology (ISTT) supported activity



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## Trenchless Research Colloquium (TRC)

- The purpose is to promote and accelerate national and international trenchless technology research by bringing recognized researchers and industry and public sector leaders together for in-depth discussions of research issues, new and emerging technologies, future research needs and opportunities.



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## TRC History

- The first trenchless research colloquium was organized and hosted by Dr. Raymond Sterling Trenchless Technology Center (TTC), Ruston Louisiana, USA in 1998.
- This colloquium consisted of Professors from France, United Kingdom, Germany, United States and Canada, as well as, recognized leaders from industry and government.



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## TRC History

- 2<sup>nd</sup> 1998 Trenchless Technology Center, Ruston, Louisiana, USA
- 3<sup>rd</sup> 1999 Nuremberg & Münster, Germany
- 4<sup>th</sup> 2000 Vancouver, Canada
- 5<sup>th</sup> 2001 University of Birmingham, Birmingham, UK
- 6<sup>th</sup> 2003 Arizona State University, Tempe Arizona, USA
- 7<sup>th</sup> 2004 University of Waterloo, Waterloo, Canada
- 8<sup>th</sup> 2005 Delft University of Technology, Delft, The Netherlands
- 9<sup>th</sup> 2006 Sydney Water, Sydney, Australia
- 10<sup>th</sup> 2007 Rome, Italy
- 11<sup>th</sup> 2009 Queen's University, Kingston, Canada
- 12<sup>th</sup> 2010 NTU, Singapore
- 13<sup>th</sup> 2011 Beijing, China
- 14<sup>th</sup> 2012 Niagara Falls, Canada
- 15<sup>th</sup> 2014 Madrid, Spain



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## 14<sup>th</sup> 2012 Niagara Falls, Canada

Two main goals:

1. Identify water and wastewater research needs and gaps
2. Investigate the possibility of International research collaborations with international research funding



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## Participants

- Canada, USA, United Kingdom Researchers
- Water Utility leaders
- Consultants
- Key industry representatives



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## Process

Group decided to divide into two separate breakout groups to research needs and gaps for:

- 1) Pressure Pipelines - Dr. Mark Knight Chair
- 2) Gravity Pipelines – Dr. Samuel Ariaratnam Chair



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# Pressure Pipelines INSPECTION TECHNOLOGIES

- Acoustic correlation
  - External and Internal
  - Leaks
  - Wall thickness
- Impact Echo -> Internal
- CCTV -> Internal
- Eddy Current -> Internal
  - Wire Break
  - Wall thickness
- Broadband electromagnetics
  - External
  - Pipe wall thickness
- Ultrasound
  - External and internal
  - Wall thickness
- Laser -> Internal and External
- Infrared Thermography
  - Leak Detection External
  - Thermal changes
- JD7 Wachwater
- Long range guided ultrasonics
- Pure Smart ball
- Pure/WRc Sahara



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# Pressure Pipelines

## Pipe Condition Indicators

- Historical Records
  - Break history
  - Pipe materials
  - Age
  - Soils and water
- Water quality and chemistry
- Flow tests -> C factor
- Pressure monitoring
- Flow monitoring
- Dye testing
- Coupon sampling

## Condition Assessment Issues

- Political impact of collecting condition assessment data
- Locating challenges



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## Pressure Pipelines Condition Assessment Limitations

- A gap exists between measurements and pipe performance
- Limited verification of data and standards
- No “WRc/PACP” standard pipe condition coding and condition grading system for pressure pipes
- Lack of education and training



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## Pressure Pipelines Condition Assessment Relevance of Measurements

- Where do they work?
- Cost and value of information
- Large diameter
- Material specific
- Technology specific
- Technology and data owned by company
- Most need line to be shut down (bypassed)
- Calibration
  - Often requires removal of pipe section
- Access and disinfection
- Distance of inspection
- Cheaper to put inspection \$ into renovation



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## Pressure Pipelines Technologies -> Rehab/Renovation

### Nonstructural

- Improve water quality
- cement
- epoxy coating
- fast setting polymers

### Structural

- CIPP, fold and form, close fit, loose fitting liners
- Carbon fiber
- Hose liners
- Spray in place?
- Grout in place (MainSaver)?
- Melt in place?
  - Aqualiner
- Sliplining
  - (grouted and non-grouted)



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## Pressure Pipelines Technologies -> Limitations

- Service connections
- Definitions /terminology
- Service reinstatements -> bond vs. non bond
- Bond of liner to host pipe -> pipe cleaning
- Design standards
- Construction imperfections
  - Design to strain vs. design to stress
  - Wrinkles, folds, gaps
  - Quality Assurance and Quality Control
  - End seals
- Cost – too high compared to replacement



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## Pressure Pipelines Technologies -> Limitations

- **Liner/Pipe Fatigue**
  - Service connections
  - Liner ends
  - Gaps/joints
  - Load cycles
- **Gathering and understanding pressure pipe operation data**
  - Water velocity, surge, operational, total and negative pressures



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## Pressure Pipelines Technologies -> Major Gap

- **Do not understanding how pipes fail and information needed to predict failure and remaining life**



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## Pressure Pipelines New Construction Methods

- HDD, open cut, boring (MT, TBM), auger boring and Pipe Jacking
  - New pipe material properties
  - Obstacles and soil conditions
  - Design methods
  - Education/training of designers and field inspectors
  - Quality Assurance/Quality Control procedures
  
- Same issue as Gravity Pipelines Group...



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## Pressure Pipelines Policies

- Financial sustainability Revenues = expenses over short or long-term
- Energy water nexus



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## Gravity Pipelines Condition Assessment - Tools

- CCTV
- Digital pipe scanning
- Multi-Sensor (Laser, GPR, LIDAR, Sonar, etc.)
- Robotic Tools
- Electro-scanning



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## Gravity Pipelines Condition Assessment - Limitations

- Access for large diameters (900mm>)
- Length limitation up to 11,000 ft.
- Lighting for 900mm> (CCTV only)
- Locating of MHs/pipes. (Buried MHs)
- Large Diameter Cleaning
- Inspections of Siphons
- Flow (amount, velocity)
- Soil Voids
- Damage limitation
- Quality of data



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## Gravity Pipelines New Construction- Methods

- Microtunnelling
- Pipe Jacking
- PTMT
- Auger Boring
- Horizontal Directional Drilling
- Open-Cut
- VMT
- Tunneling (hand/machine)
- Pipe Ramming



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## Gravity Pipelines New Construction- Limitations

- Accuracy of grade for non-laser based systems
  - (HDD, ramming)
- Obstacles (buried utilities)
- Geotechnical site investigation (accuracy)
- Access
- Cost
- Curved pipe sections
- Length of laser
- Geotechnical conditions
- Post-installation conditions



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## Gravity Pipelines Rehab/Renovation- Methods

### Structural

- CIPP
- Sliplining
- Fold and Form
- Panel liners

### Non-Structural

- Spray on
- Spiral wound
- Grouts
- Crack injection



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## Gravity Pipelines Rehab/Renovation- Limitations

- Host pipe conditions
- Access
- MH spacing
- Groundwater
- Inconsistent geometry
- Flow control - bypassing
- Soil voids



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## Gravity Pipelines Replacement- Methods

- Pipe Bursting



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## Gravity Pipelines Replacement- Limitations

- Diameter upsize
- Host pipe conditions
- Surface/ground movement
- Utilities/obstacles
- Lateral reinstatement



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## Key Research Needs

- Long-term performance and failure mechanisms of post-installed pipes
- Performance of renovated pipes
- Predictive failure modeling
- Data mining, management and analysis
- Objective condition assessment
- Decision-making tools
- Smart pipes
- Infrastructure financing tools



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## Key Research Needs

- Working on two position papers that identify research needs and gaps



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## **Appendix B**

### **Breakout Sessions**

## Session 1 Breakout Report Pipeline Failures

**Group Leader** - Mr. John Black

### **Students**

1. Andrew Makardetsh
2. Milad Haneen
3. Nick Spinden
4. Jacob Elias
5. Alex Rafiqui
6. Claudio Segovia
7. Jay Bui
8. Quadri Akamo
9. Espinosa S. McDonald
10. Pizarro
11. J. Ramirez

### **Kick-off Points**

- Construction related failures.
- Broken strands on prestressed concrete pipes.

### **Terms of Failures**

- Most are slow leaks.
- Few blow outs due to corrosion.

### **Causes**

- Drilling rigs
- Uninformed contractors
- Poor inspection

### **PVC Not Used in US**

- Has a property that degrades and burns below processing temperature.
- High cost for large PVC.
- Expected life of PVC 50 years (?)
- In service in Germany before war.

### **Pipe Failure due to Series of Interacting Situations**

- Need data, training, observation of known environments which cause failure.

### **HDPE up to 36"**

- Joints on Cast Iron pipes (Concerns)
- Barrel of Pipe is in good condition.
- Could be used substitute during war.
- Lead was used for productions

### **Where do Failures Start?**

- Point of Stress Concentration
  - Defects
  - Oxidation layers
  - What causes that point of stress concentration?

### **What are Long-term Effects on Composite Pipe?**

PVC is not manufactured to be very tough in the US

- Manufacturers do not identify what happen and try to prevent it.
- Manufacturing can introduce inclusions such as dirty resin, burnt carbon.

### **Cast Iron Made Thinner Over the Years**

- Reduce variance in quality.
- Reduce likelihood with defect.
- Strength improvement but fight on corrosion not imposed.
- Contractors not treating pipes well during installation.



## Session 2 Breakout Report Pipeline Inspection and Monitoring

**Group Leader** - Mr. Gerhard Muenchmeyer

### **Students**

Xiangjie Kong  
Jim Geisbash  
Neil Gaukstad  
Charles Herchis  
Marin Roubal  
Gen Nielsen  
Kelly Wood  
Alex Rafqui

### **Inspection and Monitoring**

– **MR**

Elbows and reducers are weak points. Good reason to monitor the weak points first.

– **Sensors on Fittings**

Currently being done on a gas line in Western Australia. Could be done on water pipelines. Linked in through cellular networks.

– **Kong**

Technology is available for pipe inspection. The challenge is getting the equipment in the pipe. Delivery vehicle, as well.

- Failure mode of different types of pipe to help choose appropriate technology
- Categorize infrastructure
- EPA and the trades need to come up with some standard terminology, reporting etc.

## **Session 3 Breakout Report Pipelines Materials, Corrosion and Biofilm**

**Group Leader** - Mr. Frank Blaha

### **Students**

1. Inigo Azofra
2. Leonardo Pena
3. Omar Pena
4. Eduardo Reyes
5. Divyashree Divyashree
6. Michelle C Sherman
7. Franklin Cheng
8. Carlos Saldana
9. Rodolfo Guerra
10. Edie Lopez Humberto
11. Johnson Alejandro
12. Pino Bravo

- Polyurethane and other new corrosion coatings add to long-term performance. Degradation of coatings is expensive to UV. How sensitive: Just put on thicker?
- Standard and Accepted Protocol for Accelerated Life-span testing of Corrosion Coatings Materials.
- Longevity: Assessment and design factors, case studies for new pipe materials and liner materials.
  - Basic performance
  - Thrust resistant
  - Deterioration resistant for GRP, CIPP, SIPP Liners
- CML life extension and composition modes for improved life extension/ biofilm control.
- Biofilm work impacts corrosion, pumping costs, control measures

## **Session 4 Breakout Report Pipeline Asset Management and Sustainability**

**Group Leader** - Dr. Tom Iseley

### **Students**

1. Laura Villa
2. Eric Rocha
3. Chetan Patel
4. David Trejo
5. Bryson Ewing
6. Charles Kist
7. Mahran Zatar
8. Reece Bierhalter

### **GAPS**

- Cost to Implement Asset Management Program
  - Need backing from upper management.
  - At what point is it more cost effective to just replace?
  - See WRF study.
  - Rehab initiative is 47% to total system replacement.
- Risk Reduction and Reducing Negligence Claims
- How to Pay for Rehabilitation Costs?
- Cost for Asset Management
- Making a Decision what to rehabilitate
  - 3600 assets
  - 240 year life cycle
- Replacement
  - 4% of replacement cost to conduct
- Occur to inform
- Negligence
- Driver Emergency
- Non Preventive Water
  - TX negative water audit annually
- Standards for Reliability
  - Cannot benchmark
  - Useful life
  - Estimate useful life
  - ISI- embodied energy
  - PCA- How to get finance
- How to Deal with Pipe at the End of Useful Life
- Extent of Sustainability such as 200 Years

### **DRIVER FOR UTILITY TO IMPLEMENT AM PLAN**

- Reliability

- Funding (Tied to have plans)
- Core Values + Vision Needed
- Emergency Management
- Cost Savings, Building a Business Case
- Reducing Water Loss/ Conservation
- Reducing Response Time
- Budgeting Process
- Not a Standard for Rehabilitation
  - Can't benchmark
  - Can't develop best management properly
- No Standard for Useful Life
- How do you estimate Useful Life?
- Defining Terms
- Benchmarking
- Embodied Energy + CO<sub>2</sub>
- Follow On to GASBY and Push towards Sustainability, Do we want?

## **Session 5 Breakout Report Trenchless Technologies**

**Group Leader** - Dr. Mark Knight

### **Students**

1. Ivette Aguilar
2. Jorge Almendares
3. Pooja Patel
4. Elena Soto

### **Major Knowledge-Gaps**

- Pressure pipe for potable water: Lack of design standards (none)
- Pressure pipe for potable water: No AWWA accepted replacement
- We don't know how the systems really work: velocities, surge cycles
- Qualifications for design engineers (lack of professional training)
- Service-lateral reconnections: How do you address those connections?
- Rehabbing the main and not entering into private-property
- Technology improvements needed to fix service connection to the building
- Established contractors with enough work to create programs

### **Major Limitations / What's Holding Back Trenchless Technology**

- Perception that it's more expensive; lacking critical mass of data
- Education lacking
- Engineering community holding it back, very conservative
- Operational repairs and fixes for mixed material systems

### **Major Limitations / What's Holding Back Condition Assessment**

- Develop better technology for inspection and assessment
- Many owners do not recognize value of money saved by understanding their system
- Too many technologies that are oversold
- Technology is sometimes sold on a 1-off basis instead of an entire pipeline repair project



# Summary of Breakout Sessions

*By*

**Dr. Firat Sever, P.E.**



## GROUP # 1: PIPELINE FAILURES

**Mr. John Black**

1. Composite Pipes
2. Failures, RCC Pipes – Large Pipelines Failure – Large damage – more costly
3. HDPE Pipes – Not common in states, very big in rest of world
4. PVC has good reputation in States – 1. Heat Stabilizer
5. GRP – all of the failures arises from stress during installation
6. Series of failure with PVC pipe in NZ



## GROUP # 2: PIPELINE INSPECTION AND MONITORING

### Mr. Gerhard Muenchmeyer

1. Australia –Gas Pipelines –Put Sensors in valves which is translated to cell phone or computer
2. Quite Few Technologies available for which standard needs to be developed
3. Standardization
4. Terminology Standardization, Specifications Standardization, Monitoring System should be installed on high consequence line
5. Inspection device during installation
6. Best Practices – Should be developed
7. Technology Transfer



## GROUP # 3: PIPELINE MATERIALS, CORROSION AND BIOFILM

### Mr. Frank Blaha

1. Testing of polyurethanes – UV exposure and degradation
2. Establish standard protocols for accelerated testing on corrosion
3. Longevity analysis of new pipe materials and internal linings – design factors associates with them
4. Cement mortar lining life extension. Improved life, biofilm growth control measures. Biofilm effects on material durability



## GROUP # 4: PIPELINE ASSET MANAGEMENT AND SUSTAINABILITY

### Dr. Tom Iseley

1. How does cost affect asset management plan? Rehabilitation could cost substantially less than replacement
2. How to develop effective rehabilitation/replacement programs?
3. Perception – if the condition is unknown then there may not be an intent for condition assessment and rehabilitation
4. Asset management plans help secure funding for capital investment
5. Emergency management plan could be incorporated into an asset management plan
6. Asset management as a business plan (broad spectrum)
7. Asset management program could reduce water loss
8. Standard practices/benchmarks needed (e.g. how do you determine useful life?)
9. Timeframe for a sustainability program (60, 70, 100 yrs?)



## GROUP # 5: TRENCHLESS TECHNOLOGY

### Dr. Mark Knight

1. Need design standards for increasing the use of trenchless technologies
2. Qualifications of engineers – need training on trenchless design.
3. Wastewater – lateral lining: Reliability, durability of materials and techniques. Service restoration issues.
4. Perception – Trenchless more expensive than conventional replacement? Need to address lack of knowledge on the cost.
5. Operation standpoint: Operators like to have same type of material in the system that they feel comfortable with.
6. Selling new technologies (e.g. condition assessment) to the utilities is difficult even if they save money
7. Need to identify the goal for using TT. (e.g. reducing I/I)



## **Appendix C**

### **Biography of Speakers**



**Mr. Frank John Blaha**  
**Senior Account Manager**  
**Water Research Foundation**  
**Phone: 303-347-6244**  
**Email: [fblaha@waterrf.org](mailto:fblaha@waterrf.org)**

Thirty years of experience in the water and environmental field. Mr. Blaha primarily worked as a consulting engineer until he joined the Water Research Foundation 17 years ago. While at the Water Research Foundation his focus has primarily been on distribution systems and buried infrastructure concerns.



**Mr. Michael D. Royer**  
**Physical Scientist**  
**U.S. Environmental Protection Agency**  
**Phone: 732-321-6633**  
**Email: [Royer.michael@epa.gov](mailto:Royer.michael@epa.gov)**

Currently, Mr. Royer is project manager for EPA-WERF Cooperative Agreement on aging water infrastructure. Previously he was project manager for EPA task orders on condition assessment of water mains. He is contributor to EPA/ORD aging water infrastructure research program development.



**Mr. Robert Smith**  
**Research Program Manager**  
**U.S. DOT/PHMSA**  
**Phone: 919-238-4759**  
**Email: [robert.w.smith@dot.gov](mailto:robert.w.smith@dot.gov)**

Robert graduated from the Pennsylvania State University in 1997 with a BS in Petroleum and Natural Gas Engineering. He coordinated and managed the offshore pipeline and human factors research program at the Bureau of Safety and Environmental Enforcement (formerly U.S. Minerals Management Service) from 1997 to 2003. Since 2003, he is currently the R&D Manager and leads several strategic initiatives for the Pipeline and Hazardous Materials Safety Administration.

**Mr. James Hart**  
**Oil and Gas Program Manager**  
**NACE International**  
**Phone: 281-228-6226**  
**Email: [jim.hart@nace.org](mailto:jim.hart@nace.org)**



As Oil and Gas Program Manager for NACE International he is the industry and staff liaison for all NACE, Oil & Gas related programs worldwide. Mr. Hart work with all NACE internal departments from Conferences, Education, and Membership, to Standards and Government Relations. He also works with all external stakeholder groups to develop and promote NACE programs and benefits.

Prior to joining NACE International in July of 2011, he was Publisher of Pipeline and Gas Technology Magazine at Hart Energy and have over 25 years' related experience in publishing, product development and communications.

**Dr. Kesi You**  
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Mr. Faughtenberry has served 4 years as an Engineer and Project Manager at Freese and Nichols, Inc. He has also served 5 years at Chesapeake Energy as a Project Manager in the Barnett and Utica Shale Locations. Currently, he serves as a Senior Facility Engineer & Project Manager for Oasis Petroleum overseeing the design, installation, and operations of their Bakken Shale saltwater, freshwater, and gas gathering system.

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Mr. Marshall is a registered professional engineer in Texas and has 34 years of experience in water resources. He worked for the U.S. Forest Service for five years in research of biological control of insect pests. He worked with the U.S. Geological Survey for five years as a hydrologic technician and hydrologist starting when he began his master's degree. He spent five years with Alan Plummer and Associates, Inc., where he was involved in many different types of water and environmental projects. He has been with the District since 1988 (25 years), he has been involved in a wide range of water quality and engineering projects. He started as Western Division Water Quality Manager for the District. As Engineering Services Director, his current role, his responsibilities include management of the District's water resources, including flood control and raw water supply. He also oversees major maintenance and capital improvement projects. He is currently part of the integrated pipeline team, a joint effort of TRWD and Dallas to build a 149 mile, \$2.3 billion dollar transmission pipeline.



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Mr. Kong has over 15 years' experience in developing innovative condition assessment solutions for the water industry. As the Director of R&D at Pure Technologies, he is responsible for departmental leadership and technical management of all R&D activities. He has led the development of some of the most advanced water pipeline inspection techniques and tools. Mr. Kong holds a B.Sc. (1996) in Physics from Peking University in china and a M.Sc. in Physics (1998) from Queen's University in Canada.



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Martin Roubal has been the managing director for the Rock Solid group of companies for the past 26 years and during this time has acquired extensive experience in various aspects of Non-Destructive Testing, geophysical surveying & geotechnical engineering.



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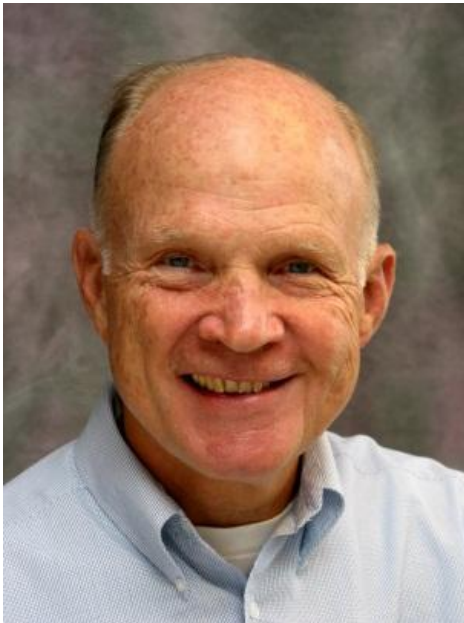
Marc Bracken is the Vice President and General Manager of Echologics Engineering. Marc received Bachelor's and Master's Degree in mechanical engineering with a specialization in acoustics from the University of Toronto. He started Echologics in 2003, and since this time the company has become a significant contributor to the advancement of water pipe leak detection and condition assessment technology. Echologics was acquired in 2011 by Mueller Water Products.

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John has a passion for pipes and has been known as a “Pipe Whisperer” for many years. He became “Chief Whispers to Pipes” in 2007 and while he seldom talks to pipes, they definitely communicate their story to him. John has had over 50 years of engineering experience, with over 40 years involvement with water supply and drainage system design, construction and operation. He was a member of the pipelines task group 7A for the University of Canterbury’s Centre for Advanced Engineering project report, “Lifelines in Earthquakes - Wellington Case Study” 1991. He has a good understanding of most pipeline materials from clay (ceramic) pipes to wood-stave and cast iron pipes from the 1860’s, through to the modern plastics pipe materials. This understanding has been honed through experience with condition assessment and pipe failure investigations. John regularly undertakes condition assessment of pipes of all materials (especially asbestos cement). He has developed a number of pipe condition assessment techniques including the use of computed tomography (CT) scanning for measuring the depth of deterioration of AC pipes. CT scanning can also be useful for detecting inclusions and flaws in PVC and PE pipe materials. He has been (and continues to be) a participating member of three joint Australia/New Zealand standards committees PL/6, (polyolefin pipes), PL/21, (PVC, ABS and Polyamide pipes) and PL/45 (test methods) since 1993. John has provided independent technical and practical advice regarding the selection of pipeline materials for earthquake recovery and new works to the local authorities that have been directly affected by the Canterbury earthquakes (Waimakariri and Selwyn District Councils and Christchurch City) as well as many other authorities around New Zealand.

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Dr. Grigg is a Professor in the Department of Civil and Environmental Engineering at Colorado State University, where he has also been Head of the Department of Civil Engineering and Director of the Colorado Water Resources Research Institute. He holds a Ph.D. (1969) from Colorado State (Hydraulic Engineering), an M.S. (1965) from Auburn University (Hydraulic/Structural Engineering), and a B.S. (1961) from the US Military Academy (Engineering).

His recent experience has focused on urban water and utility management, with a special emphasis on data management and risk assessment. He teaches a graduate course in pipeline engineering and hydraulics at Colorado State. His Water Research Foundation projects include: integration of cost of failure into risk assessment, dual water systems, and secondary effects of corrosion control on distribution systems, integrity of water distribution systems, predicting main breaks, and surviving disasters in water utilities. He also worked with the WaterRF toward implementing the National Mains Failure Database. He was an expert advisor to WaterRF's Research Advisory Committee on water supply infrastructure. He is also currently a member of the Editorial Advisory Board for the Journal, AWWA. His published book titles include Water and Sewer Infrastructure Management (second edition) and Urban Water Infrastructure, as well as about a dozen other books on water and infrastructure engineering and management.

He has worked extensively in public works and utility engineering. He was co-founder and a principal of Sellards & Grigg Inc., Denver and participated in a number of municipal engineering projects involving water supply, stormwater, and public works. He has also been a state official and regulator in his role as Assistant Secretary for



Natural Resources and Director of Environmental Management (1979-80), State of North Carolina. He was responsible for managing the Clean Water Act programs in permitting, enforcement, and construction grants. He also had responsibility for river basin water quantity and quality, and groundwater management.

He is a Life Member and Fellow of the American Society of Civil Engineers, a Life Member of the American Water Works Association and American Public Works Association (Board of Directors, 1992-95). He is a former president of the Fort Collins Water Board and former two-term member Fort Collins Transportation Board. He is a registered professional engineer in Colorado, Alabama, and North Carolina.



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Experienced in multi-physics, probabilistic and statistical simulations of physical systems. Currently involved in developing risk models that focus on threat interactions and their influence on probability of failure of infrastructure systems.



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Dr. Bell received his Engineering degrees from the UCLA School of Engineering and Applied Sciences. He has more than 30 years of experience in designing, testing, assessing and forensic evaluation of damage on civil engineering and water works projects. He has been the co-principal investigator for nine AWWA/WaterRF Research Projects. He has more than 65 peer reviewed journal and conference publications and presentations.

Dr. Bell is the past Chairman of AWWA Corrosion Committee; Member of AWWA Concrete Pressure Pipe Committee; Past Chair or Vice Chair of NACE International Committee on Corrosion Control for Ductile Iron Pipe and Cathodic Protection of PCCP and Cement Mortar Coated Pipe.



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Dr. Rajah has over 25 years of experience in civil engineering in research, teaching, and consulting in the areas of pipelines, geotechnical, structural, and hydraulic engineering. He has more than 40 peer reviewed journal and conference publication and presentations.



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Dr. Tom Iseley has over 35 years of experience in the planning, design, and construction of underground infrastructure systems. From 1982, he served on the faculty of Mississippi State University, Purdue University, and Louisiana Tech University. During the past 25 years, he has maintained an international leadership position in trenchless technology. In 1989, Dr. Iseley established the Trenchless Technology Center (TTC) at Louisiana Tech University. He is a founding director of the North American Society for Trenchless Technology (NASTT).

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Dr. Knight worked as a Geotechnical Consultant for six year prior to going back to complete Master and Doctorate degrees in Civil Engineering. He joined University of Waterloo as a professor in 1997. He developed NASTT CIPP Good practice course along with number pipeline condition assessment, construction and renovation course using trenchless technology. He is also the founding member of the International Research Trenchless Technology Advisory Committee and Developer of industry leading pipeline software programs: BOREAID, PPI-BOREAIID, PPI-PACE and CIPPCALC.

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Dr. Abolmaali is a Professor in structural and applied mechanics at the University of Texas at Arlington. He is the Founding Director of UT-Arlington Center for Structural Engineering Research and the Professor-in-Charge of the Structural Simulation Laboratory at UTA. Professor Abolmaali has intensive research experience and ongoing research projects in computational structural engineering and full scale structural testing of civil, aerospace, and underground structural systems including research in fluid structure interaction problems. As a Principal Investigator, Dr. Abolmaali has secured over \$30M in research and development funds from states, federal and private agencies. These funding agencies include but not limited to the National Science Foundation, departments of transportation, Federal Highway Administration, and others to conduct basic and applied research in structural engineering and mechanics. Professor Abolmaali's ongoing research projects focus on developing coupled nonlinear finite element algorithms and full-scale experimental testing to simulate the nonlinear behavior of structural systems subjected to static and dynamic loadings up to collapse. Dr. Abolmaali is the author and co-author of over 105 refereed technical journal papers and conference proceedings in structural engineering and finite element method. Dr. Abolmaali has given over 110 technical presentations nationally and internationally. Dr. Abolmaali has conducted several high profile failure investigation research projects for the National Transportation Safety Board examples of which are the Boston Tunnel collapse (Big Dig Tunnel), Minneapolis Bridge collapse, and Big Spring's Nebraska Bridge collapse.

## **Appendix D**

### **Symposium Attendee List**

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**Appendix E**  
**List of Acronyms**

## LIST OF ACRONYMS

<i>WRF</i>	Water Research Foundation
<i>USEPA</i>	U.S. Environmental Protection Agency
<i>PHMSA</i>	Pipeline and Hazardous Materials Safety Administration
<i>TRWD</i>	Tarrant Regional Water District
<i>BEM</i>	Broadband Electro- Magnetics
<i>WaterRF</i>	Water Research Foundation
<i>AWWA</i>	American Water Works Association
<i>FAC</i>	Focus Area Council
<i>PAC</i>	Project Advisory Committee
<i>NAS</i>	National Academy of Sciences
<i>RTCR</i>	Revised Total Coliform Rule
<i>RICP</i>	Research and Information Collection Partnership
<i>MOU</i>	Memorandum of Understanding
<i>PSW</i>	Partnership for Safe Water
<i>PCP</i>	Pneumatic Capsule Pipeline
<i>AGV</i>	Automated Guided Vehicle
<i>UCFT</i>	Underground Container Freight Transport
<i>UCM</i>	Underground Container Mover
<i>UCTS</i>	Underground Container Transportation System
<i>DOT</i>	Department of Transportation
<i>PHMSA</i>	Pipeline and Hazardous Materials Safety Administration
<i>ILI</i>	Inline Inspection
<i>NDT</i>	Non-Destructive Testing
<i>ROV</i>	Remotely Operated Vehicles
<i>PIG</i>	Pipeline Inspection Gauges
<i>HSK</i>	Hand Scanning Kit
<i>SCG</i>	Slow Crack Growth
<i>CI</i>	Cast Iron
<i>CT</i>	Computed Tomography
<i>PVC</i>	Polyvinyl chloride
<i>ABS</i>	Acrylonitrile Butadiene Styrene
<i>NASTT</i>	North American Society for Trenchless Technology
<i>CATT</i>	Center for Advancement of Trenchless Technologies